



When topology meets SCES

Leon Balents, KITP

Seeking a convergence?

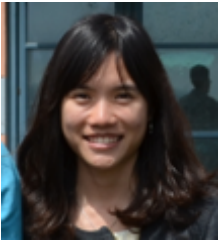


Jackson Pollack, Convergence, 1952

SCES

Topology

People



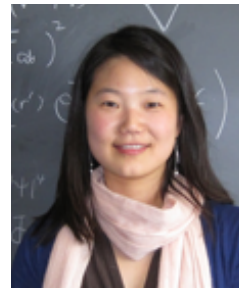
Ru Chen



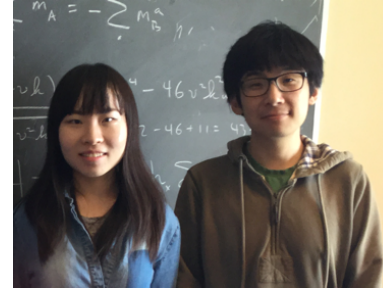
Lucile
Savary



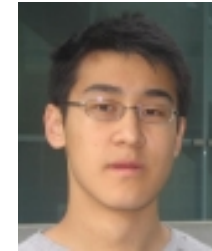
Eun-Gook
Moon



Sung-Bin
Lee



宋雪洋 尤亦庄
Xue-Yang Song Yi-Zhuang
You



T. Hsieh



H. Ishizuka



Jianpeng Liu

S. Nakatsuji

T. Kondo

Z. Tian

S. Shin

Yong-Baek Kim

Shigeki Onoda



Jay-Z

Outline

- Where can correlations enrich topology?
- Three types of topology: band topology, Berry phase topology, intrinsic topological order
- Correlations in two of three:
 - Correlated Weyl semimetals
 - Quantum spin liquids

Three types of topology

Topological Insulator
topology of filled bands

"symmetry protected
topological order"

Topological Semimetal
topology of k-surfaces

"Berry phase topology"

Topological Spin Liquid
topology of entanglement

"intrinsic topological
order"

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+ Correlations??

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+ Correlations:

◆ Topological Kondo Insulator SmB_6 ?

C. Broholm

Lu Li

S. Sebastian

S. Wirth

J. Denlinger

Three types of topology

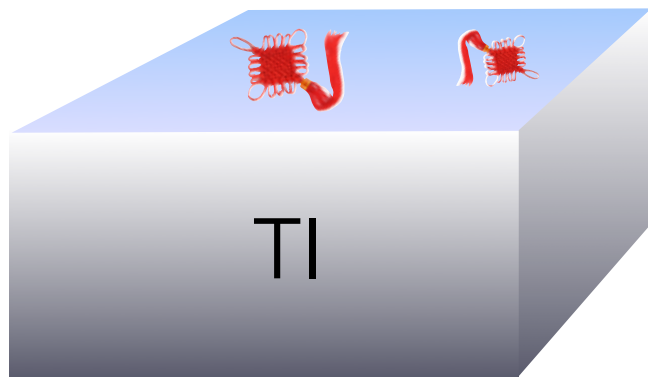
Topological Insulator
topology of filled bands

"symmetry protected
topological order"

+ Correlations:

- ◆ Topological Kondo Insulator SmB_6 ?
- ◆ Surface states may be more correlated than bulk

surface state with gapped non-abelian anyons



C. Wang *et al*, 2013
L. Fidkowski *et al*, 2013
M.A. Metlitski *et al*, 2013
P. Bonderson *et al*, 2013

Three types of topology

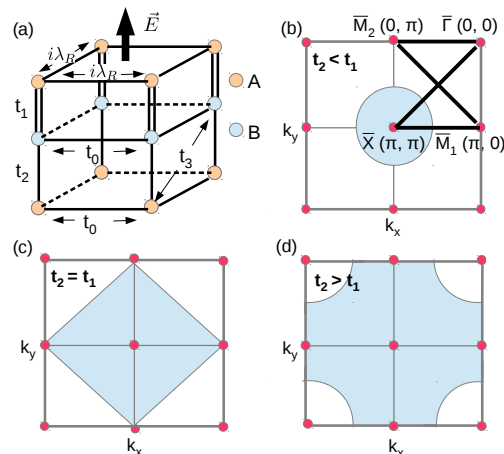
Topological Insulator
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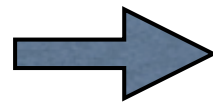
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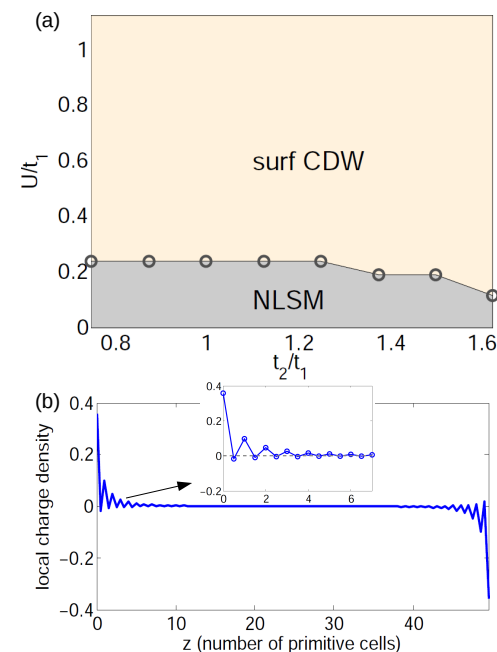
nodal-loop semimetals w/
quasi-flat surface bands



c.f. ZrSiS , PbTaSe_2



surface instabilities at
relatively small U



Jianpeng Liu +LB,
in preparation



Three types of topology

Topological Insulator
topology of filled bands

“symmetry protected
topological order”

+ Correlations:

- ◆ Topological Kondo Insulator SmB_6 ?
- ◆ Surface states may be more correlated than bulk
- ◆ Bosonic SPT states

Symmetry protected topological order

From Wikipedia, the free encyclopedia

Symmetry Protected Topological order (SPT order)^[1] is a kind of order in [zero-temperature](#) quantum-mechanical states of matter that have a symmetry and a finite energy gap.

To derive the results in a most-invariant way, [renormalization group methods](#) are used (leading to equivalence classes corresponding to certain fixed points).^[1] The SPT order has the following defining properties:

- (a) *distinct SPT states with a given symmetry cannot be smoothly deformed into each other without a phase transition, if the deformation preserves the symmetry.*
- (b) *however, they all can be smoothly deformed into the same trivial product state without a phase transition, if the symmetry is broken during the deformation.*

Using the notion of [quantum entanglement](#), we can say that SPT states are [short-range entangled](#) states with a symmetry (by contrast: for long-range entanglement see [topological order](#), which is not related to the famous [EPR paradox](#)). Since short-range entangled states have only trivial [topological orders](#) we may also refer the SPT order as Symmetry Protected “Trivial” order.

Contents [\[hide\]](#)

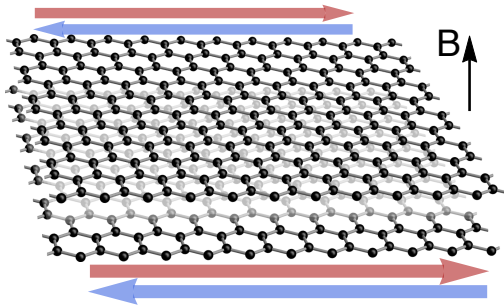
- 1 Characteristic properties of SPT order
- 2 Relation between SPT order and (intrinsic) topological order
- 3 Examples of SPT order
- 4 Group cohomology theory for SPT phases
- 5 A complete classification of 1D gapped quantum phases (with interactions)
- 6 See also
- 7 References

A big subject for theorists

Three types of topology

Topological Insulator
topology of filled bands

“symmetry protected
topological order”



+ Correlations:

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Zhen Bi *et al* , arXiv:1602.03190

T. Yoshida Mo-S4-5

A big subject for theorists

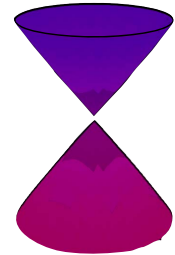
Weyl semimetal

Topological Semimetal
topology of k-surfaces

"Berry phase topology"



$$H = v\vec{\sigma} \cdot \vec{k}$$



For a crystal without an inversion center, the energy separation $\delta E(\mathbf{k}+\boldsymbol{\kappa})$ in the neighborhood of a point \mathbf{k} where contact of equivalent manifolds occurs may be expected to be of the order of κ as $\kappa \rightarrow 0$, for all directions of $\boldsymbol{\kappa}$.

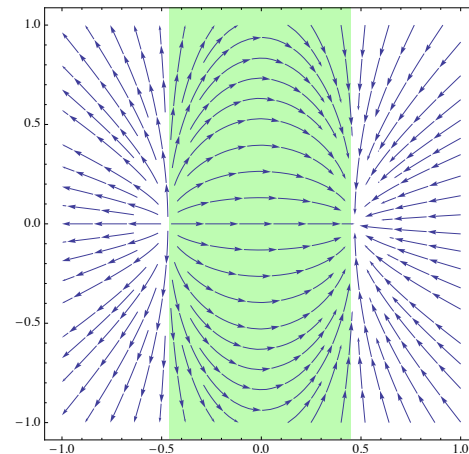
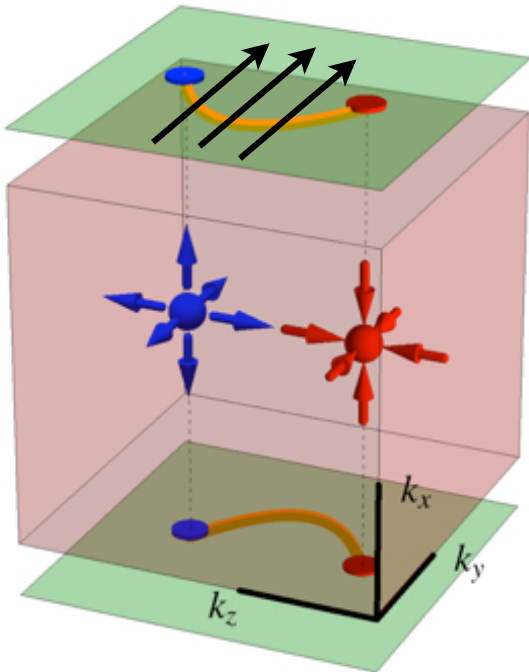
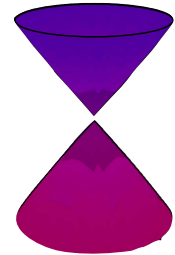
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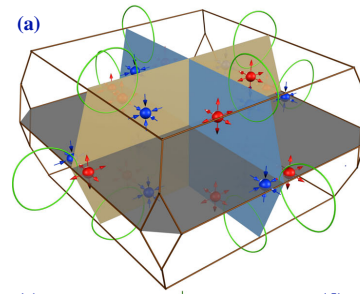
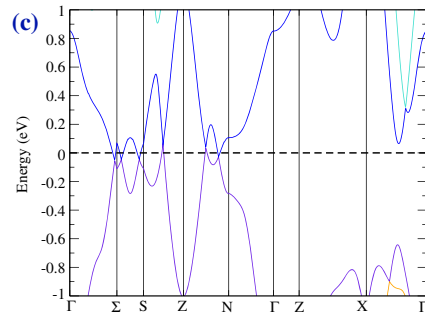


Weyl points are
"monopoles" of
Berry curvature:
topology in k-
space!

S. Murakami, 2007
X. Wan et al, 2011
A. Burkov+LB, 2011

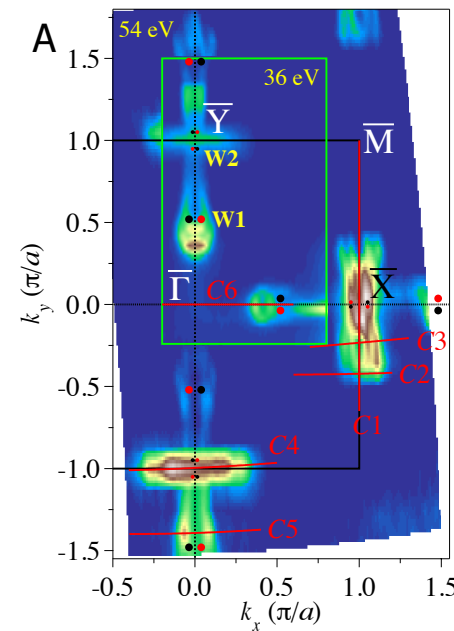
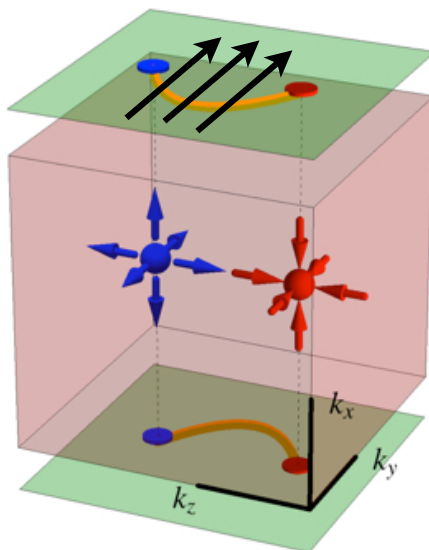
Experiment

TaAs

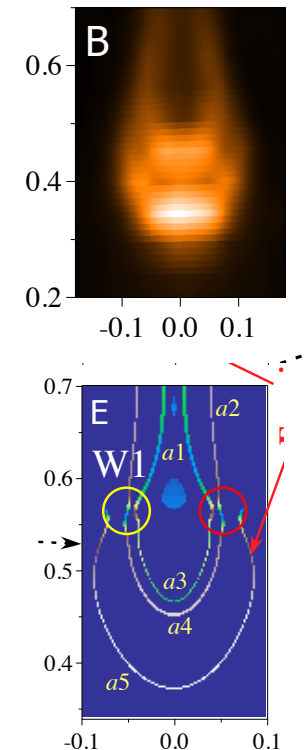


Prediction:
Hongmin Weng et al, 2015

- Striking properties:
 - Surface Fermi arcs

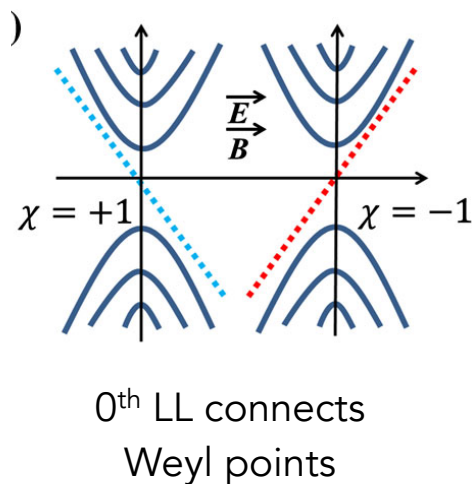


B.Q. Lv et al, 2015

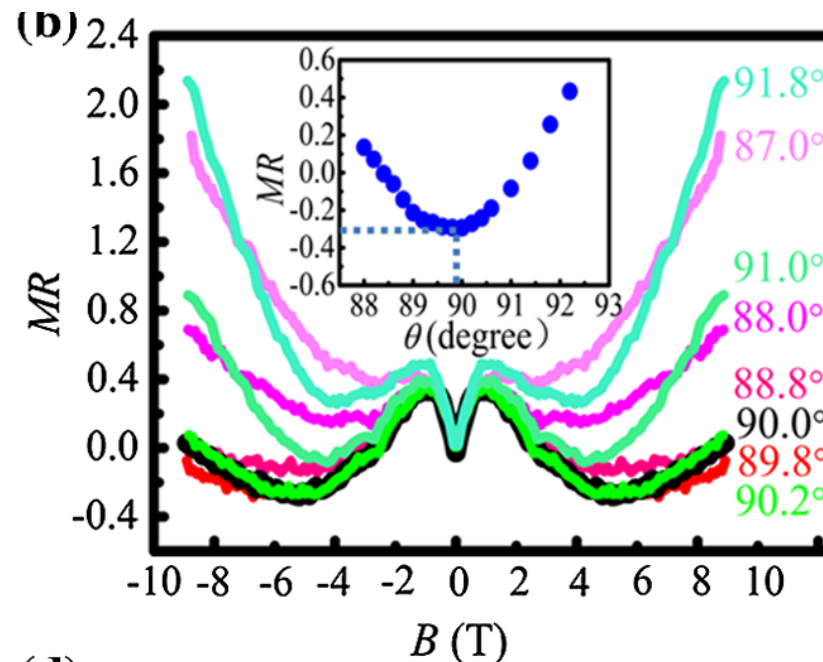


Experiment

- Striking properties:
 - Surface Fermi arcs
 - ABJ “anomaly”: strong negative MR for $I \parallel B$



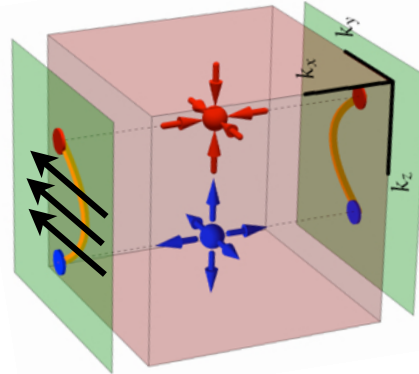
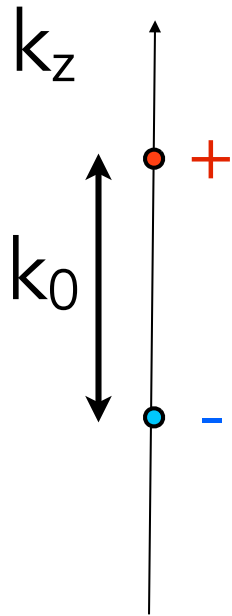
Nielson + Ninomiya, 1983
Zyuzin+Burkov, 2012
Son+Spivak, 2013



Xiaochun Huang *et al*, 2015

Anomalous Hall Effect

The third striking property of a Weyl semimetal

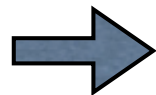


Fermi arc = chiral edge state

$$\sigma_{xy} = \frac{e^2}{h} \frac{k_0}{2\pi}$$

semi-quantum AHE

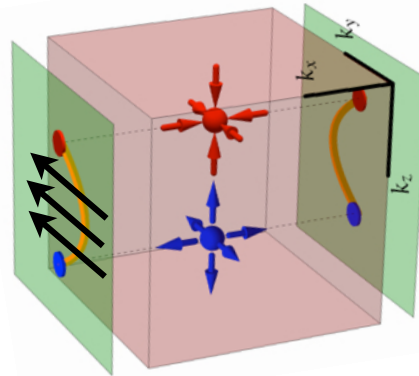
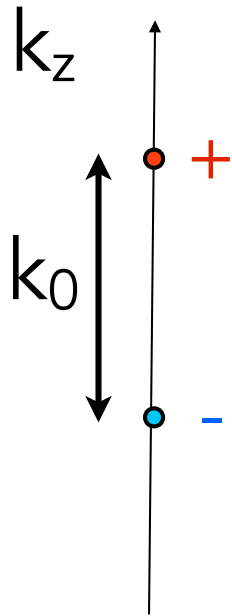
obviously breaks time-reversal symmetry



need a magnetic material

Anomalous Hall Effect

The third striking property of a Weyl semimetal



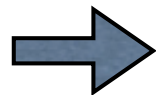
Fermi arc = chiral edge state

$$\sigma_{\mu\nu} = \frac{e^2}{2\pi h} \epsilon_{\mu\nu\lambda} Q_\lambda$$

$$\vec{Q} = \sum_i \vec{k}_i q_i + \vec{Q}_{RLV}$$

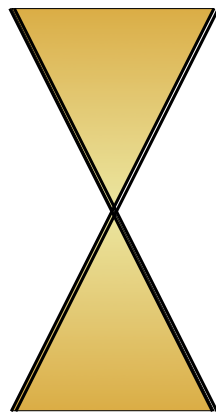
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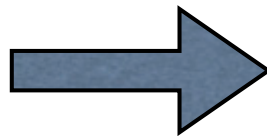


need a magnetic material

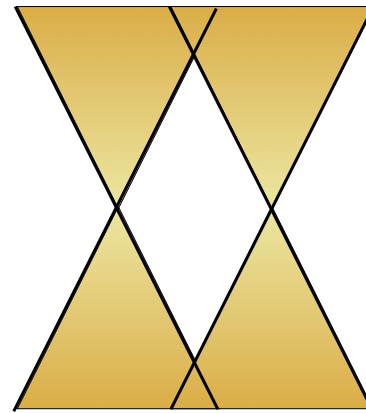
Magnetic Weyl semimetals



Dirac

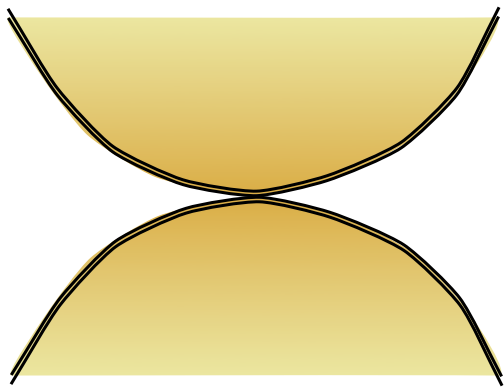


M or B

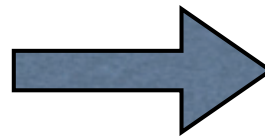


Weyl

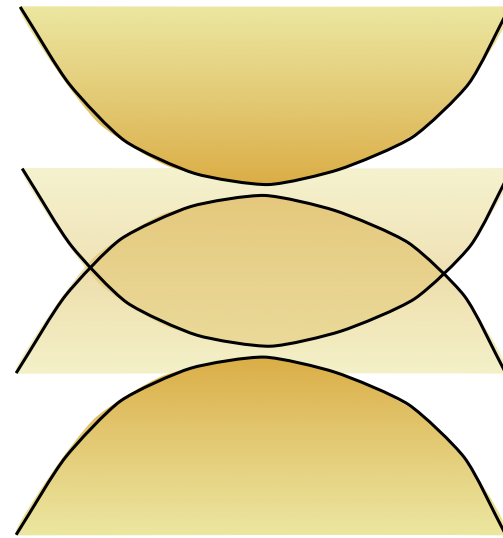
Magnetic Weyl semimetals



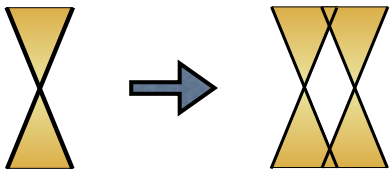
QBT



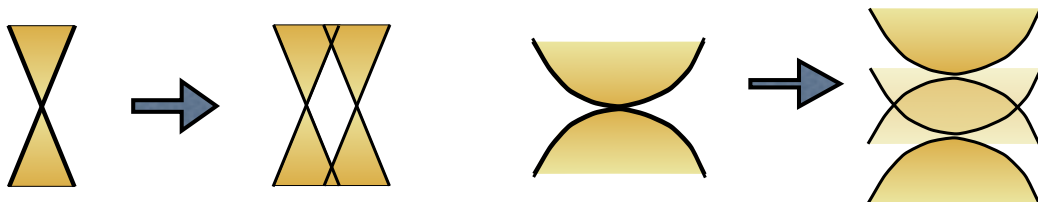
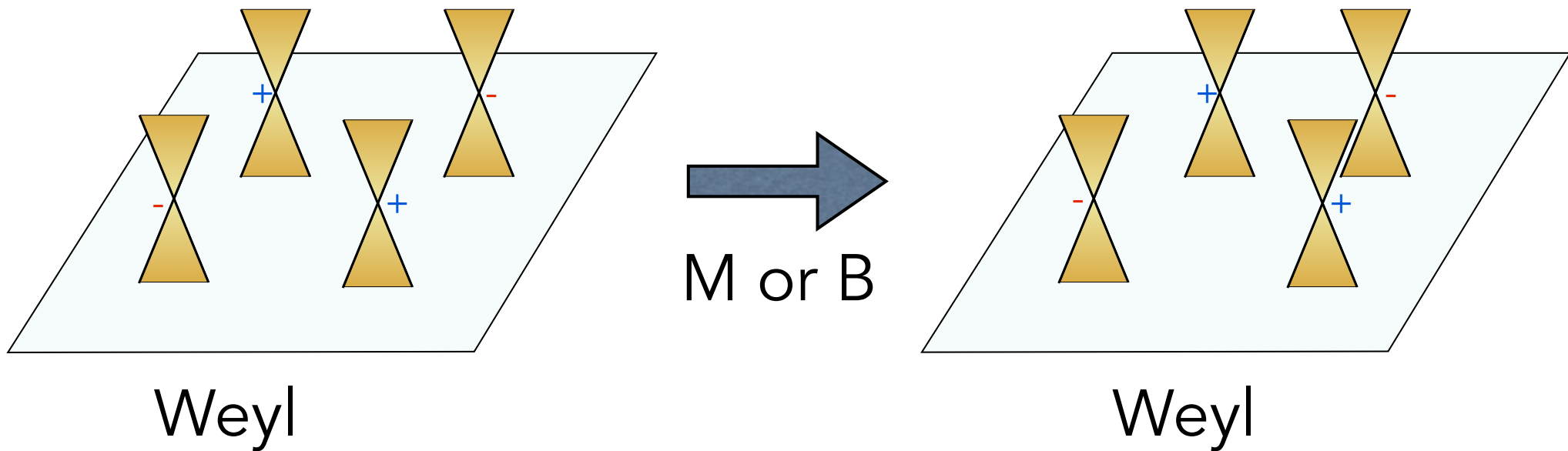
M or B



Weyl



Magnetic Weyl semimetals

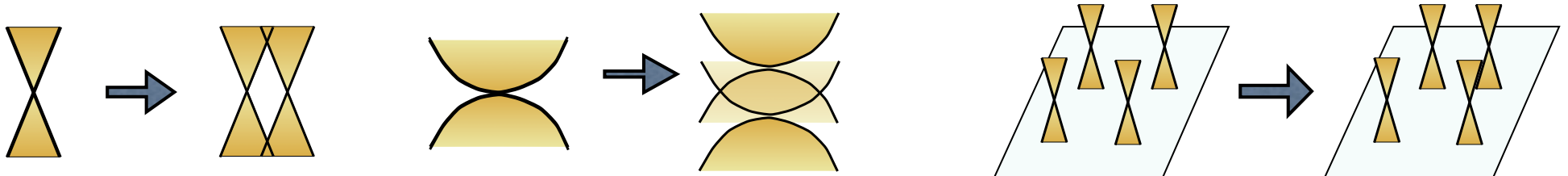
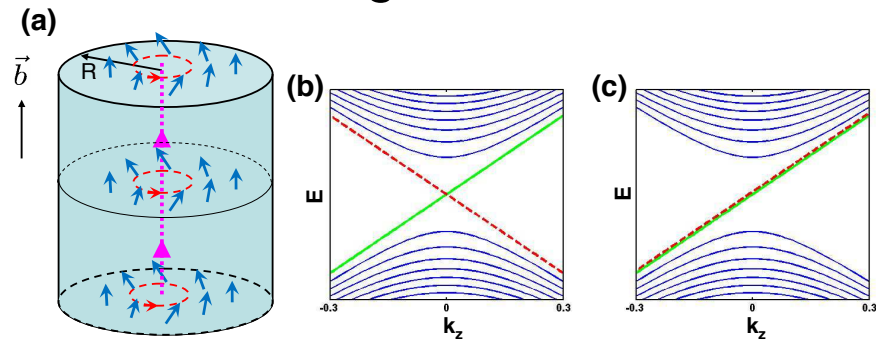


Magnetic Weyl semimetals

Movable Weyl points have their own interest

magnetic fluctuations
generate a *dynamical
chiral gauge field* for Weyl
fermions, by shifting Weyl
points

Chao-Xing Liu et al, 2012



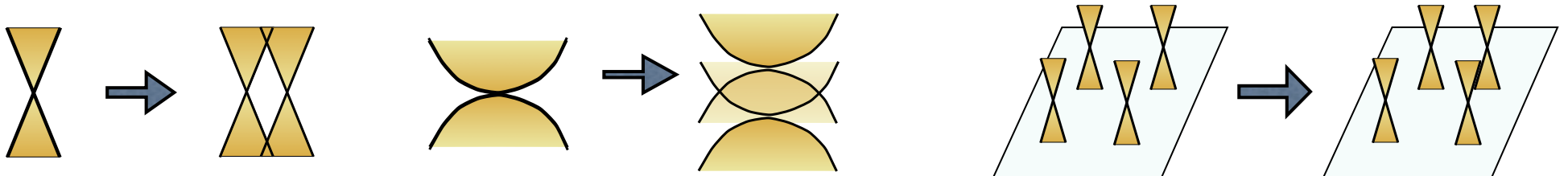
Magnetic Weyl semimetals

Exercise: how far can we move Weyl points?

We'll see that this is why we need SCES

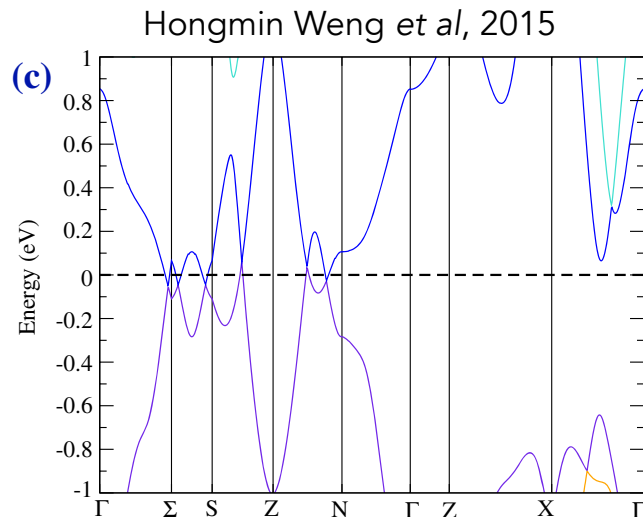
Dirac/Weyl: $\hbar v_F \Delta k \approx E_Z$

QBT: $\frac{\hbar^2 (\Delta k)^2}{2m^*} \approx E_Z$



Magnetic Weyl semimetals

Suppose you modify, e.g. magnetically dope, TaAs

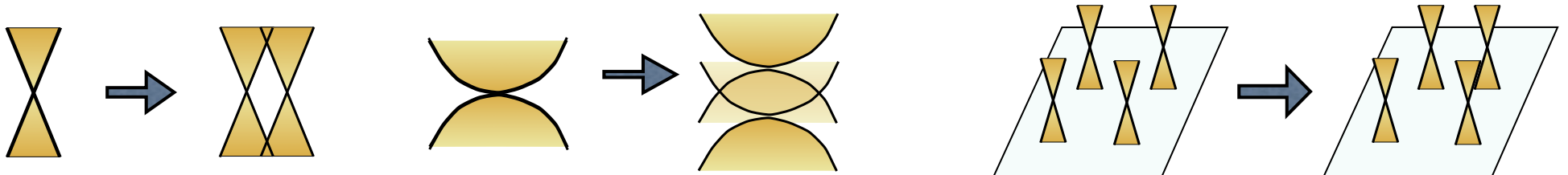


$$\Delta k \approx E_Z / (\hbar v_F)$$

$$\hbar v_F \approx 2 \text{ eV \AA} \quad E_Z < 1000 \text{ K}$$

$$\Delta k < 0.04 \text{ \AA}^{-1}$$

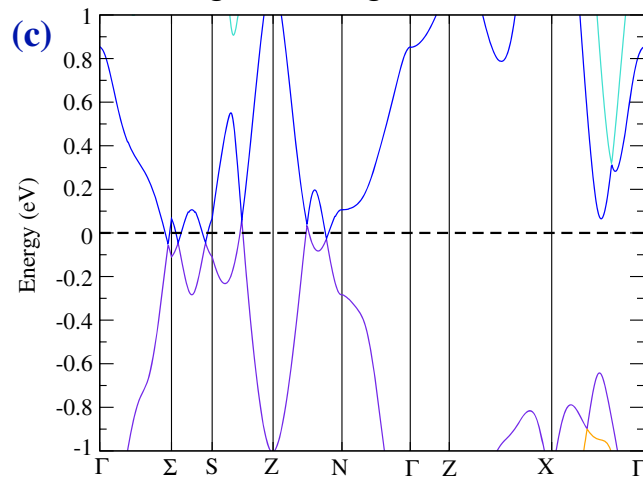
Result: Weyl points move $< 1/50^{\text{th}}$ of the zone



Magnetic Weyl semimetals

Suppose you modify, e.g. magnetically dope, TaAs

Hongmin Weng et al, 2015

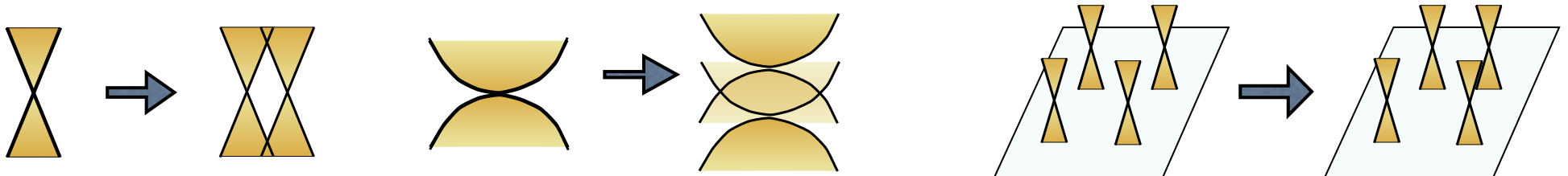


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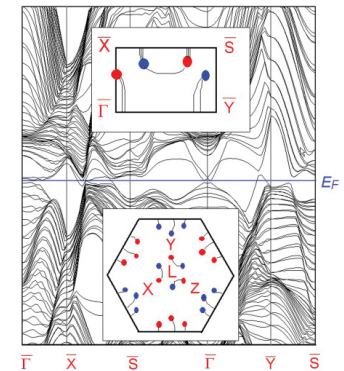
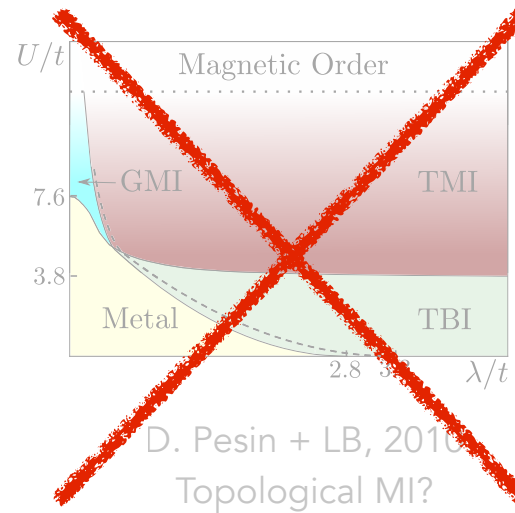
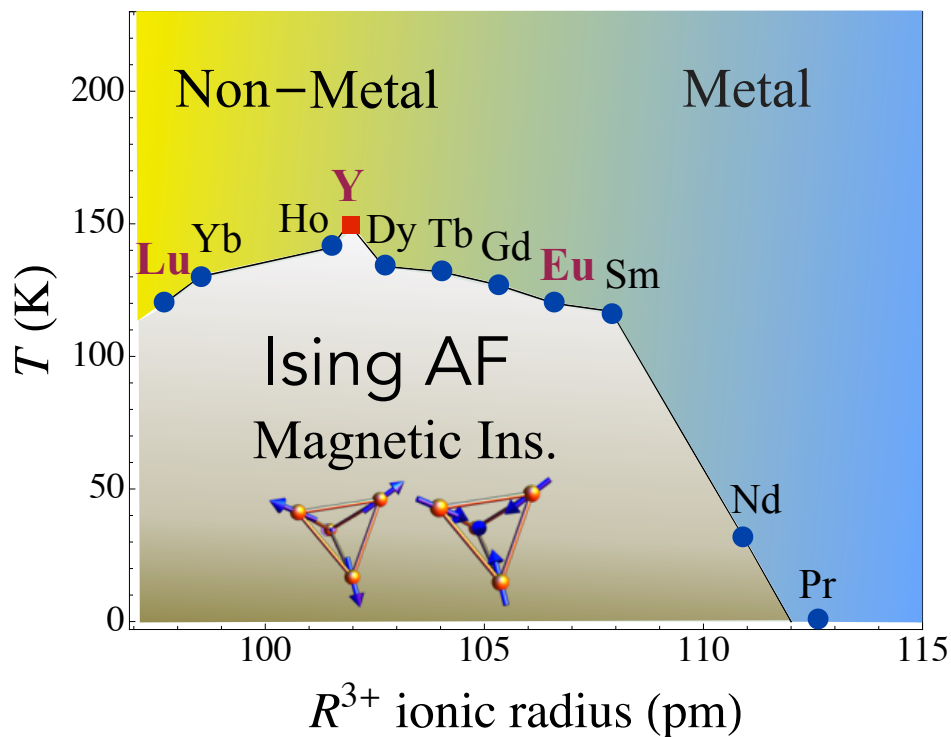
Result: Weyl points move $< 1/50^{\text{th}}$ of the zone
 need a narrower band



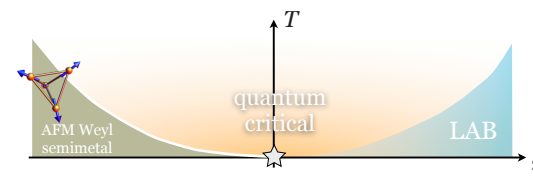
Pyrochlore iridates



- A good place to look for correlated Weyls



X. Wan et al, 2011
AF Weyl semimetal?

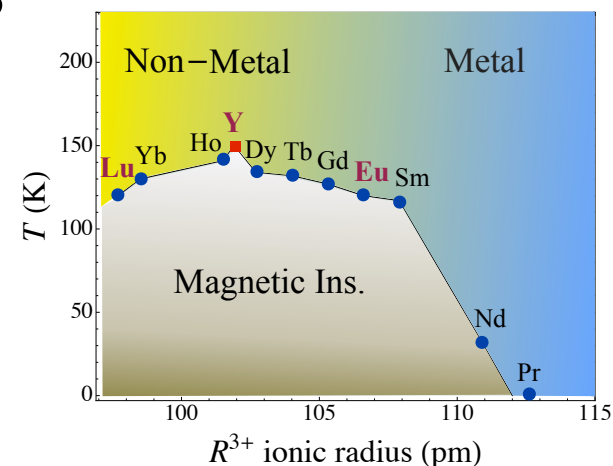


L. Savary et al, 2014 - topological QCP?

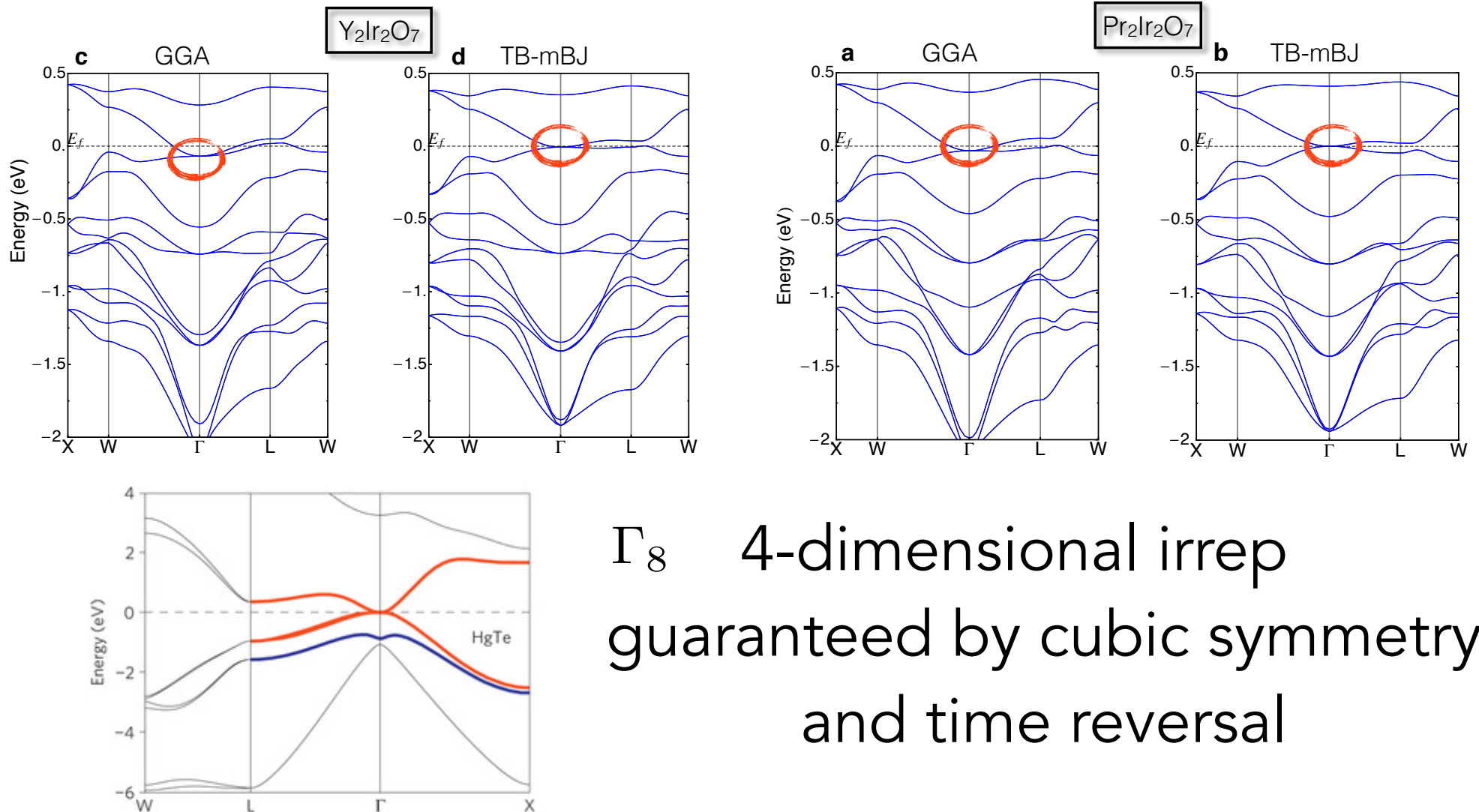
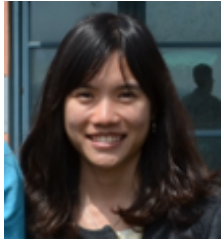
Ingredients

- Semimetallic electronic structure ✓
- Magnetism via Ir e-e Hubbard interactions ✓
- Rare earth moments?

probably not important?



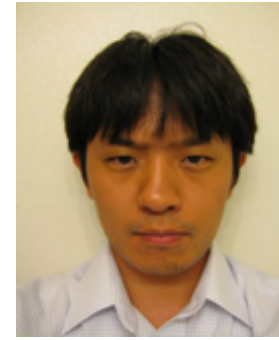
Paramagnetic electronic structure





ARPES

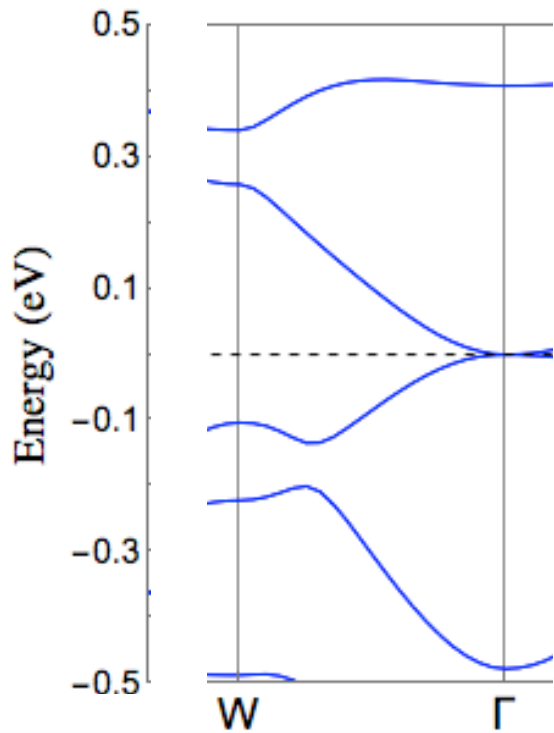
T. Kondo et al, Nat. Comm., 2015



$\text{Pr}_2\text{Ir}_2\text{O}_7$ S. Nakatsuji

T. Kondo

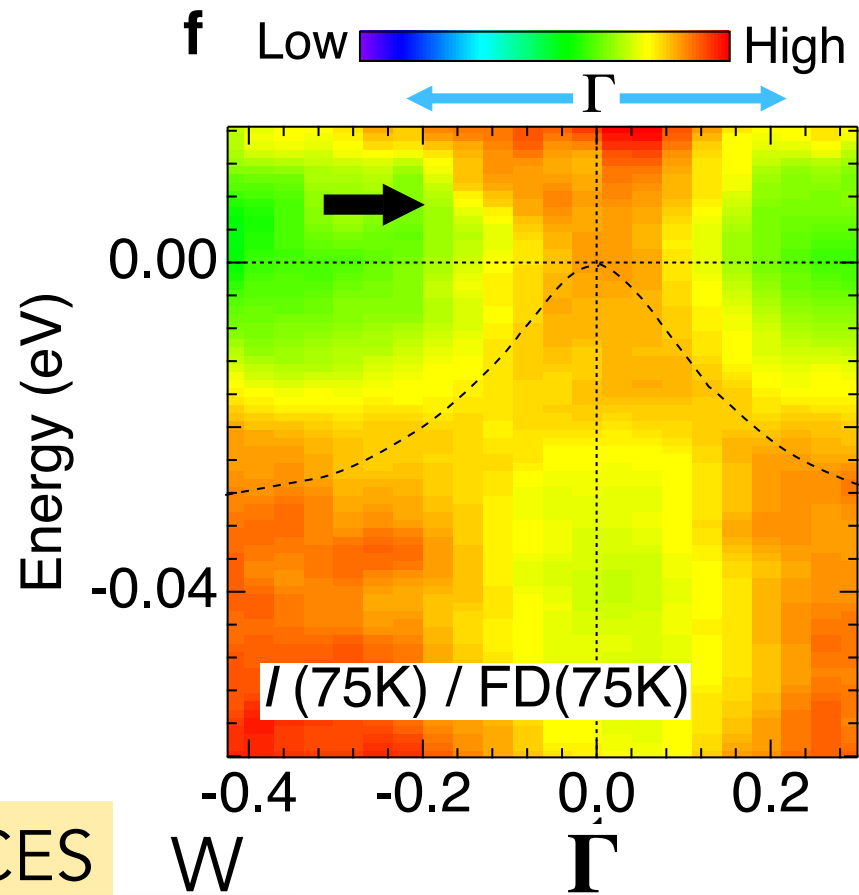
S. Shin



SCES

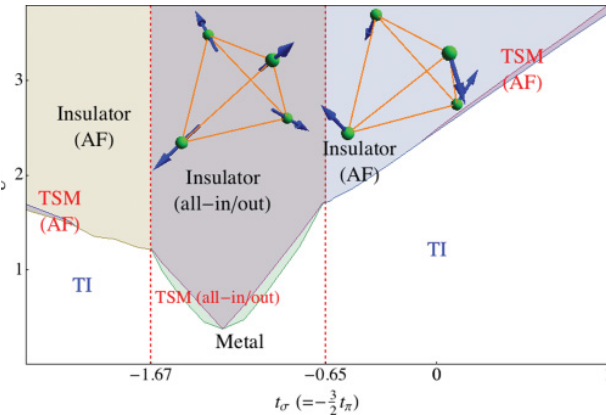
W

Γ



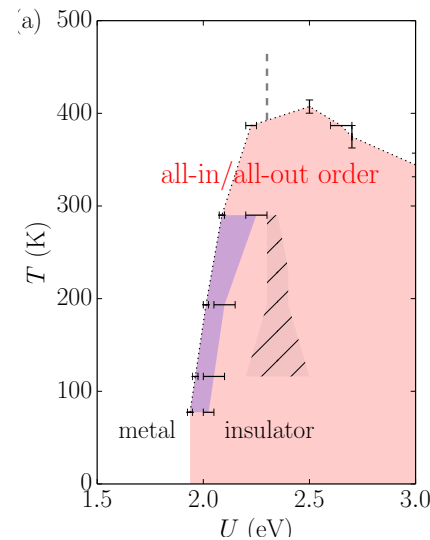
✓ Bandwidth reduced by 3-5 from DFT

Magnetism: theory



W. Witzak-Krempa + YB Kim, 2012

Hartree-Fock

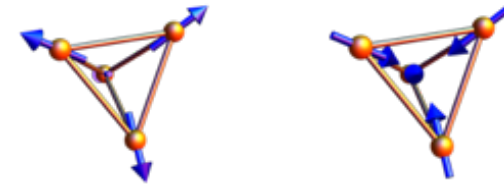


H. Shinaoka et al, 2015

DMFT

Jay-Z model

$$H = J_z \sum_{\langle i,j \rangle} S_i^z S_j^z$$

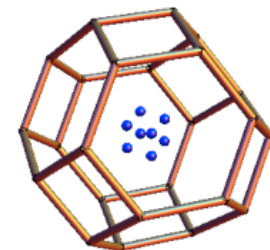
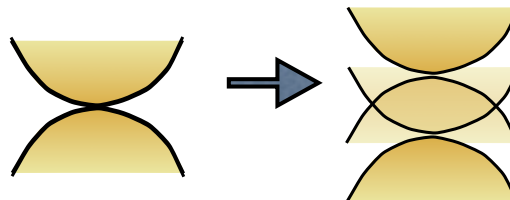


$J_z < 0$: all-in/all-out order

superexchange

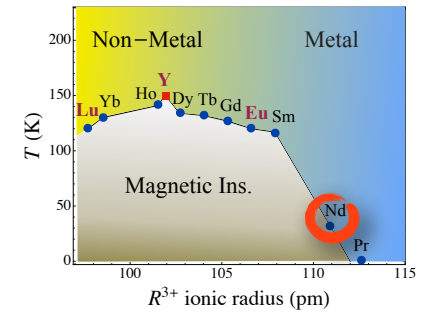
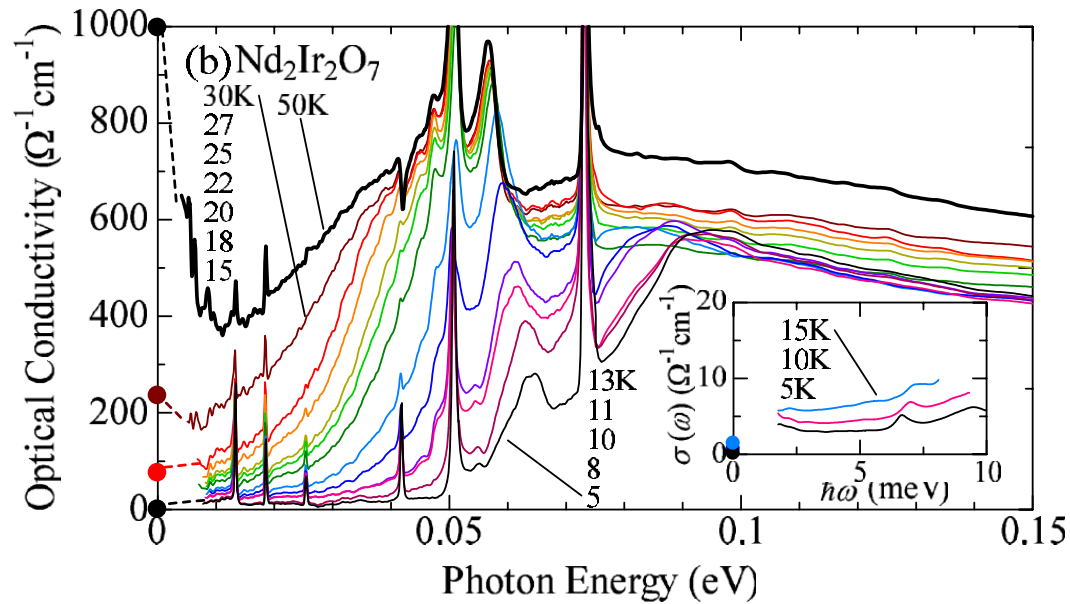
- General agreement: transition to AlAO Ising AF order

We expect this to lead to Weyl points



Weyl not?

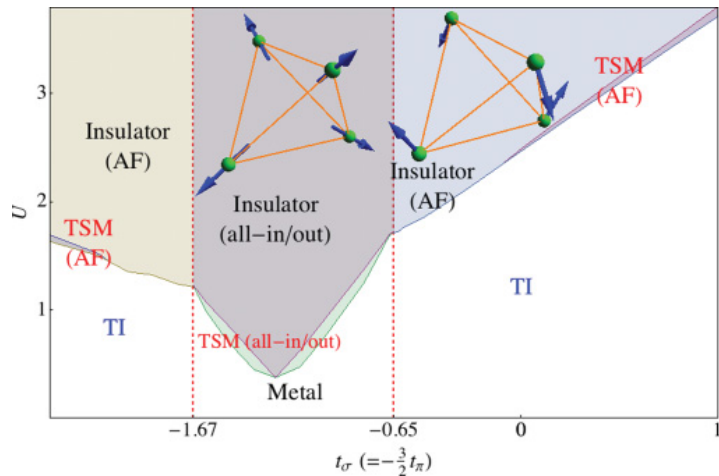
K. Ueda *et al*, 2012



charge gap \sim
45 meV

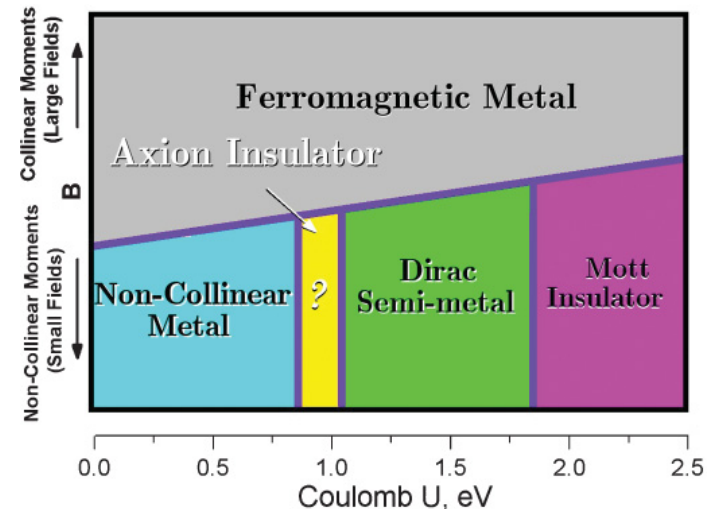


Moving Weyl Points



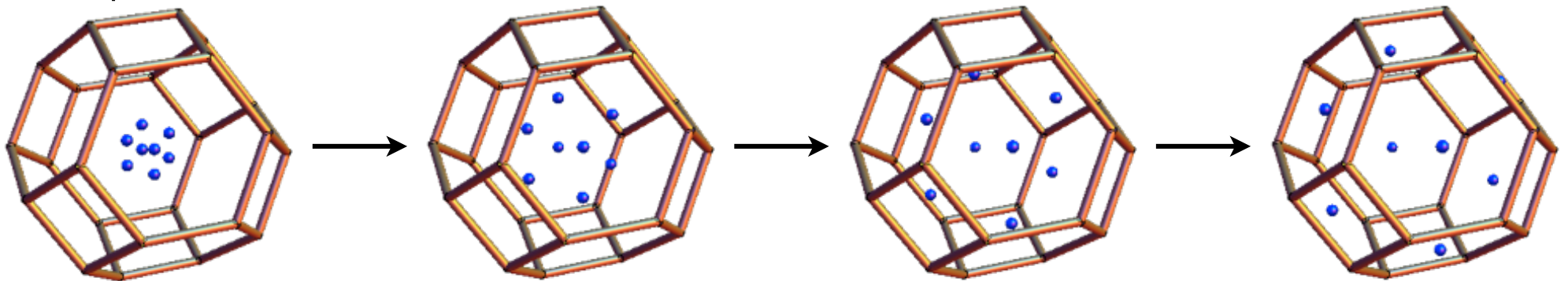
W. Witzak-Krempa + YB Kim, 2012

VS



X. Wan et al, 2011

Weyl points move to zone boundary and annihilate with increasing order?

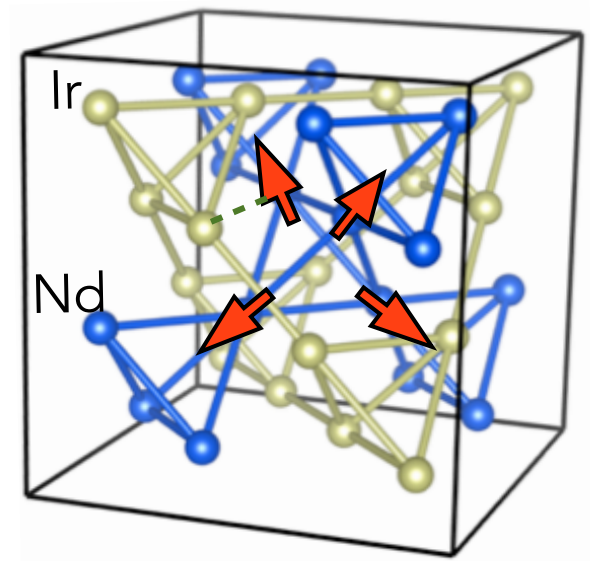


If so, Weyl points are *too* mobile!

Nd physics

Nd spins provide anisotropic
"exchange enhancement"

- Large moment couples strongly to field
- Polarized Nd act back on Ir via $J_{\text{Ir-Nd}} \sim 10 \text{ meV}$
- Maximum effect: $B \parallel (100)$
 - aligns all Nd moments



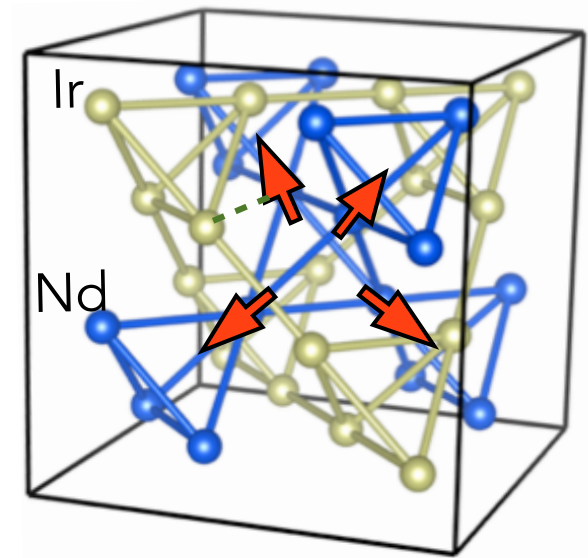
Nd physics



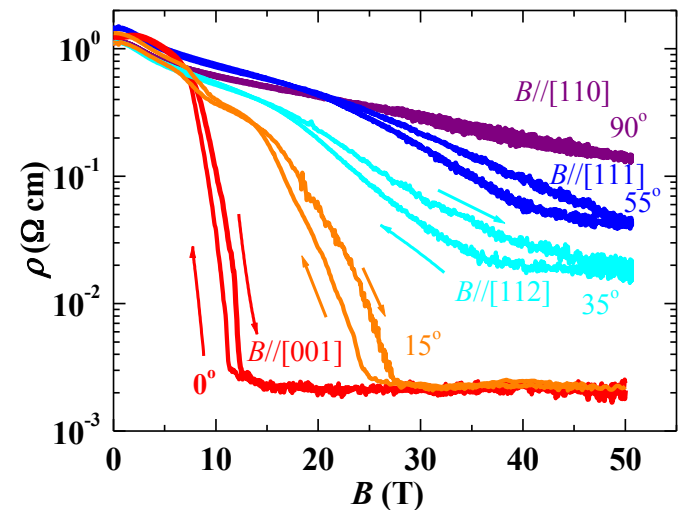
Nd spins provide anisotropic
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- Large moment couples strongly to field
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Result: MIT

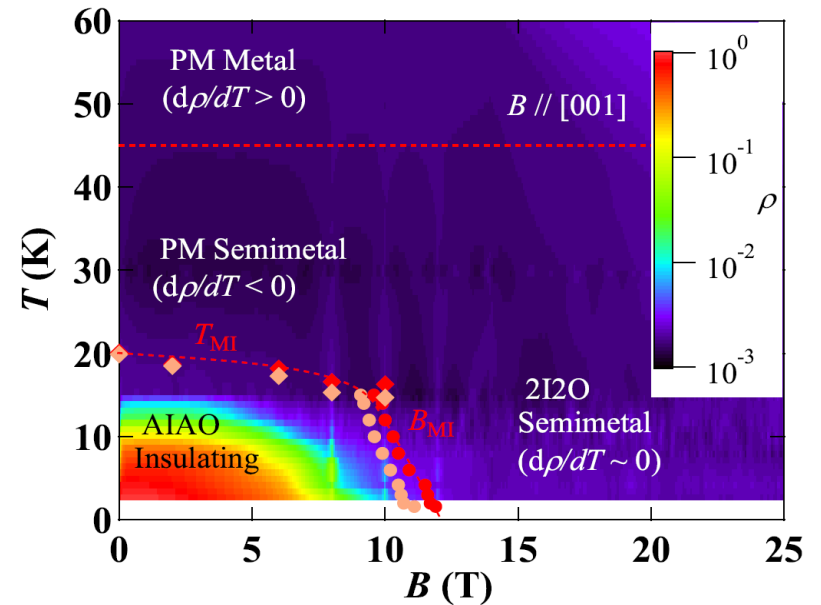
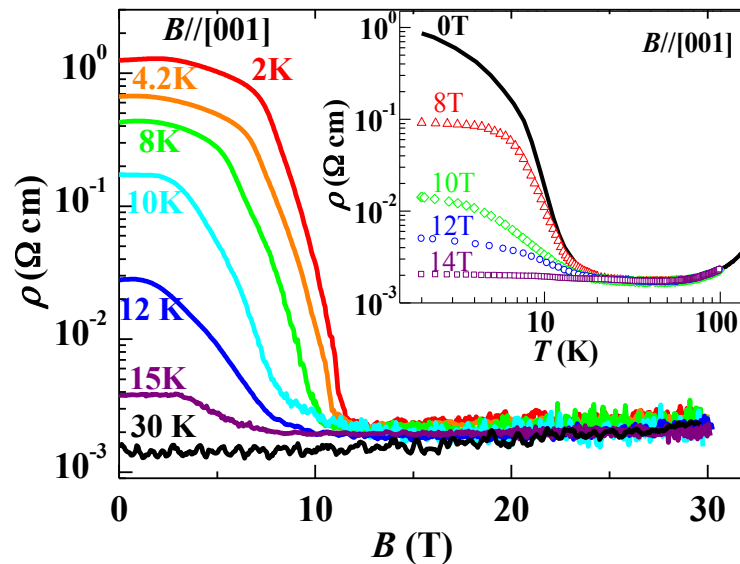


Zhaoming Tian et al, Nature Physics, 2015



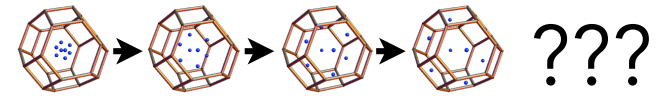
also K. Ueda et al, 2015

Metal-Insulator Transition

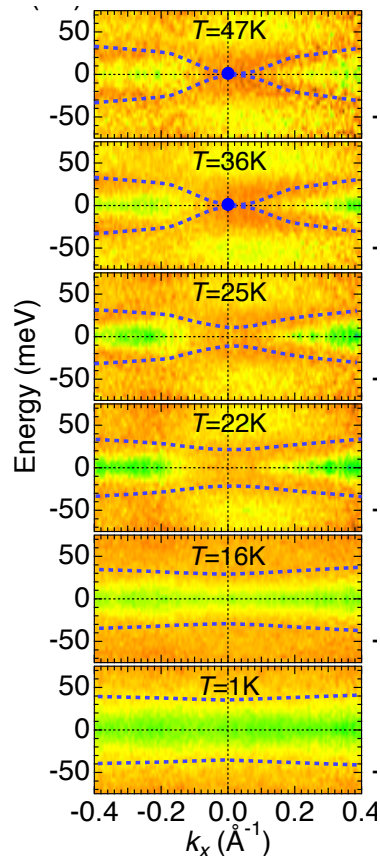


- Seems that the antiferromagnetic phase forms a closed region at small B and T .
- Not known: what is the nature of the high-field semimetal? Maybe a magnetic Weyl state?

ARPES



- Is the absence of Weyl points really due to this mean-field picture of moving nodes?



$T > T_c$: like Pr

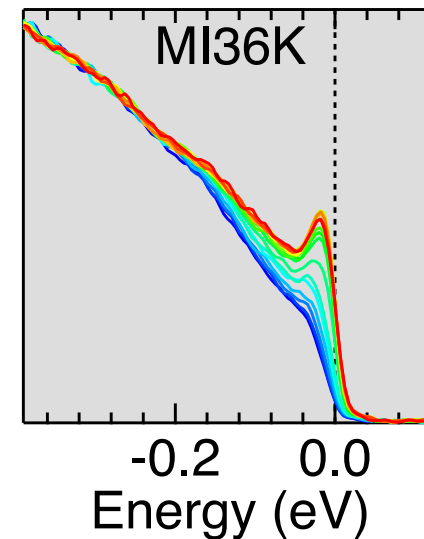
$T = T_c$: no precursor - Slater

$T < T_c$: gap developing

Slater to Mott
crossover?

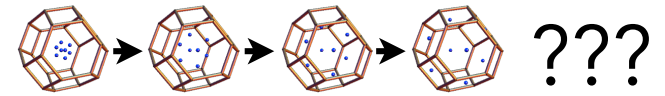
$T \ll T_c$: remarkably flat

Rather than moving nodes, we observe loss of quasiparticle as gap opens

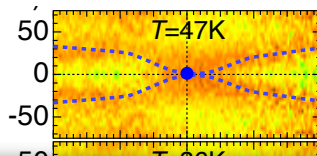


loss of quasiparticle peak

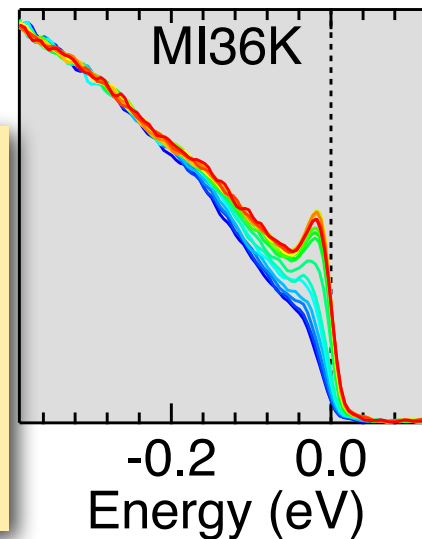
ARPES



- Is the absence of Weyl points really due to this mean-field picture of moving nodes?

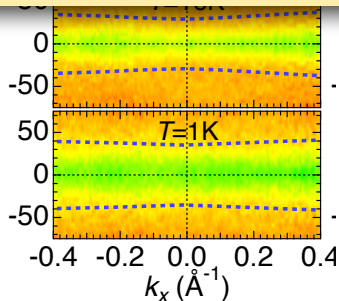


$T > T_c$: like Pr



loss of quasiparticle peak

This is one of several indications of *strong* correlation effects, that suggest that there may be subtleties beyond the mean field picture

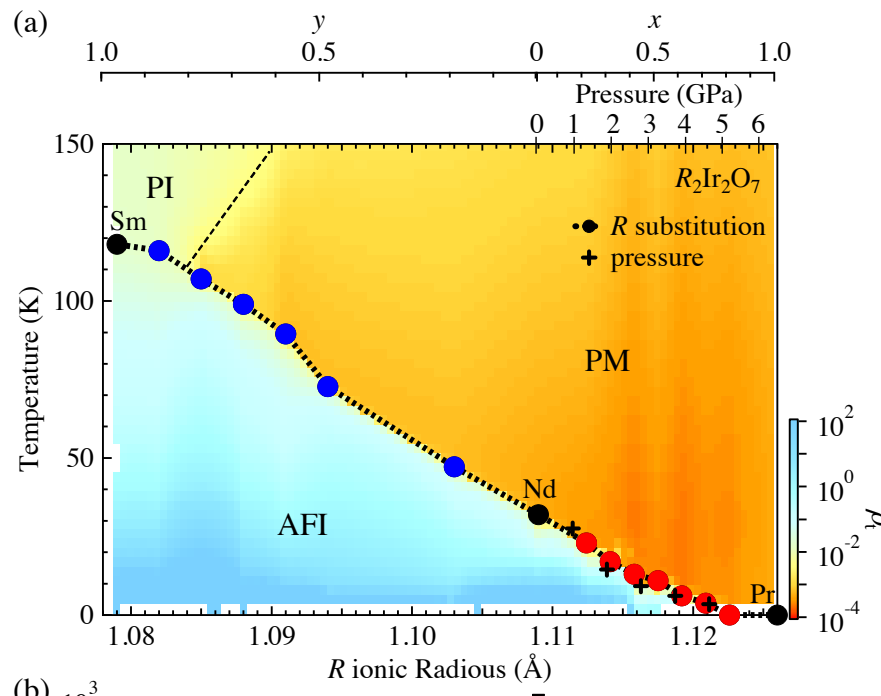


$T \ll T_c$: remarkably flat

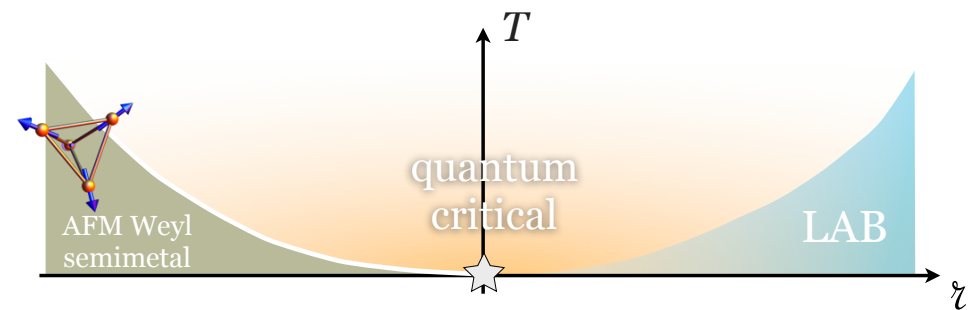
Rather than moving nodes, we observe loss of quasiparticle as gap opens

Prospects

It may be possible to weaken the order sufficiently to expose the Weyl points, and perhaps also explore quantum criticality



K. Ueda *et al*, 2015



L. Savary *et al*, 2014

nodal quantum criticality distinct from the Hertz problem

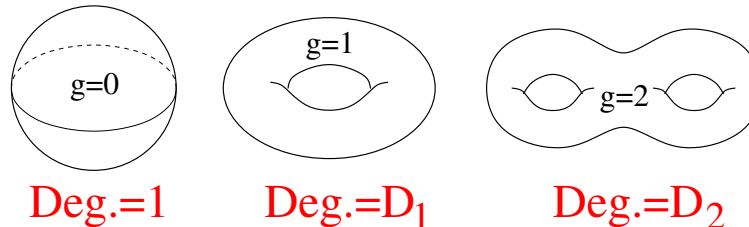
Three types of topology

Topological Spin Liquid
topology of entanglement

“intrinsic topological
order”

This type of topological phase can *only* exist with strong correlations. It reflects extreme entanglement of the many-body states

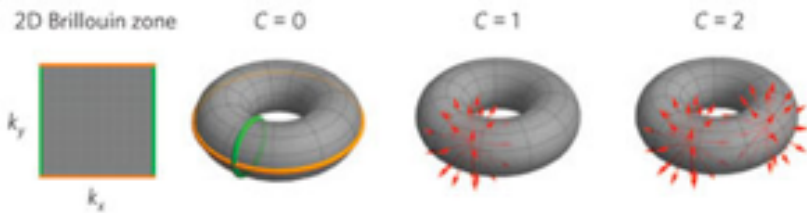
- Wen (1989): some many-body systems exhibit an “order” which is sensitive to the topology of the *spatial* manifold



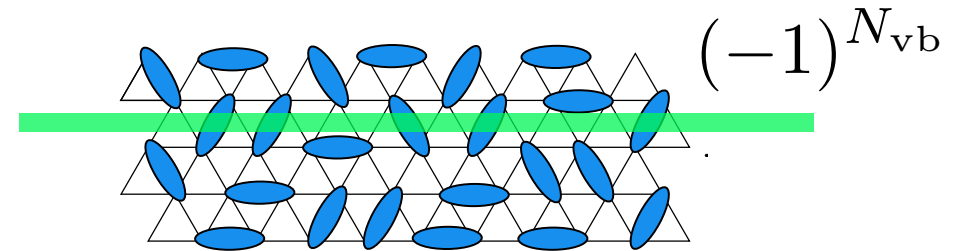
- This type of order is *completely robust*: does not need any symmetry

TI versus iTO

Topological invariants: a non-local integral over an extended manifold



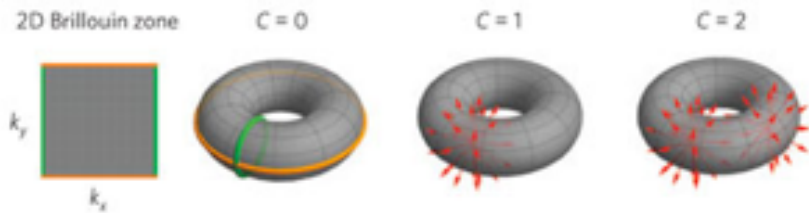
Chern number: integral over **2d k space** whose value differentiates **phases**



Wilson loop: integral over a **1d real space** curve whose value differentiates **states** in the same phase

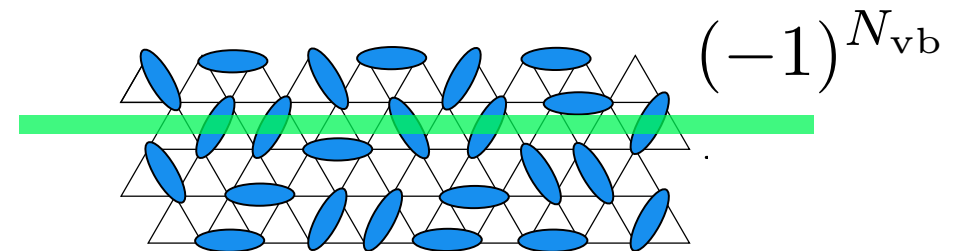
TI versus iTO

Topological invariants: a non-local integral over an extended manifold



Chern number: integral over **2d k space** whose value differentiates **phases**

break the 2d space: forms a **gapless edge**



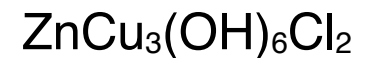
Wilson loop: integral over a **1d real space** curve whose value differentiates **states** in the same phase

break the 1d curve: forms a **gapped exotic quasiparticle**

Where is iTO?

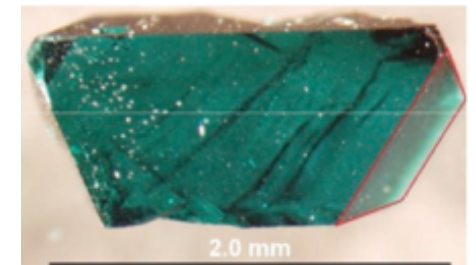
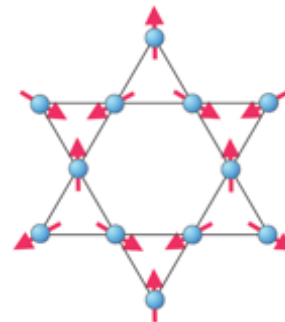
- Fractional quantum Hall effect is *both* an iTO state *and* a TI (Chern insulator)
- Other main candidates are *quantum spin liquids*

$$|\Psi\rangle = \text{[Diagram 1]} + \text{[Diagram 2]} + \dots$$



"RVB" state on
kagomé lattice?

still seeking definitive id



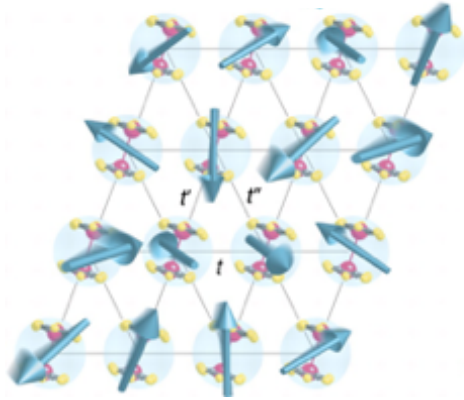
Young Lee, Takashi Imai,...

Spin liquid candidates?



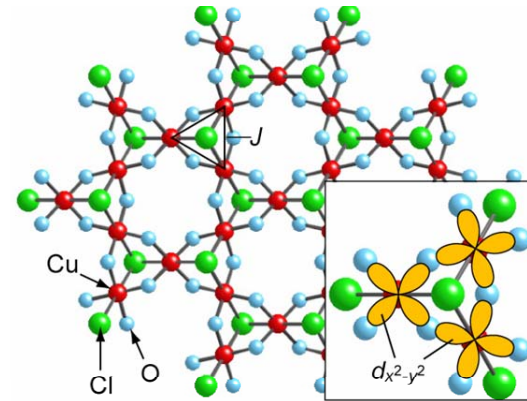
Top experimental platforms

K. Kanoda

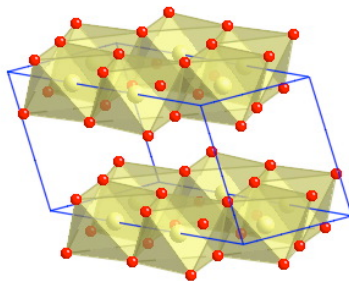


Organics

M. Fu



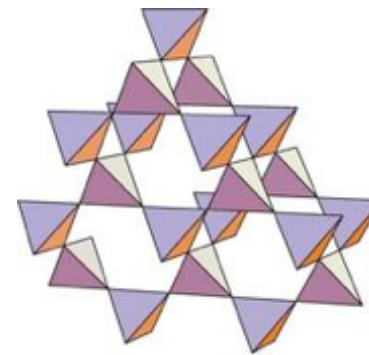
Herbertsmithite



Na_2IrO_3 ,
 (α, β, γ) -
 Li_2IrO_3
 $\alpha\text{-RuCl}_3$

Y.-B. Kim

Kitaev materials



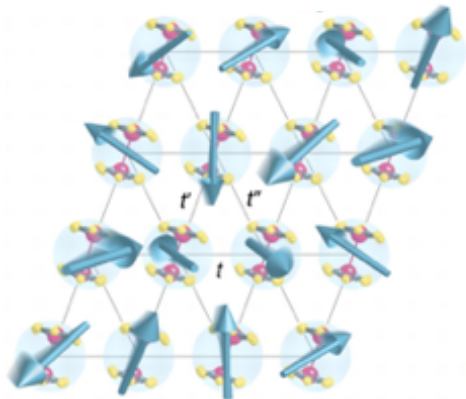
$\text{Yb}_2\text{Ti}_2\text{O}_7$
 $\text{Pr}_2\text{Zr}_2\text{O}_7$
 ...

G. Chen
 Y. Tokiwa

Quantum spin ice

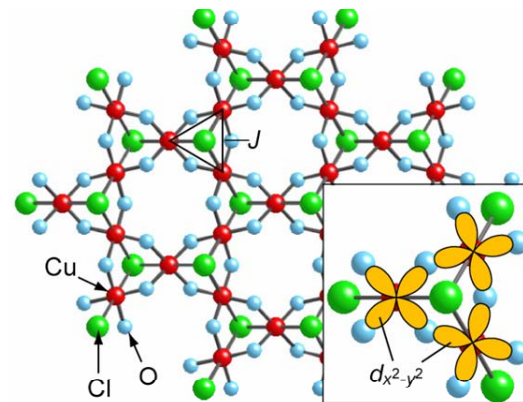
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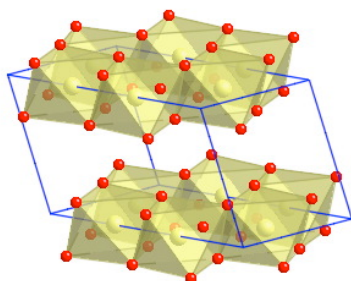


Organics

M. Fu



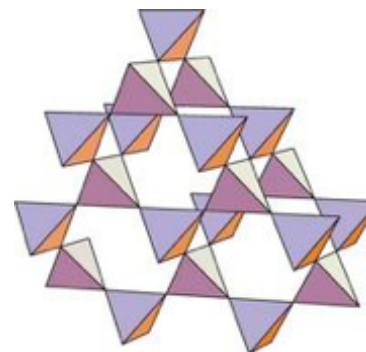
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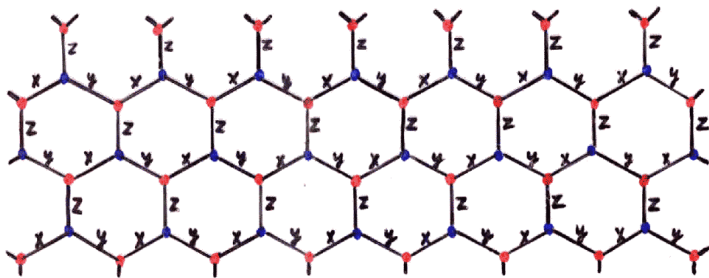
Kitaev model

Kitaev's honeycomb model

$$H = \sum_{i,\mu} K_{\mu} \sigma_i^{\mu} \sigma_{i+\mu}^{\mu}$$

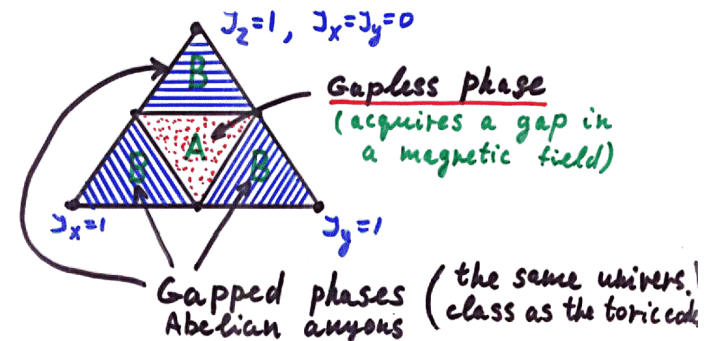
KITP, 2003

1. The model



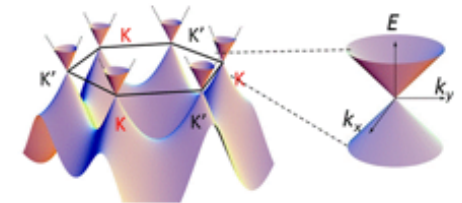
Spin $\frac{1}{2}$ on each site.

Phase diagram

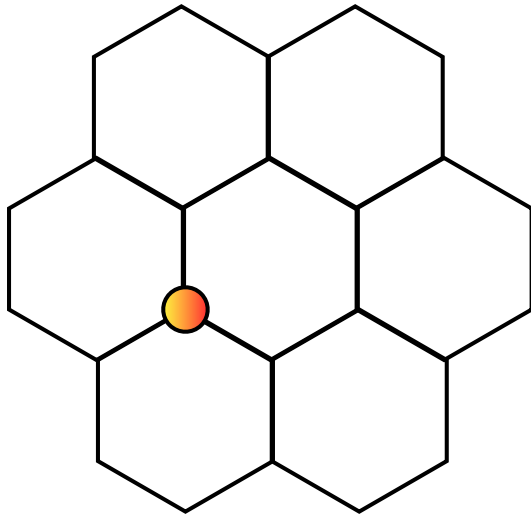


exact parton construction $\sigma_i^{\mu} = i c_i c_i^{\mu}$ $c_i c_i^x c_i^y c_i^z = 1$

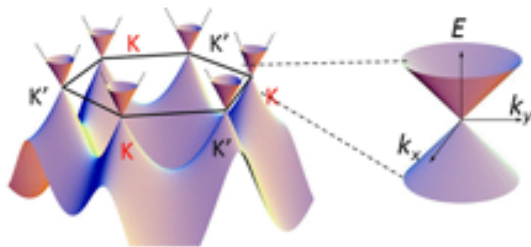
physical Majoranas $H_m = K \sum_{\langle ij \rangle} i c_i c_j$



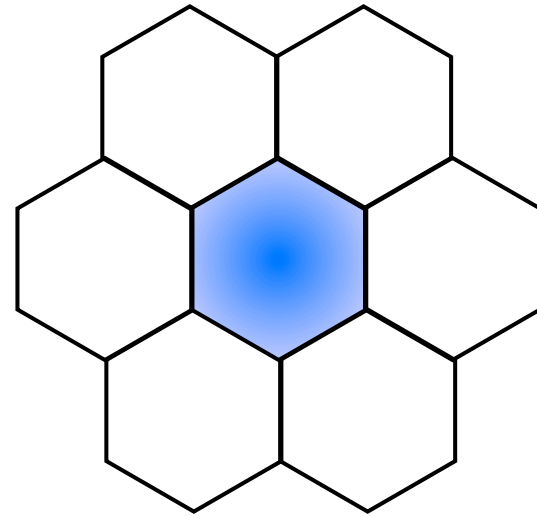
Non-local excitations



Majorana ε



gapless Dirac



Flux e, m



flux states



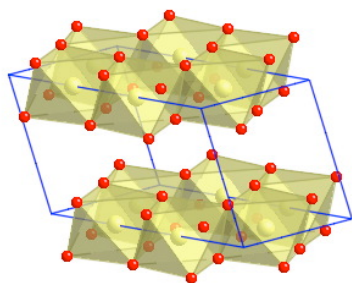
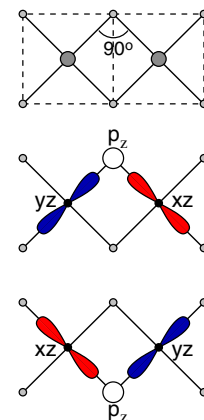
GS

gapped

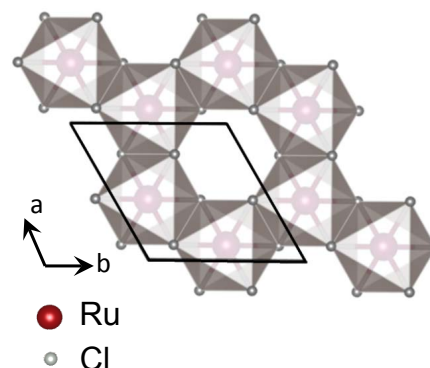
Kitaev Materials

Jackeli, Khaliullin

Showed that Kitaev interaction can be large in edge-sharing octahedra with large spin-orbit-coupling



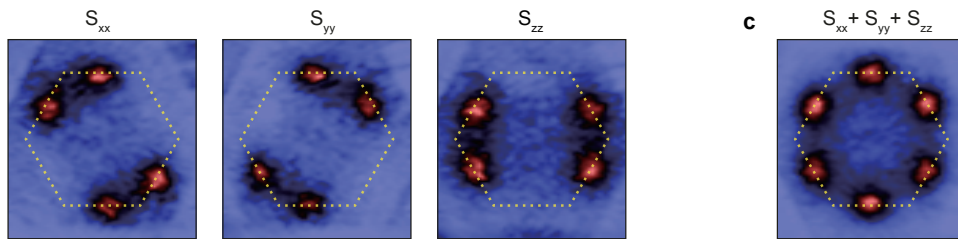
Na_2IrO_3 ,
(α, β, γ)-
 Li_2IrO_3



$\alpha\text{-RuCl}_3$

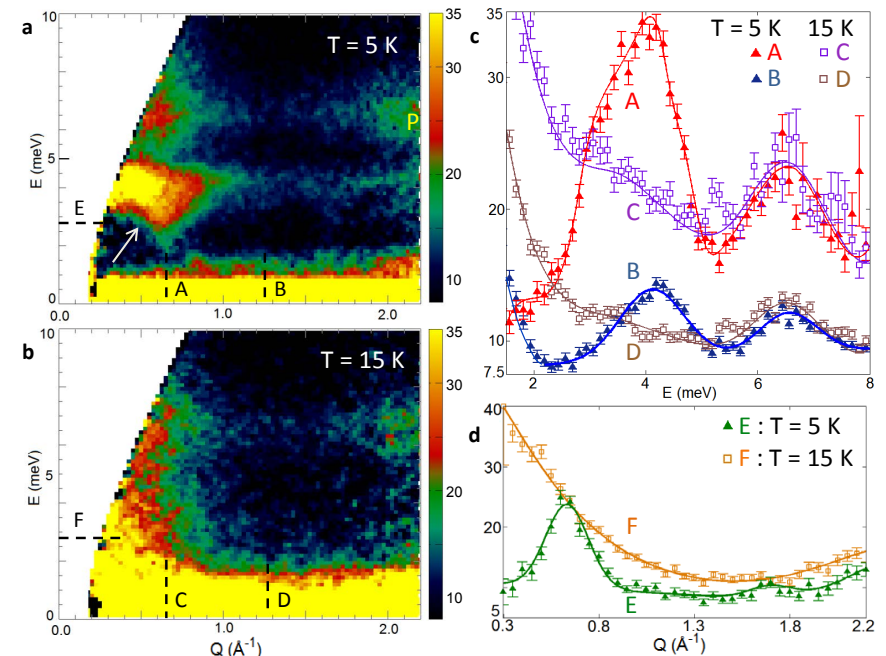
Honeycomb and hyper-honeycomb structures

Kitaev Materials



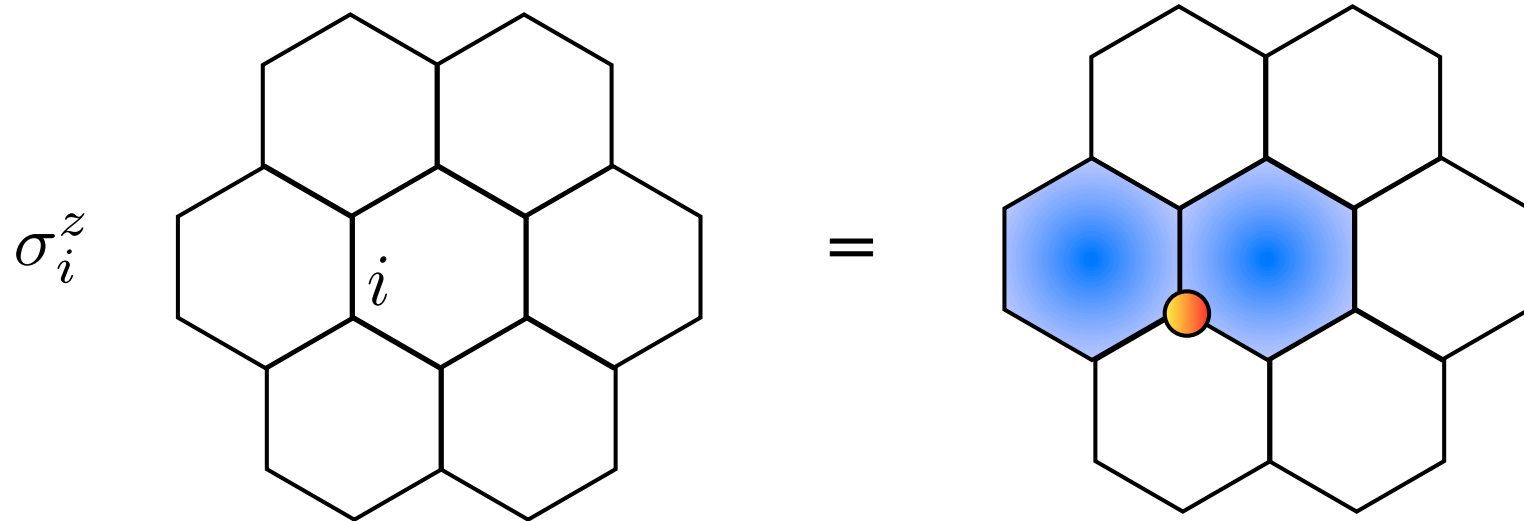
direct evidence for
direction-dependent
anisotropic exchange
from diffuse magnetic
x-ray scattering in
 Na_2IrO_3 (BJ Kim group)

there is pretty strong evidence
of substantial Kitaev exchange
in quite a few materials



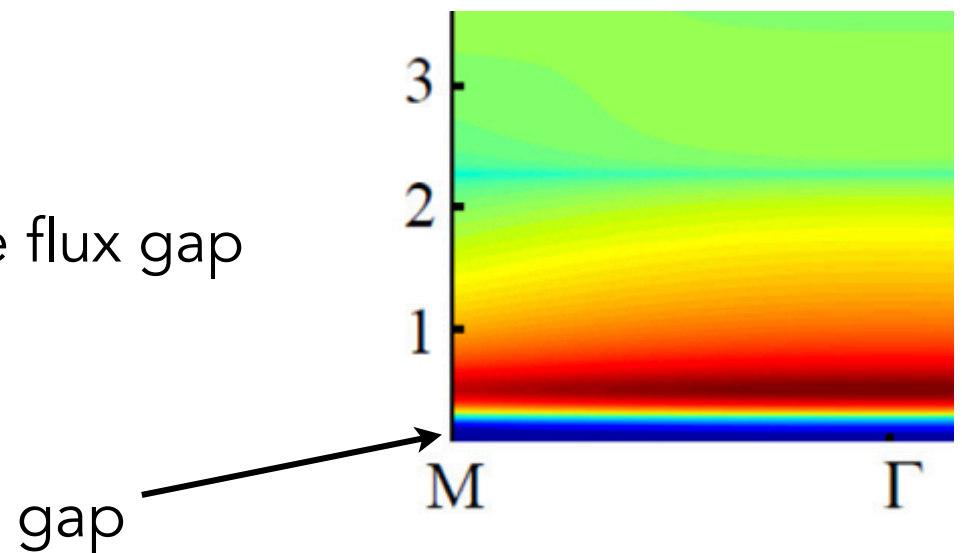
Observation of gapped
continuum mode persisting
above T_N in $\alpha\text{-RuCl}_3$
consistent with Majoranas
(A. Banerjee et al)

Exact spin correlations

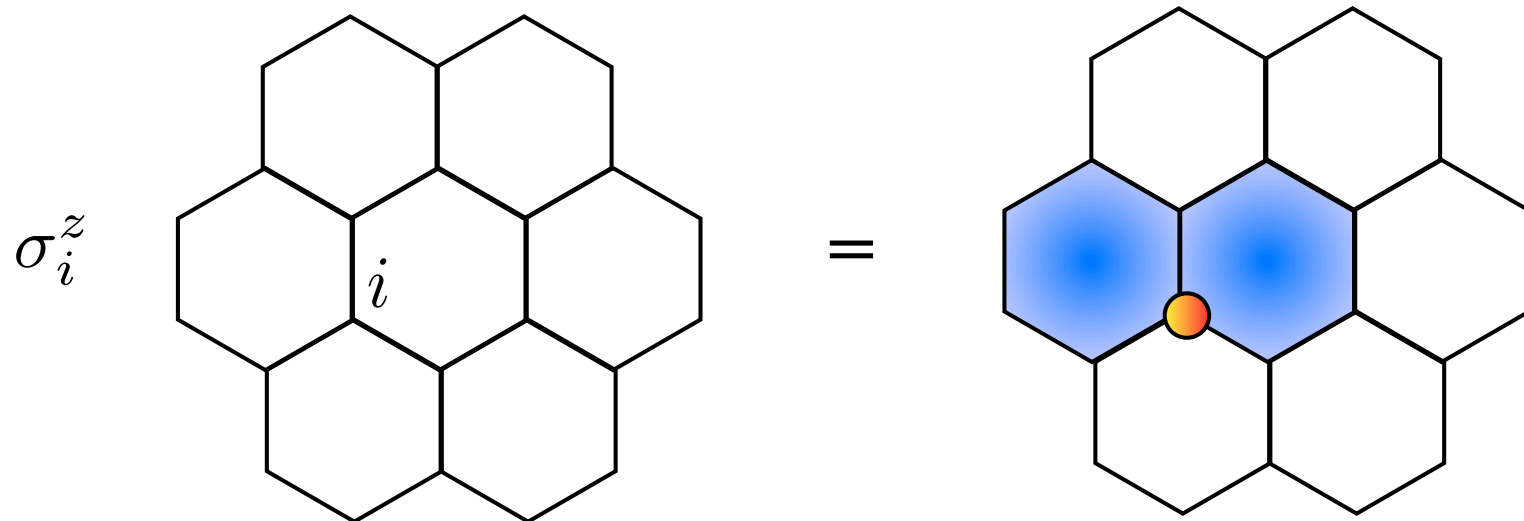


In the soluble model:

- The spin creates two fluxes
- Spectral weight is zero below the flux gap
- Correlations vanish beyond NNs



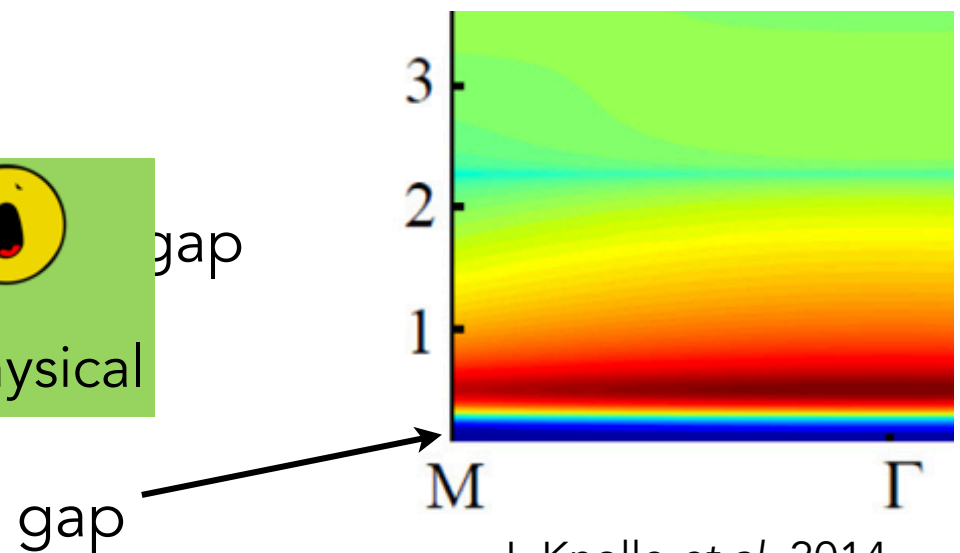
Exact spin correlations



In the soluble model:

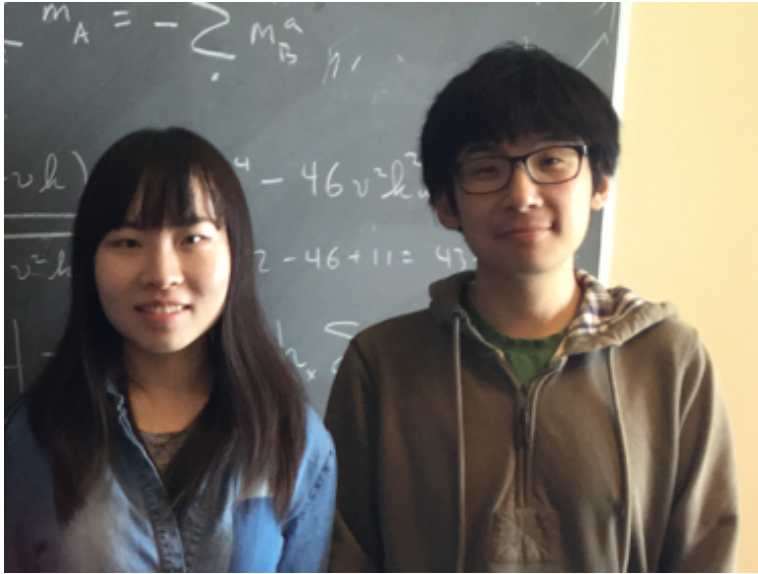
- The spin creates two fluxes
- Spectra very boring
- Correlation gap

But fortunately it is not physical



J. Knolle et al, 2014

Inexact but correct (universal) answer



宋雪洋
Xue-Yang
Song

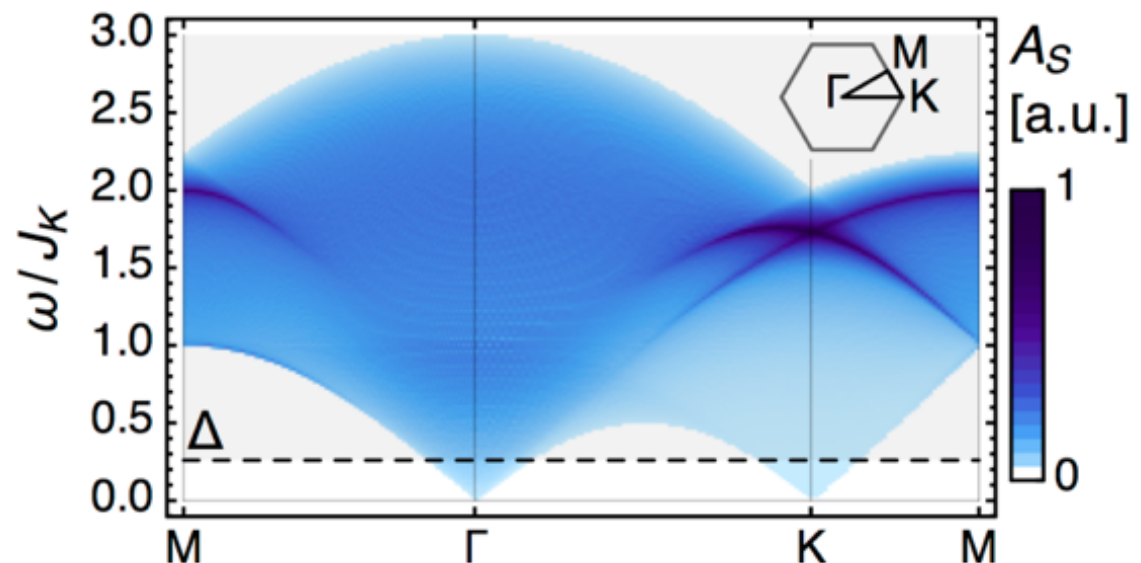
3rd yr ugrad
Peking U.

尤亦庄
Yi-Zhuang
You

postdoc
UCSB

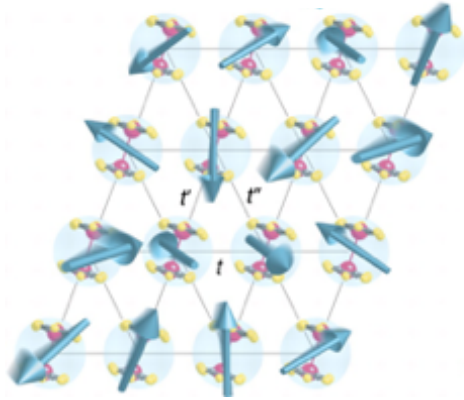
Generically: spin
correlations are *gapless*
and structured

(gapless contribution should be added
to the other one, which is like the
“incoherent” part in a Fermi liquid)



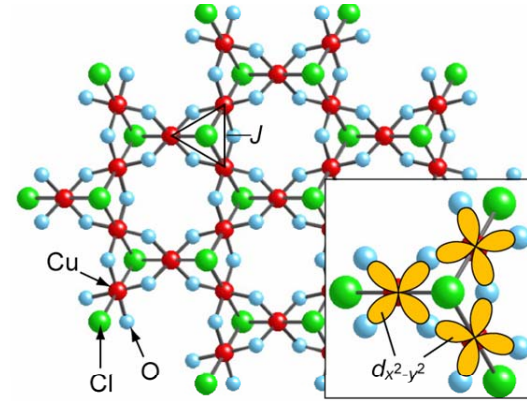
Top experimental platforms

K. Kanoda

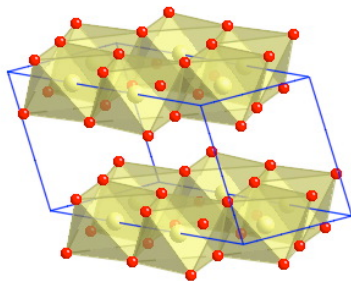


Organics

M. Fu



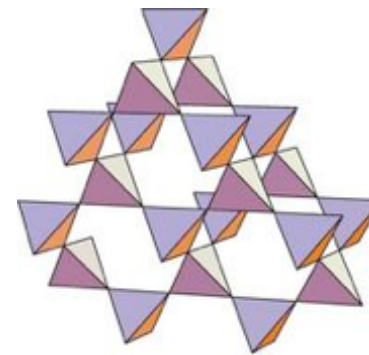
Herbertsmithite



Na_2IrO_3 ,
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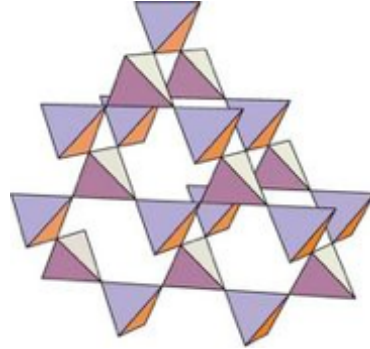
$\text{Yb}_2\text{Ti}_2\text{O}_7$
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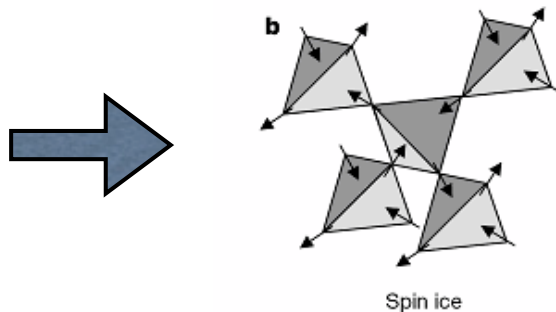
Quantum spin ice

Quantum spin ice

- Quantum $S=1/2$ spins on pyrochlore lattice



$$H = J_{zz} \sum_{\langle ij \rangle} S_i^z S_j^z + H_Q$$



+ Quantum fluctuations

2in-2out states

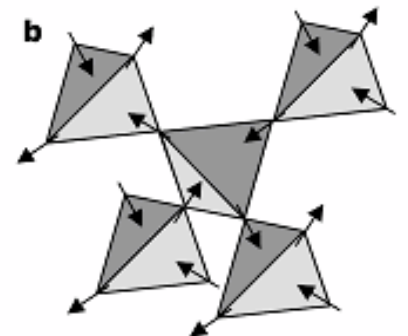
Quantum spin ice

- For non-Kramers ions, e.g. Pr^{3+}

$$H_Q = \sum_{\langle ij \rangle} \underbrace{[-J_{\pm} S_i^+ S_j^- + \text{h.c.} - J_{\pm\pm} \gamma_{ij} S_i^+ S_j^+ + \text{h.c.}]}_{\text{intrinsic exchange}} - \sum_i \underbrace{[h_i S_i^+ + \text{h.c.}]}_{\text{extrinsic crystal fields}}$$

With a lot more work one can show that *both* types of quantum terms favor a massive superposition: a quantum spin liquid state

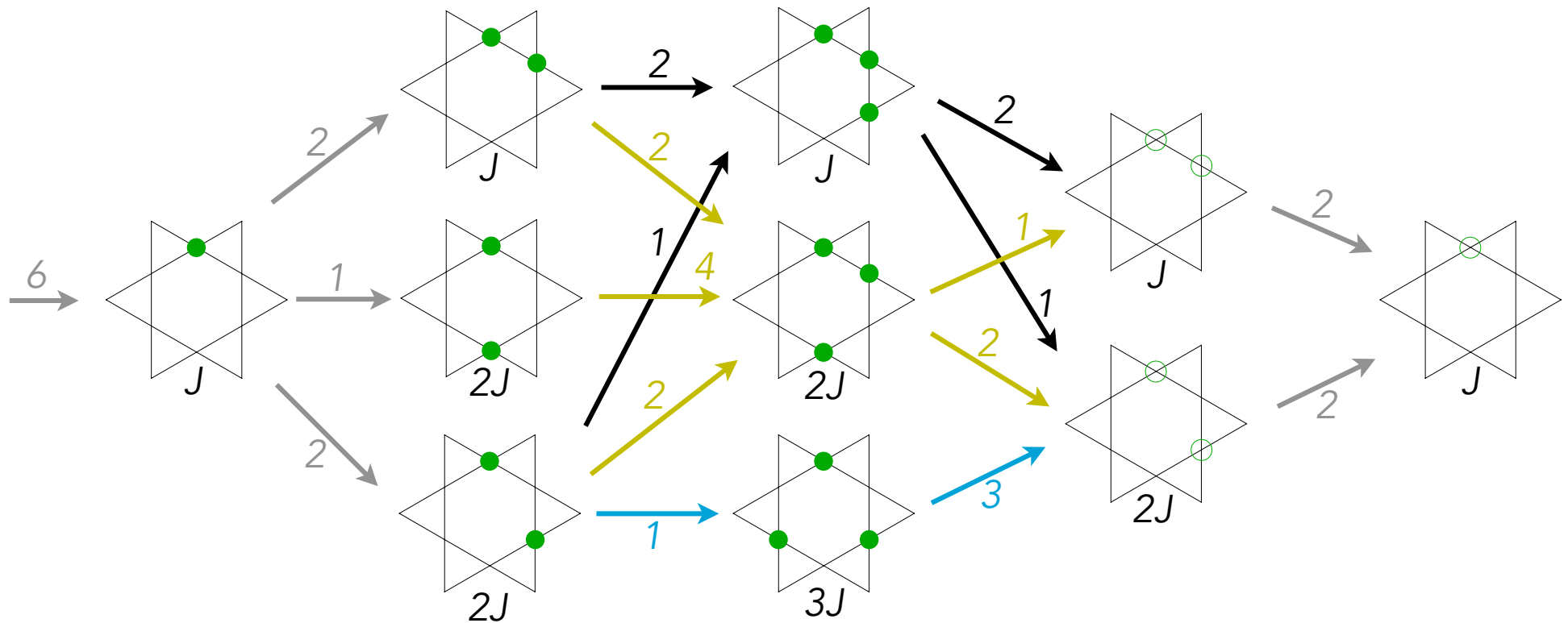
$$|\Psi\rangle = \sum$$



M. Hermele, MPA Fisher, L.B., 2004; A. Banerjee et al, 2008;
L. Savary + LB, 2012; SB Lee et al, 2012...

Perturbation theory

e.g. random crystal fields h_i



Leading result of disorder is to induce quantum "ring exchange"

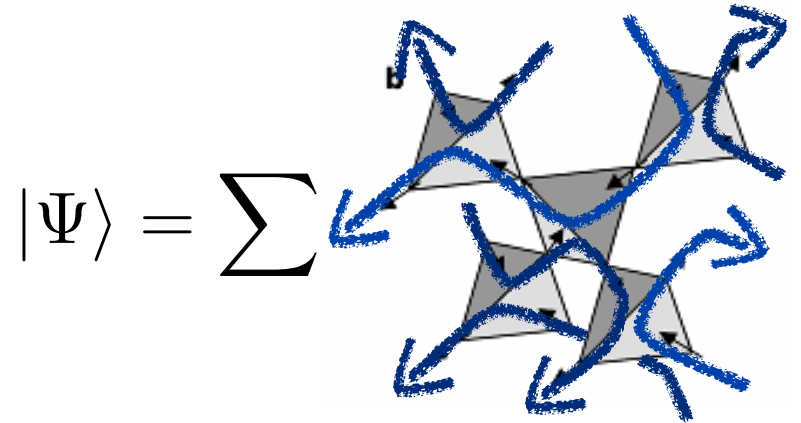
"dynamics
from
disorder"

Quantum spin ice

- For non-Kramers ions, e.g. Pr^{3+}

$$H_Q = \sum_{\langle ij \rangle} \underbrace{[-J_{\pm} S_i^+ S_j^- + \text{h.c.} - J_{\pm\pm} \gamma_{ij} S_i^+ S_j^+ + \text{h.c.}]}_{\text{intrinsic exchange}} - \sum_i \underbrace{[h_i S_i^+ + \text{h.c.}]}_{\text{extrinsic crystal fields}}$$

U(1) QSL: fluctuating “field lines”
and emergent electromagnetism



M. Hermele, MPA Fisher, L.B., 2004; A. Banerjee et al, 2008;
L. Savary + LB, 2012; SB Lee et al, 2012...

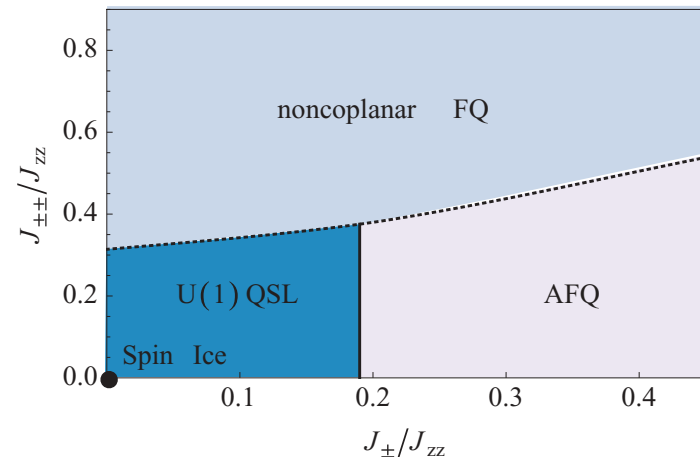
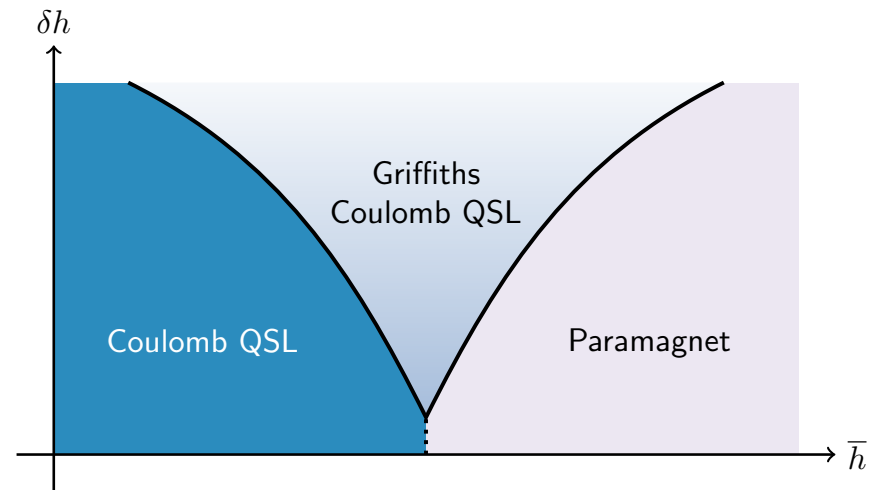
Quantum spin ice

a surprise: in the non-Kramers case, **disorder alone** can generate the QSL

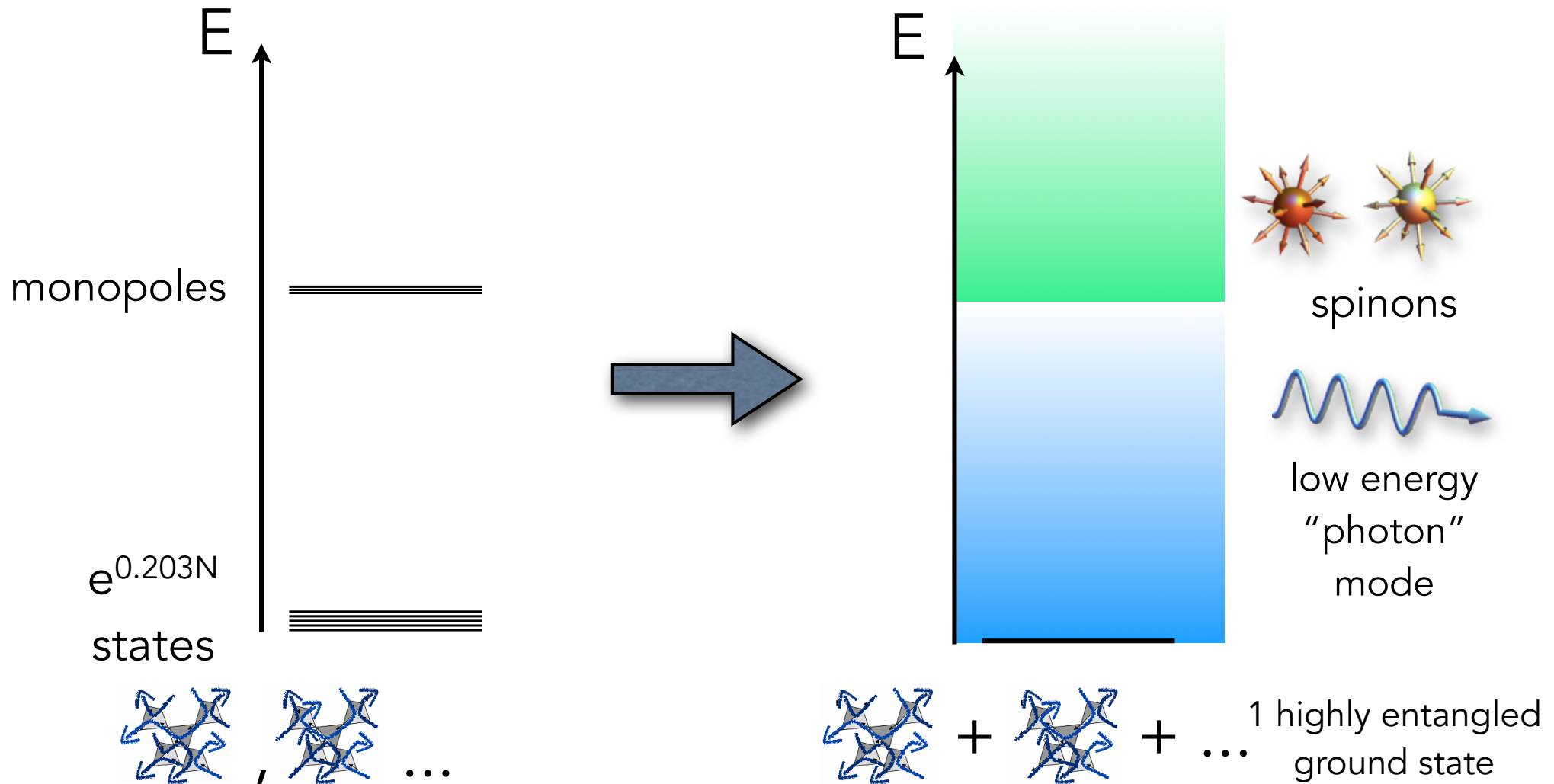
L. Savary + LB, arXiv:1604.04630

in general, we expect it to assist the intrinsic quantum exchange terms.

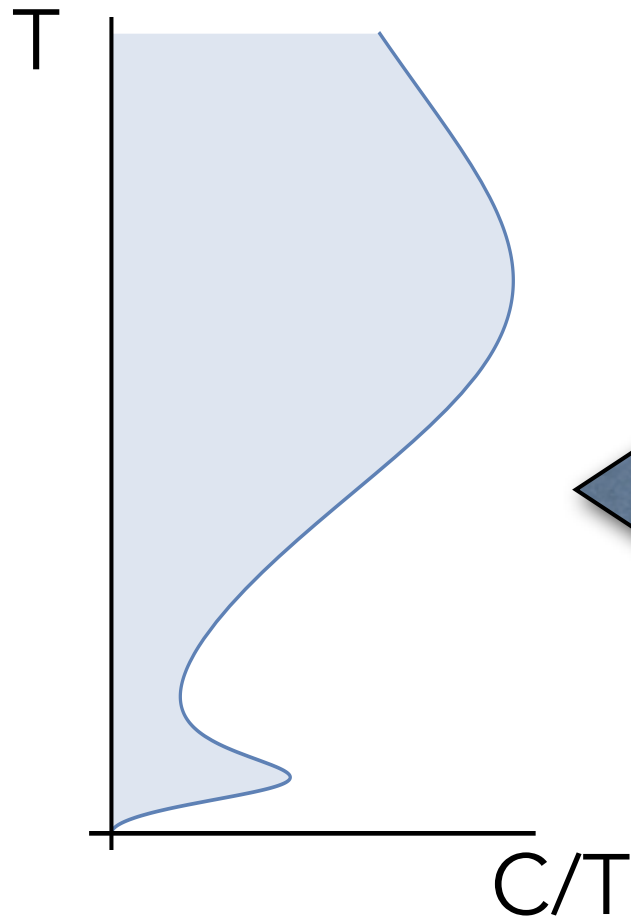
SB Lee *et al*, 2012



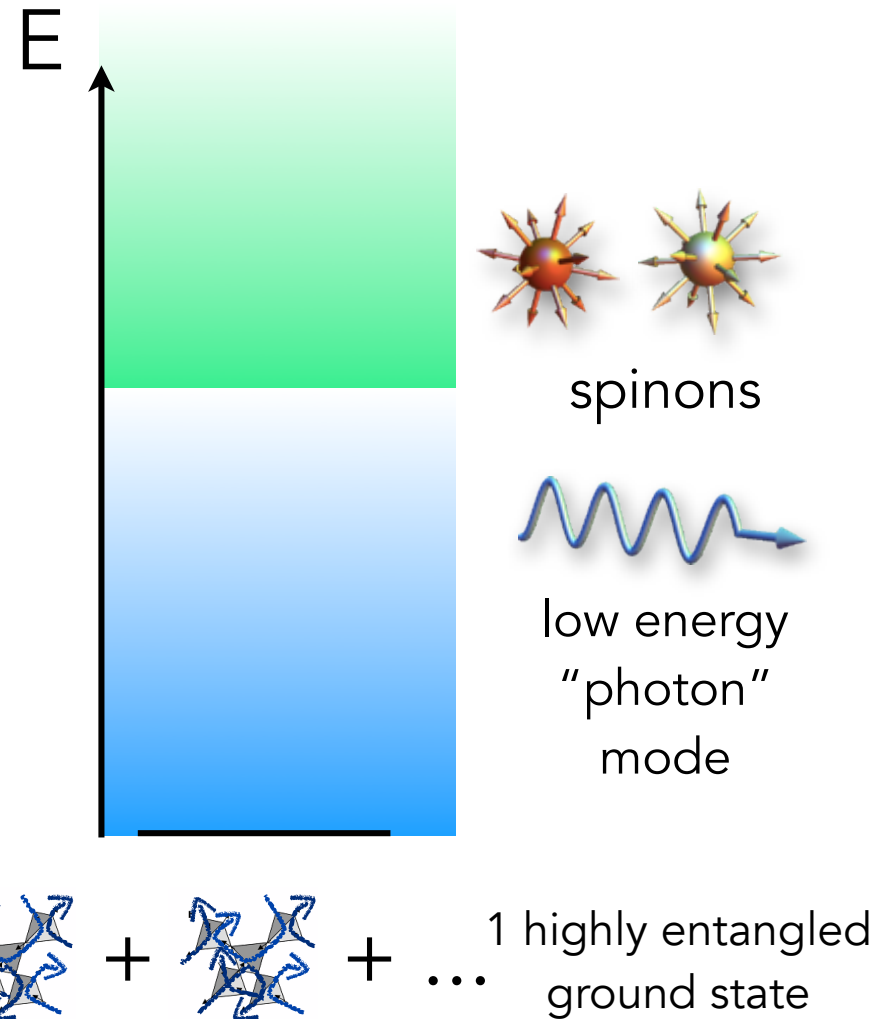
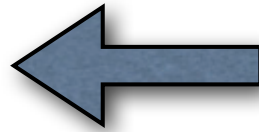
Quantum spin ice



Quantum spin ice

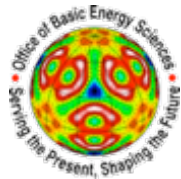


c.f. Y. Tokiwa We-S12-5



Summary

- Together, topology and SCES can help to achieve enlightenment.



GORDON AND BETTY
MOORE
FOUNDATION

