

Correlations and Topology: a Review

Leon Balents, KITP



**Quantum Criticality
and Topology in Itinerant
Electron Systems**



August 2016

Seeking a convergence?

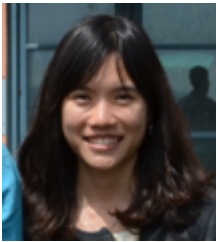


Jackson Pollack, Convergence, 1952

Correlations

Topology

People



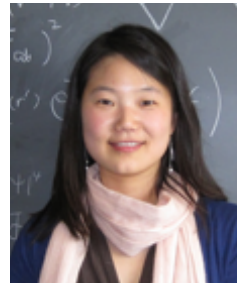
Ru Chen



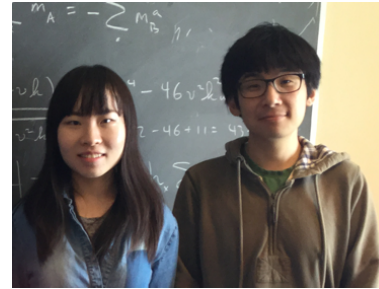
Lucile
Savary



Eun-Gook
Moon



Sung-Bin
Lee



宋雪洋 尤亦庄
Xue-Yang Song Yi-Zhuang
You



T. Hsieh



H. Ishizuka

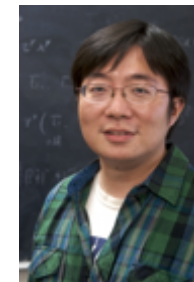
S. Nakatsuji

T. Kondo

Z. Tian

S. Shin

Yong-Baek Kim



Cenke Xu



Jianpeng Liu



Jay-Z

Outline

- Where can correlations enrich topology?
- Three types of topology: band topology, Berry phase topology, intrinsic topological order
- Correlations in these:
 - Bosonic SPT phases
 - Correlated Weyl fermions
 - Drumhead surface states
- If there's time, intrinsic topological order

Three types of topology

Topological Insulator
topology of filled bands

"symmetry protected
topological order"

Topological Semimetal
topology of k-surfaces

"Berry phase topology"

Topological Spin Liquid
topology of entanglement

"intrinsic topological
order"

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“intrinsic topological
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+ Correlations??

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+ Correlations:

◆ Topological Kondo Insulator SmB_6 ?

Three types of topology

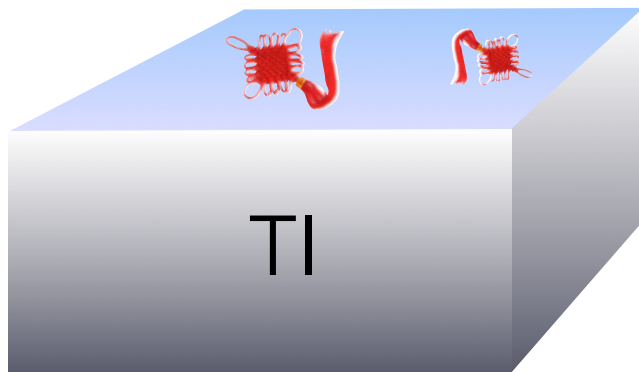
Topological Insulator
topology of filled bands

“symmetry protected
topological order”

+ Correlations:

- ◆ Topological Kondo Insulator SmB_6 ?
- ◆ Surface states may be more correlated than bulk

surface state with gapped non-abelian anyons



C. Wang *et al*, 2013
L. Fidkowski *et al*, 2013
M.A. Metlitski *et al*, 2013
P. Bonderson *et al*, 2013

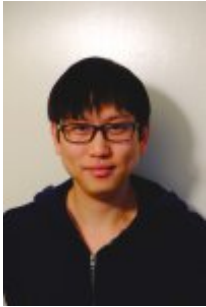
Three types of topology

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+ Correlations:

- ◆ Topological Kondo Insulator SmB_6 ?
- ◆ Surface states may be more correlated than bulk
- ◆ Bosonic SPT states



SPT phases



Symmetry protected topological order

From Wikipedia, the free encyclopedia

Symmetry Protected Topological order (SPT order)^[1] is a kind of order in [zero-temperature](#) quantum-mechanical states of matter that have a symmetry and a finite energy gap.

To derive the results in a most-invariant way, [renormalization group methods](#) are used (leading to equivalence classes corresponding to certain fixed points).^[1] The SPT order has the following defining properties:

- (a) *distinct SPT states with a given symmetry cannot be smoothly deformed into each other without a phase transition, if the deformation preserves the symmetry.*
- (b) *however, they all can be smoothly deformed into the same trivial product state without a phase transition, if the symmetry is broken during the deformation.*

Using the notion of [quantum entanglement](#), we can say that SPT states are **short-range entangled** states *with a symmetry* (by contrast: for long-range entanglement see [topological order](#), which is not related to the famous [EPR paradox](#)). Since short-range entangled states have only trivial [topological orders](#) we may also refer the SPT order as Symmetry Protected "Trivial" order.

Contents [\[hide\]](#)

- 1 Characteristic properties of SPT order
- 2 Relation between SPT order and (intrinsic) topological order
- 3 Examples of SPT order
- 4 Group cohomology theory for SPT phases
- 5 A complete classification of 1D gapped quantum phases (with interactions)
- 6 See also
- 7 References

A list of bosonic SPT states from group cohomology $H^{d+1}[G, U(1)] \oplus_{k=1}^d H^k[G, iTO^{d+1-k}]$ (Z_2^T = time-reversal-symmetry group)

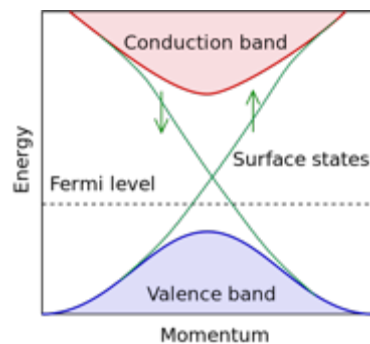
symm. group	1+1D	2+1D	3+1D	4+1D	comment
0	0	Z	0	Z_2	iTO phases with no symmetry: iTO^{d+1}
$U(1) \rtimes Z_2^T$	Z_2	Z_2	$2Z_2 + Z_2$	$Z \oplus Z_2 + Z$	bosonic topological insulator
Z_2^T	Z_2	0	$Z_2 + Z_2$	0	bosonic topological superconductor
Z_n	0	Z_n	0	$Z_n + Z_n$	
$U(1)$	0	Z	0	$Z + Z$	2+1D: quantum Hall effect
$SO(3)$	Z_2	Z	0	Z_2	1+1D: Haldane phase; 2+1D: spin Hall effect
$SO(3) \times Z_2^T$	$2Z_2$	Z_2	$3Z_2 + Z_2$	$2Z_2$	
$Z_2 \times Z_2 \times Z_2^T$	$4Z_2$	$6Z_2$	$9Z_2 + Z_2$	$12Z_2 + 2Z_2$	

SPT phases

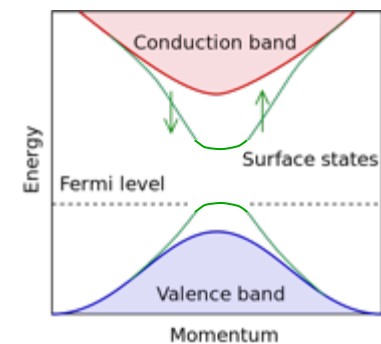
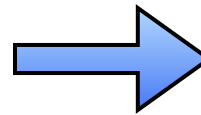
- An SPT phase is:
 - A gapped state which can be deformed to a product state if and only if a symmetry broken during the deformation
 - A state with usually gapless but always anomalous states at its boundary
 - A generalization of topological band insulators to interacting systems, spins, bosons etc.

The examples

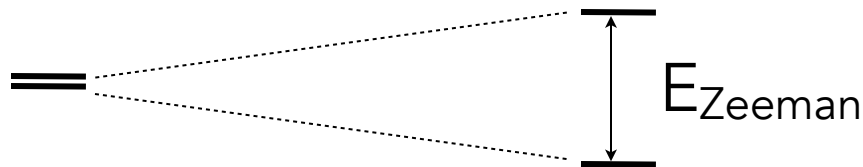
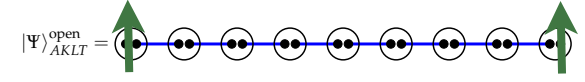
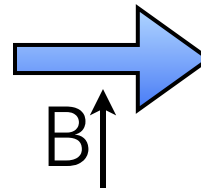
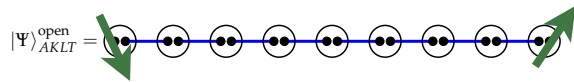
Topological insulator



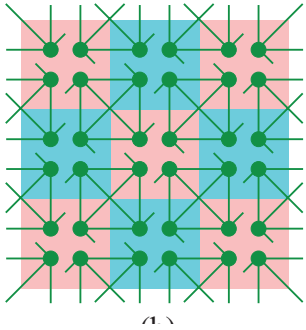
TR-breaking



Haldane/AKLT chain - a bosonic SPT



Bosonic SPTs in $d > 1$?



Chen, Gu, Liu, Wen - *many* tensor network states
classified by $\mathcal{H}^{1+d}[G, U_T(1)]$

Symn. group	$d=0$	$d=1$	$d=2$	$d=3$
Z_2^1	Z_1	Z_2	Z_1	Z_2
$Z_2^2 \times \text{trn}$	Z_1	Z_2	Z_2^2	Z_1
Z_n	Z_n	Z_1	Z_n	Z_1
$Z_n \times \text{trn}$	Z_n	Z_n	Z_n^2	Z_n
$U(1)$	Z	Z	Z	Z_1
$U(1) \times \text{trn}$	Z	Z	Z^2	Z^2
$U(1) \rtimes Z_2^1$	Z	Z	Z_2	Z_1
$U(1) \rtimes Z_2^2 \times \text{trn}$	Z	$Z \times Z_2$	$Z \times Z_2^2$	$Z \times Z_2^2$
$U(1) \times Z_2^1$	Z_1	Z_2^2	Z_1	Z_2^2
$U(1) \times Z_2^2 \times \text{trn}$	Z_1	Z_2^2	Z_2^2	Z_2^2
$U(1) \times Z_2$	Z_2	Z_2	$Z \times Z_2$	Z_2
$U(1) \times Z_n$	$Z \times Z_2$	Z_1	$Z \times Z_2^2$	Z_1
$Z_n \rtimes Z_2^1$	Z_n	$Z_2 \times Z_{(2,n)}$	$Z_{(2,n)}^2$	$Z_2 \times Z_{(2,n)}^2$
$Z_n \rtimes Z_2^2$	$Z_{(2,n)}$	$Z_2 \times Z_{(2,n)}$	$Z_{(2,n)}^2$	$Z_2 \times Z_{(2,n)}^2$
$Z_n \rtimes Z_2$	$Z_2 \times Z_{(2,n)}$	$Z_{(2,n)}$	$Z_n \times Z_2 \times Z_{(2,n)}$	$Z_{(2,n)}^2$
$Z_m \times Z_n$	$Z_m \times Z_n$	$Z_{(m,n)}$	$Z_m \times Z_n \times Z_{(m,n)}$	$Z_{(m,n)}^2$
$D_2 \times Z_2^1 = D_{2k}$	Z_2^2	Z_2^2	Z_2^2	Z_2^2
$Z_m \times Z_n \times Z_2^1$	$Z_{(2,m)} \times Z_{(2,n)}$	$Z_2 \times Z_{(2,m)} \times Z_{(2,n)} \times Z_{(2,m,n)}$	$Z_{(2,m,n)}^2 \times Z_{(2,m)}^2 \times Z_{(2,n)}^2$	$Z_2 \times Z_{(2,m,n)}^2 \times Z_{(2,m)}^2 \times Z_{(2,n)}^2$
$SU(2)$	Z_1	Z_1	Z	Z_1
$SO(3)$	Z_1	Z_2	Z	Z_1
$SO(3) \times \text{trn}$	Z_1	Z_2	$Z \times Z_2^2$	$Z^2 \times Z_2^2$
$SO(3) \times Z_2^1$	Z_1	Z_2^2	Z_2	Z_1
$SO(3) \times Z_2^2 \times \text{trn}$	Z_1	Z_2^2	Z_2^2	Z_2^2



(c) Time reversal & $U(1)_{\text{charge}}$ Symmetry:
 \mathbf{Z}_2 classes. Non-chiral Edge.

YM Liu + Vishwanath - K-matrix theory in 2d

$$S = \frac{i}{4\pi} \int d^2x d\tau K_{IJ} \epsilon^{\mu\nu\lambda} a_\mu^I \partial_\nu a_\lambda^J$$

all these states have a $c=1$ Luttinger liquid edge

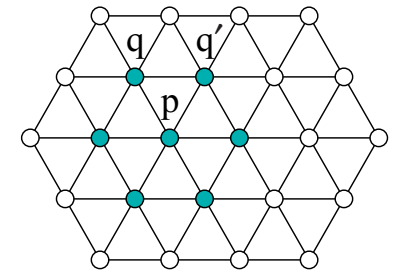
Any models?

- Tensor network constructions

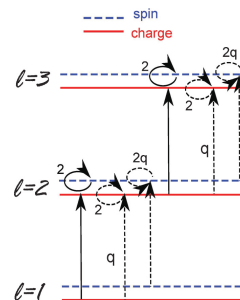
$$H_i = \sigma_i^+ \eta_{21}^+ \eta_{32}^+ \eta_{43}^+ \eta_{45}^+ \eta_{56}^+ \eta_{61}^+ + \sigma_i^- \eta_{21}^- \eta_{32}^- \eta_{43}^- \eta_{45}^- \eta_{56}^- \eta_{61}^-,$$

- Levin-Gu model

$$H_1 = - \sum_p B_p, \quad B_p = -\sigma_p^x \prod_{\langle pqq' \rangle} i^{\frac{1-\sigma_q^z \sigma_{q'}^z}{2}},$$



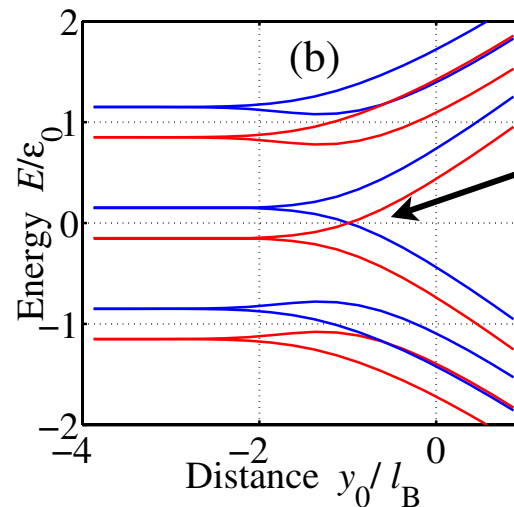
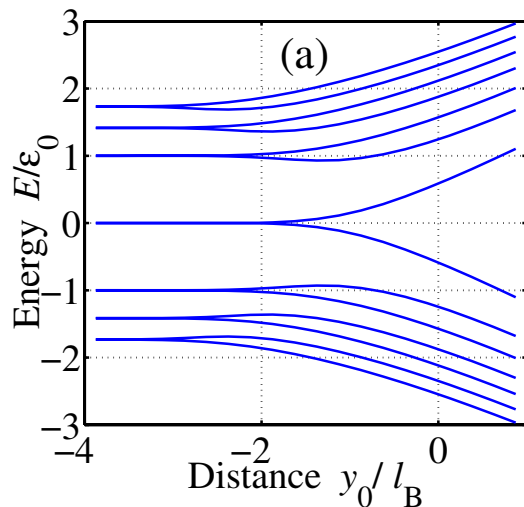
- Coupled wires



Pretty hard to realize

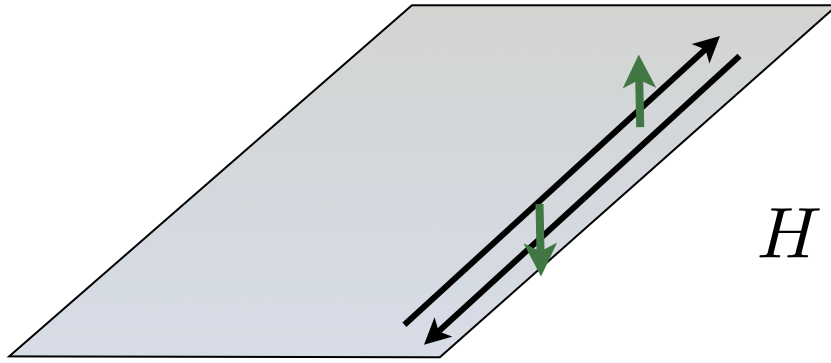
Graphene

- Kane+Mele: QSHE at zero field in graphene from SOC - but tiny effect
- Abanin, Lee, Levitov: "fake" QSHE in graphene due in quantum Hall regime



"helical" edge
 $\nu = 0$

Graphene "QSHE"



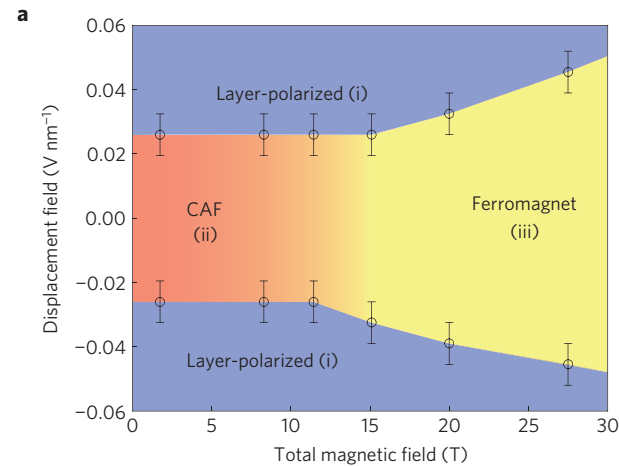
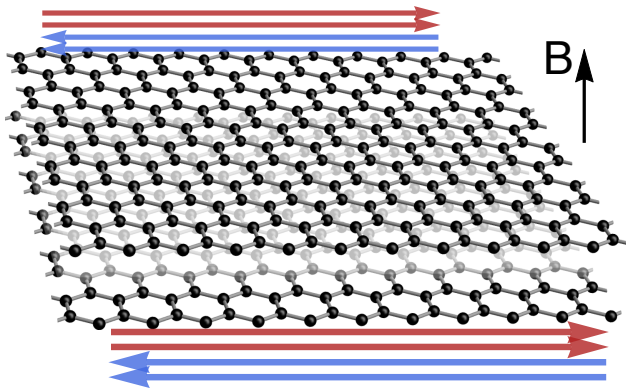
edge is spin-momentum locked

$$\begin{aligned} H &= iv \int dx \left[\psi_R^\dagger \partial_x \psi_R - \psi_L^\dagger \partial_x \psi_L \right] \\ &= iv \int dx \left[\psi_\uparrow^\dagger \partial_x \psi_\uparrow - \psi_\downarrow^\dagger \partial_x \psi_\downarrow \right] \end{aligned}$$

This is a "Fermionic SPT"

- Backscattering is prohibited by spin-conservation symmetry (excellent approximation since SOC weak)

Bilayer graphene

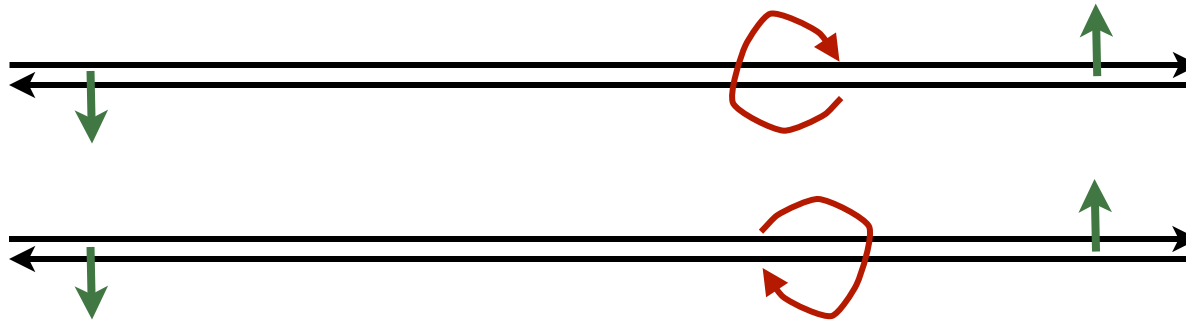


Maher *et al*, 2013

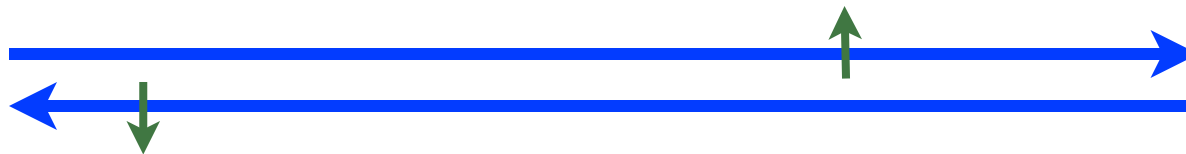
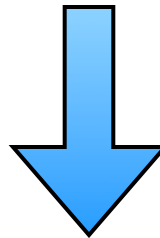
If spin is conserved, this is characterized by spin Chern number 2

Edge has two helical fermionic edge states

Interactions



backscattering $H_{\text{bs}} = g \int dx \left[\psi_{1R}^\dagger \psi_{1L} \psi_{2L}^\dagger \psi_{2R} + \text{h.c.} \right]$



a single bosonic helical edge

How to get this

- Bosonization $\psi_{a,L/R}, \psi_{a,L/R}^\dagger \rightarrow \theta_a, \phi_a$
- Rotate $\theta_\pm = \theta_1 \pm \theta_2, \phi_\pm = \frac{1}{2}(\phi_1 \pm \phi_2)$
- Interaction induces gap for "-" sector

$$H_{bs} \sim g \int \cos 2\phi_-$$

- Only symmetric sector remains

$$H_{\text{eff}} = \int dx \left[\frac{v}{2K} (\partial_x \theta)^2 + \frac{vK}{2} (\partial_x \phi)^2 \right]$$

SPT?



$$H_{\text{eff}} = \int dx \left[\frac{v}{2K} (\partial_x \theta)^2 + \frac{vK}{2} (\partial_x \phi)^2 \right]$$

- How is it different from just a spin-polarized quantum wire (which has the same bosonized Hamiltonian)?

- Symmetry:

$$U(1)_c \times U(1)_s$$

- Charge conservation:

$$\theta \rightarrow \theta + \alpha$$

- Spin conservation:

$$\underline{\phi \rightarrow \phi + \alpha}$$

Bosonic?



$$H_{\text{eff}} = \int dx \left[\frac{v}{2K} (\partial_x \theta)^2 + \frac{vK}{2} (\partial_x \phi)^2 \right]$$

- All fermionic excitations are *gapped*
- Excitations of even number of fermions are gapless. Primarily:

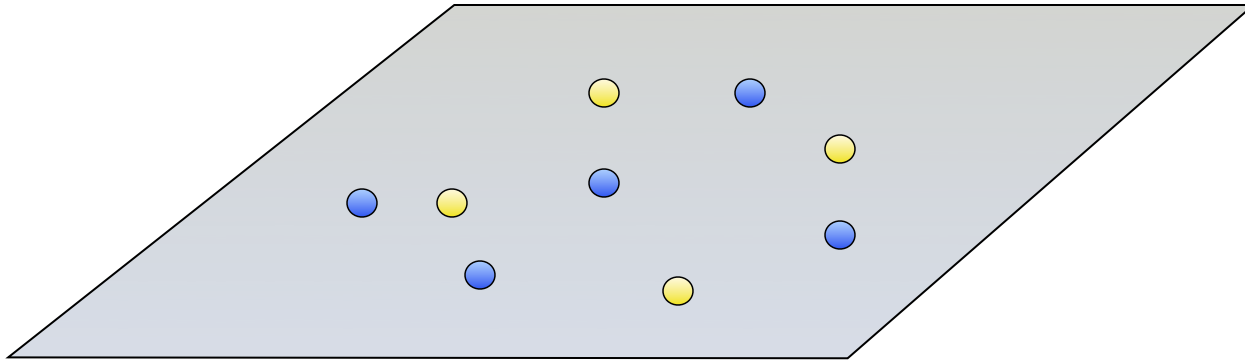
- ❖ Singlet pairs

$$\epsilon_{\alpha\beta} \psi_{1\alpha} \psi_{2\alpha} \sim e^{i\theta}$$

- ❖ Neutral spins

$$\psi_{1\uparrow}^\dagger \psi_{1\downarrow} - \psi_{2\uparrow}^\dagger \psi_{2\downarrow} \sim e^{i\phi}$$

Bosonic?



boson	Q	S ^z
● (blue)	2	0
● (yellow)	0	1

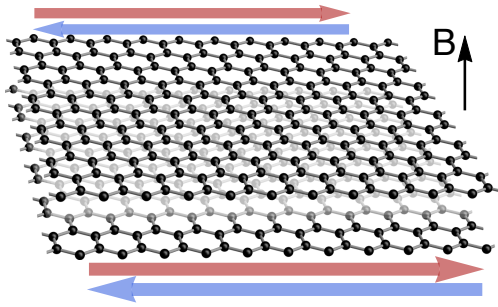
c.f. Senthil-Levin, 2012

$$S = \frac{i}{4\pi} \int d^2x d\tau K_{IJ} \epsilon^{\mu\nu\lambda} a_\mu^I \partial_\nu a_\lambda^J$$

$$K = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad t_c = \begin{pmatrix} 2 \\ 0 \end{pmatrix} \quad t_s = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

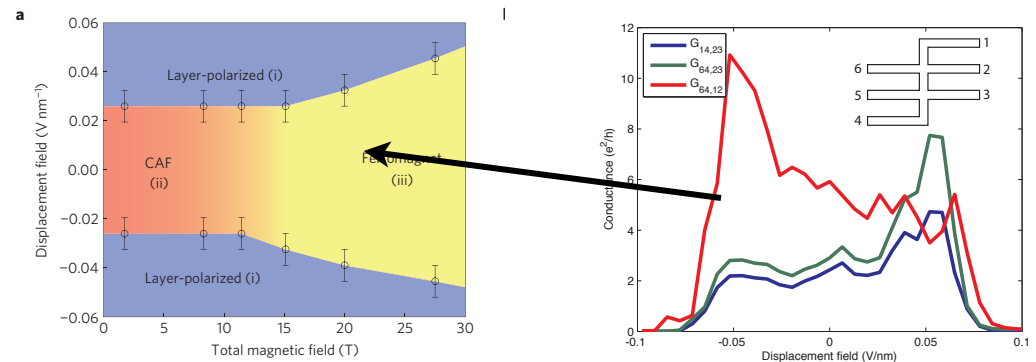
- $|\det K| = 1$: no anyons
- $\text{diag}(K) = (0,0)$: bosonic quasiparticles

Potential experiments



Can one identify it?
Differentiate from fermionic state?

- Existing:
 - Zero Hall conductivity
 - Gapless edge
- New?
 - Tunnel into edge: single-e gap
 - But gapless charge $2e$ may be visible with SC tip or by shot noise



Weyl semimetal

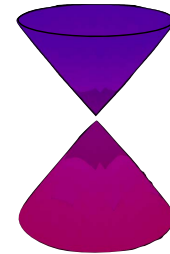
Topological Semimetal

topology of k-surfaces

"Berry phase topology"



$$H = v\vec{\sigma} \cdot \vec{k}$$



For a crystal without an inversion center, the energy separation $\delta E(\mathbf{k}+\boldsymbol{\kappa})$ in the neighborhood of a point \mathbf{k} where contact of equivalent manifolds occurs may be expected to be of the order of κ as $\kappa \rightarrow 0$, for all directions of $\boldsymbol{\kappa}$.

Weyl semimetal

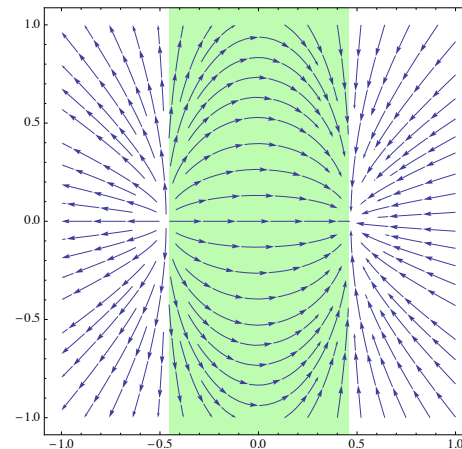
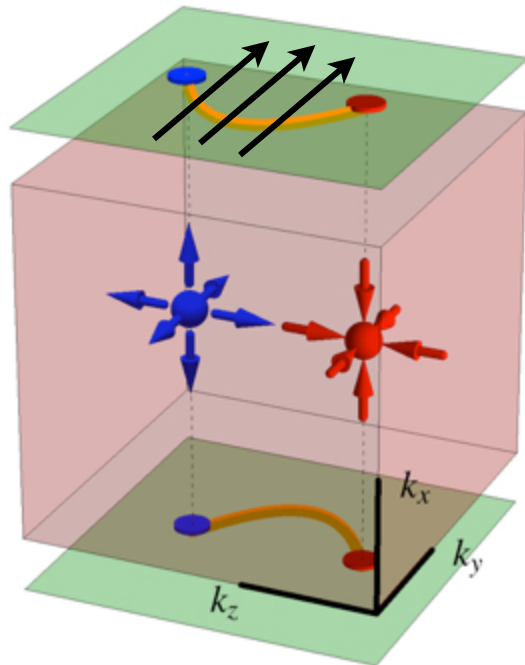
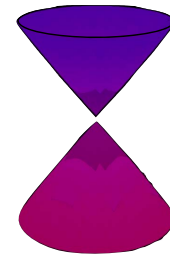
Topological Semimetal

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$$H = v\vec{\sigma} \cdot \vec{k}$$

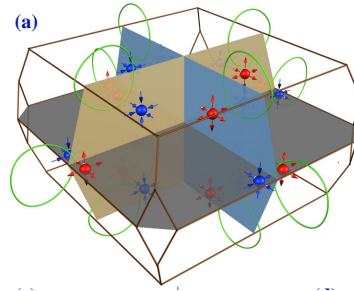
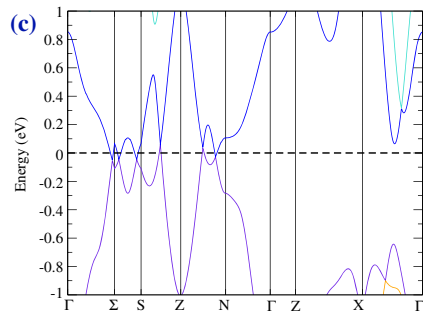


Weyl points are
"monopoles" of
Berry curvature:
topology in k-
space!

S. Murakami, 2007
X. Wan et al, 2011
A. Burkov+LB, 2011

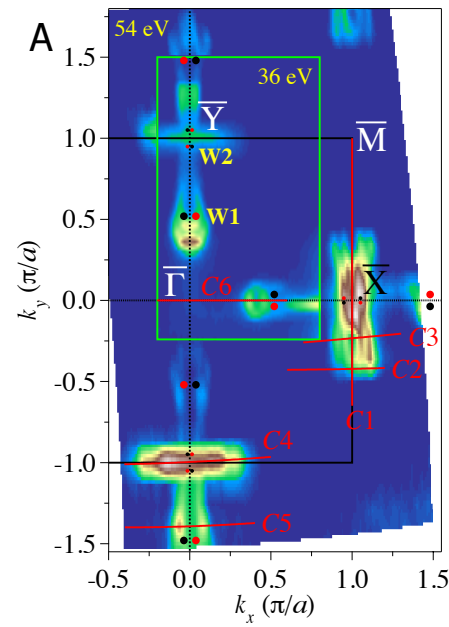
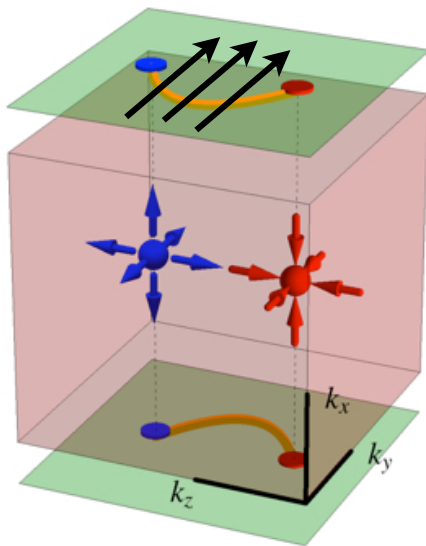
Experiment

TaAs

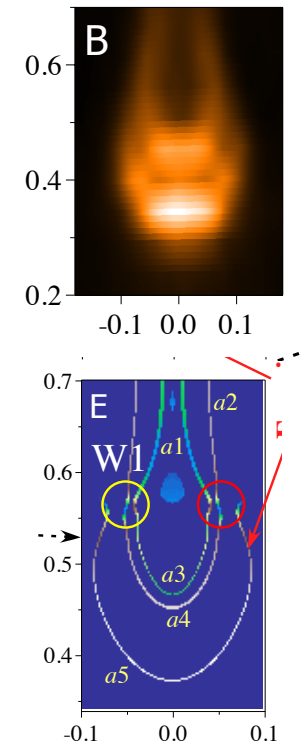


Prediction:
Hongmin Weng *et al*, 2015

- Striking properties:
- Surface Fermi arcs

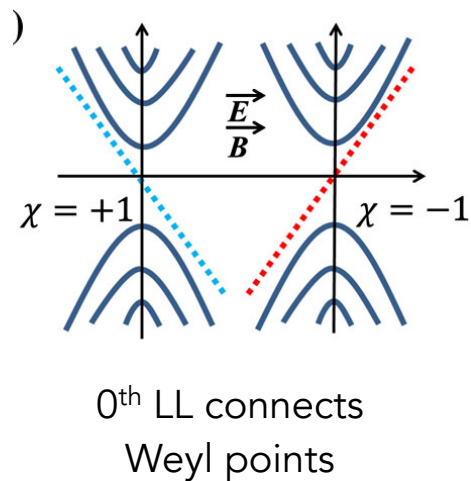


B.Q. Lv *et al*, 2015

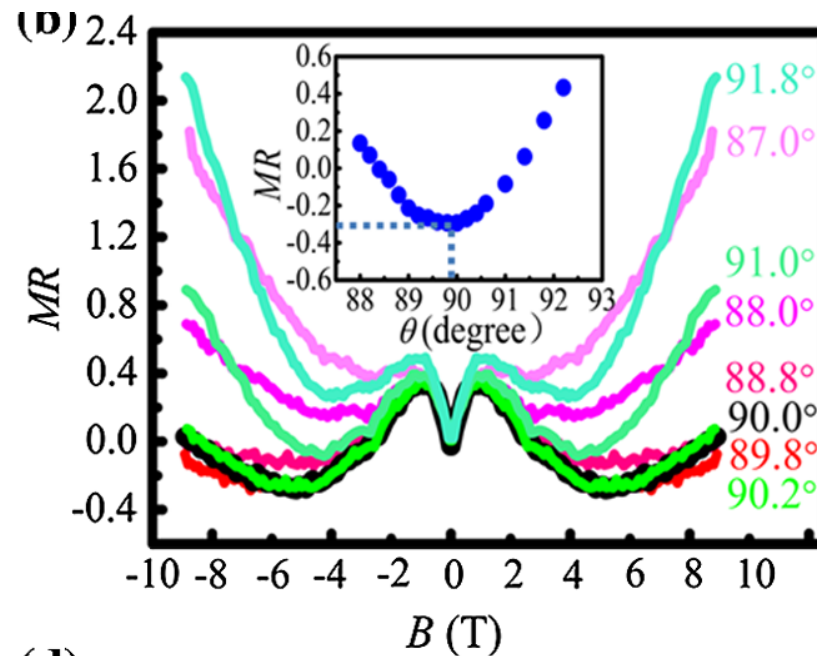


Experiment

- Striking properties:
 - Surface Fermi arcs
 - ABJ “anomaly”: strong negative MR for $I \parallel B$



Nielson + Ninomiya, 1983
Zyuzin+Burkov, 2012
Son+Spivak, 2013

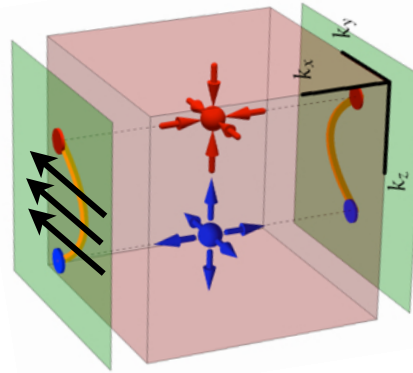
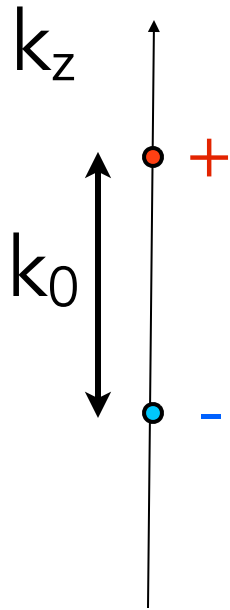


Xiaochun Huang et al, 2015

+ non-local conductivity, non-linear response...

Anomalous Hall Effect

The third striking property of a Weyl semimetal

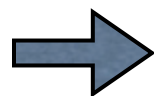


Fermi arc = chiral edge state

$$\sigma_{xy} = \frac{e^2}{h} \frac{k_0}{2\pi}$$

semi-quantum AHE

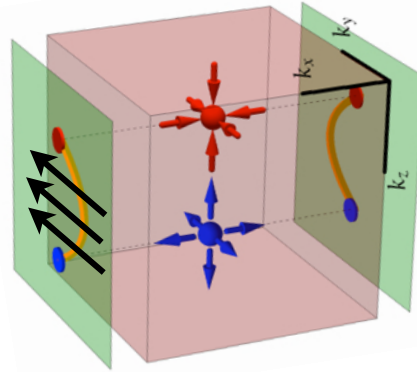
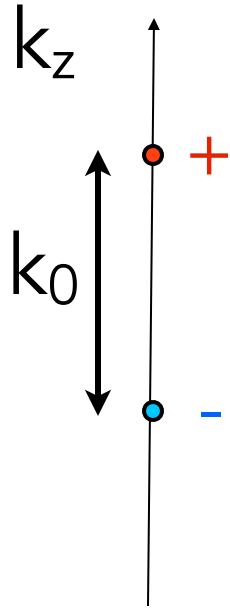
obviously breaks time-reversal symmetry



need a magnetic material

Anomalous Hall Effect

The third striking property of a Weyl semimetal

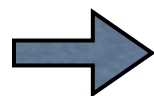


Fermi arc = chiral edge state

$$\sigma_{\mu\nu} = \frac{e^2}{2\pi h} \epsilon_{\mu\nu\lambda} Q_\lambda$$
$$\vec{Q} = \sum_i \vec{k}_i q_i + \vec{Q}_{RLV}$$

semi-quantum AHE

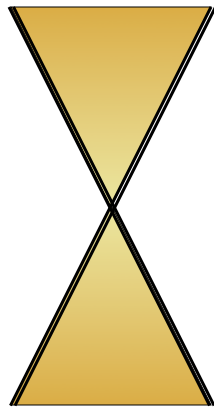
obviously breaks time-reversal symmetry



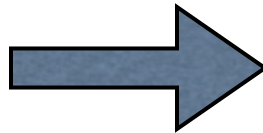
need a magnetic material

Magnetic Weyl semimetals

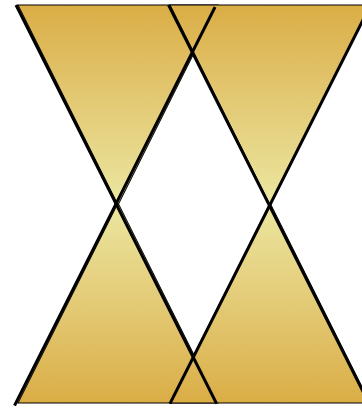
A. Burkov+LB, 2011



Dirac



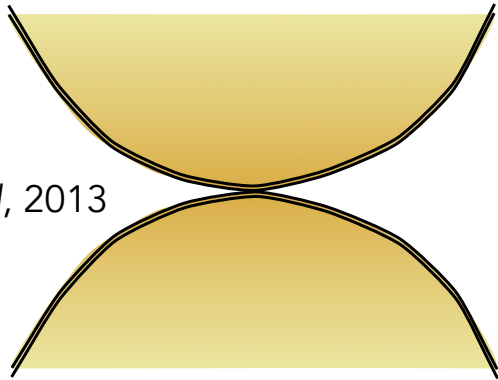
M or B



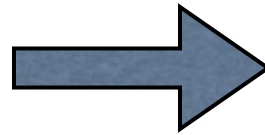
Weyl

Magnetic Weyl semimetals

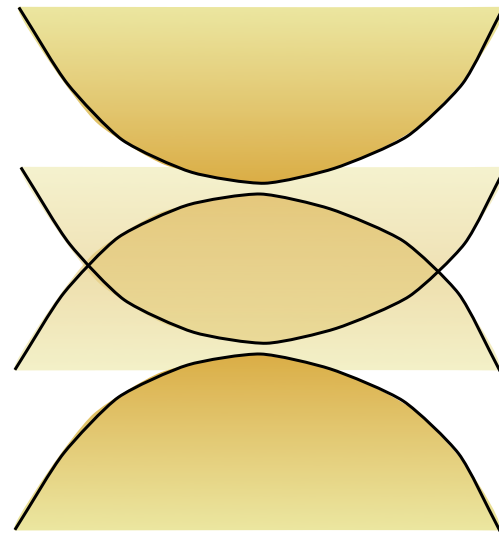
E.G. Moon *et al*, 2013



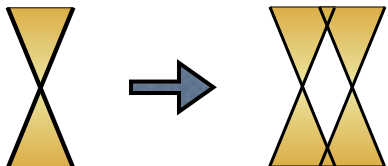
QBT



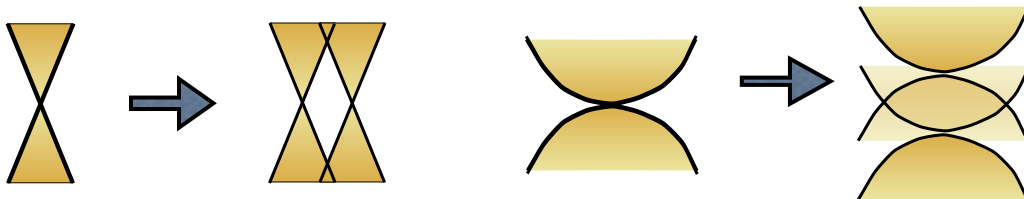
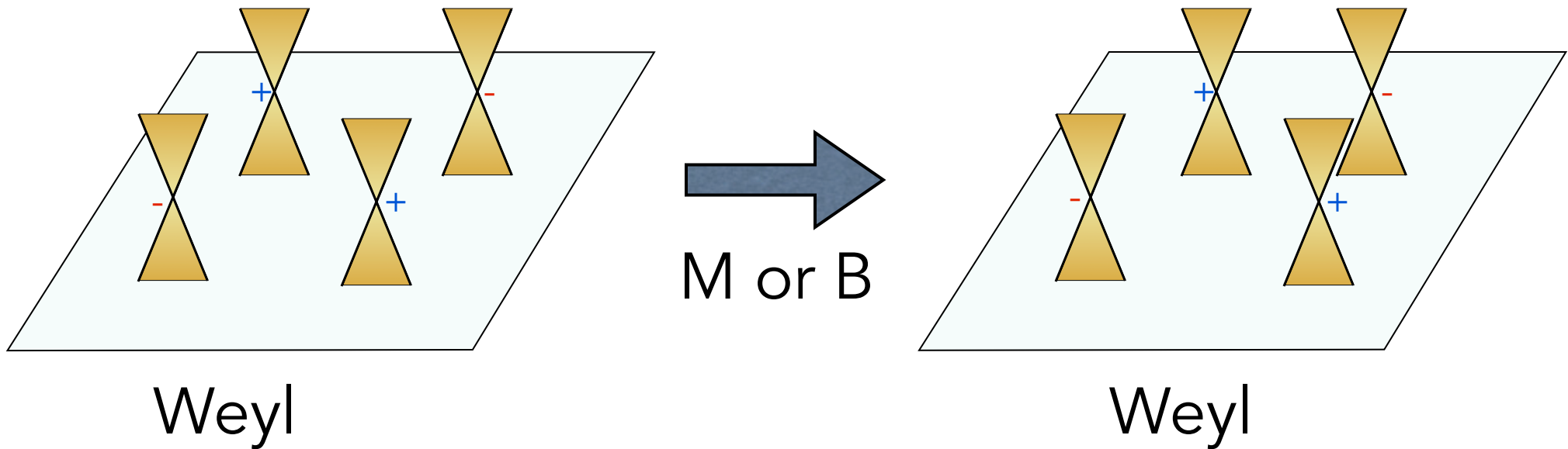
M or B



Weyl



Magnetic Weyl semimetals

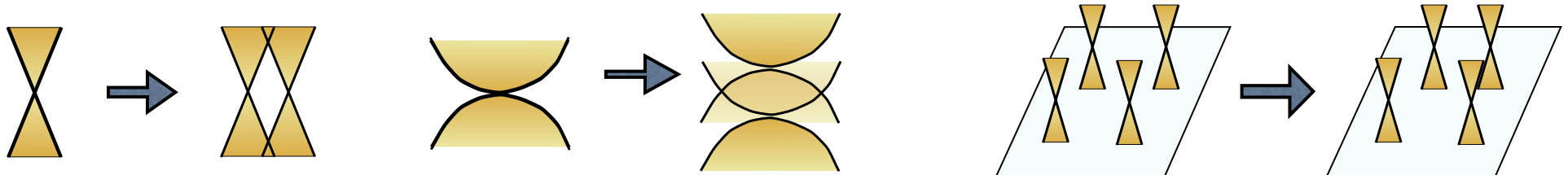
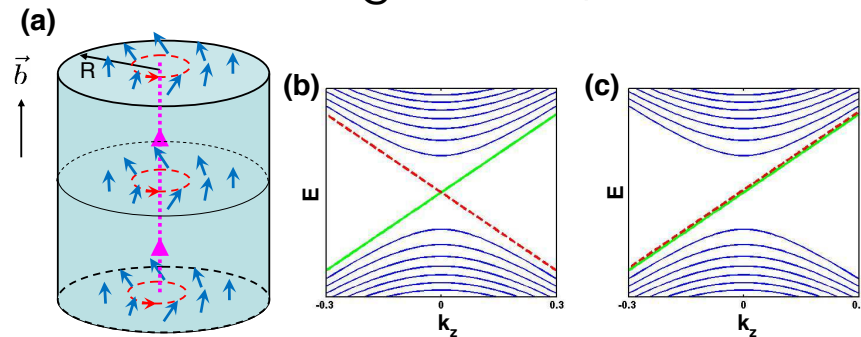


Magnetic Weyl semimetals

Movable Weyl points have their own interest

magnetic fluctuations
generate a *dynamical
chiral gauge field* for Weyl
fermions, by shifting Weyl
points

Chao-Xing Liu et al, 2012



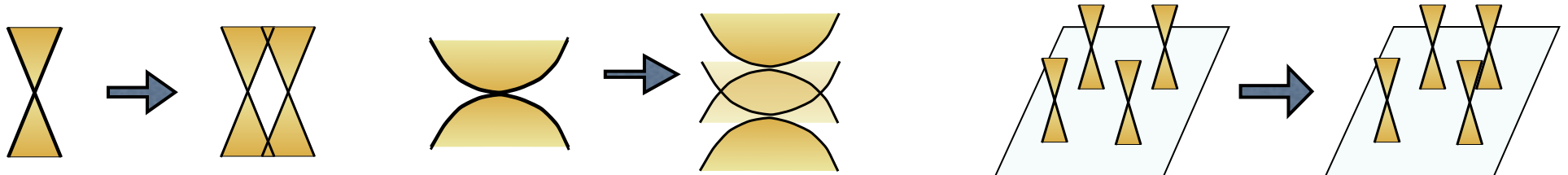
Magnetic Weyl semimetals

Exercise: how far can we move Weyl points?

We'll see that this is why we need correlations

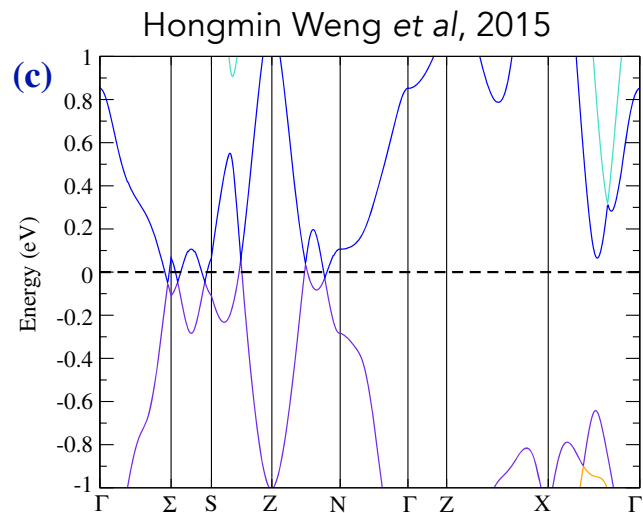
Dirac/Weyl: $\hbar v_F \Delta k \approx E_Z$

QBT: $\frac{\hbar^2 (\Delta k)^2}{2m^*} \approx E_Z$



Magnetic Weyl semimetals

Suppose you modify, e.g. magnetically dope, TaAs

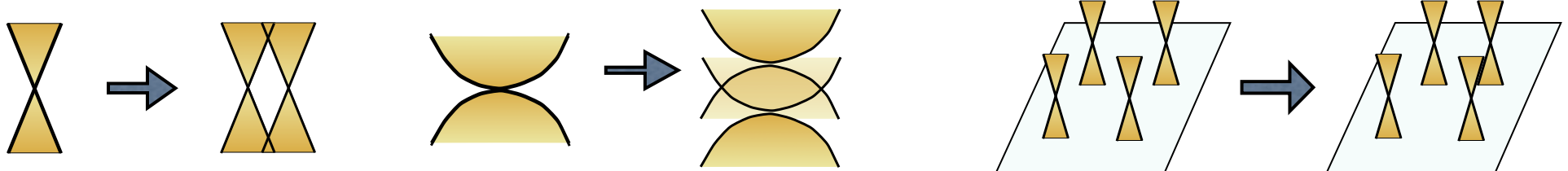


$$\Delta k \approx E_Z / (\hbar v_F)$$

$$\hbar v_F \approx 2 \text{ eV } \text{\AA} \quad E_Z < 1000 \text{ K}$$

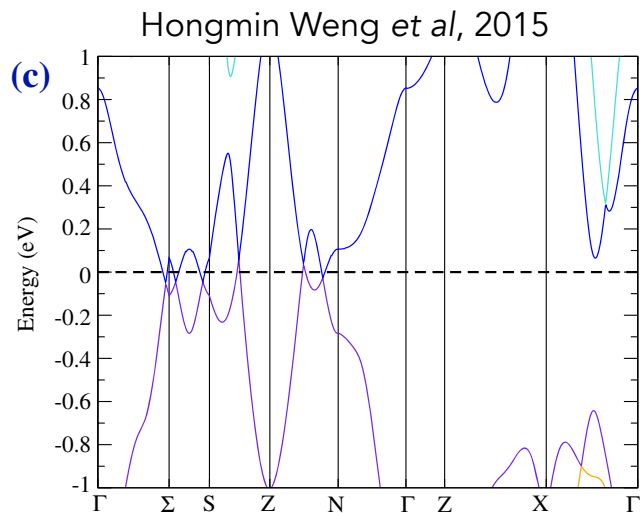
$$\Delta k < 0.04 \text{\AA}^{-1}$$

Result: Weyl points move $< 1/50^{\text{th}}$ of the zone



Magnetic Weyl semimetals

Suppose you modify, e.g. magnetically dope, TaAs

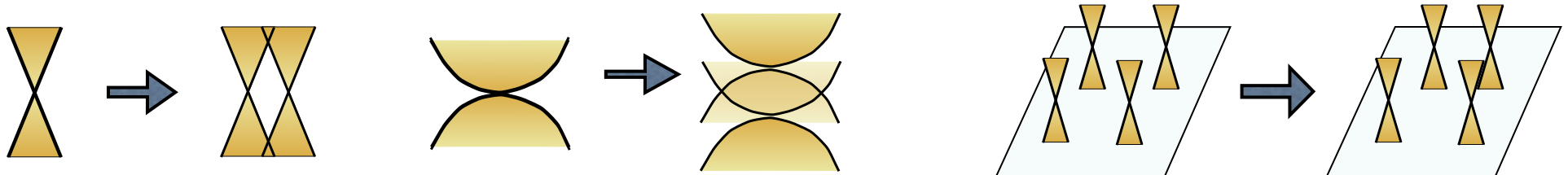


$$\Delta k \approx E_Z / (\hbar v_F)$$

$$\hbar v_F \approx 2 \text{ eV } \text{\AA} \quad E_Z < 1000 \text{ K}$$

$$\Delta k < 0.04 \text{\AA}^{-1}$$

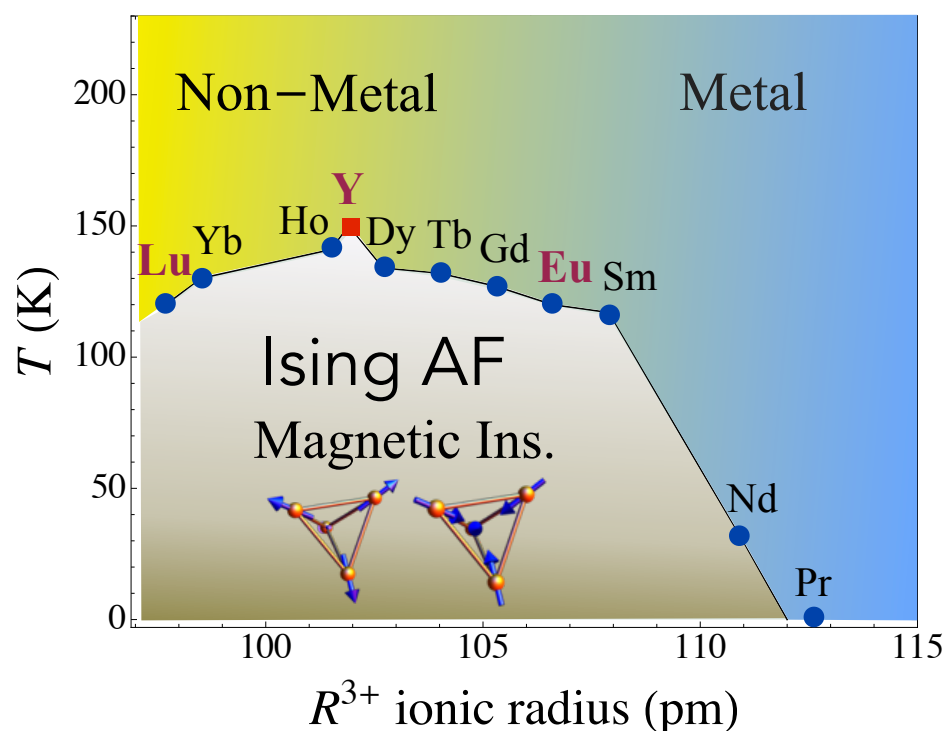
Result: Weyl points move $< 1/50^{\text{th}}$ of the zone
 need a narrower band



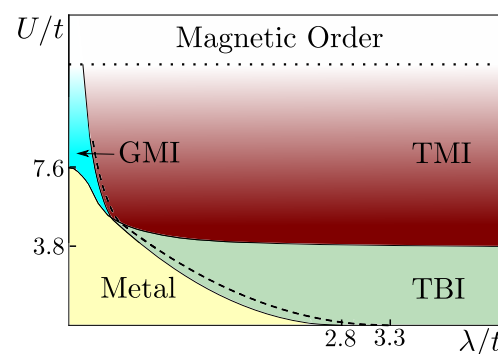
Pyrochlore iridates



- A good place to look for correlated Weyls



Yanagashima+Maeno, JPSJ 2001
K. Matsuhira et al, JPSJ 2011
W. Witczak-Krempa et al, ARCMP 2013

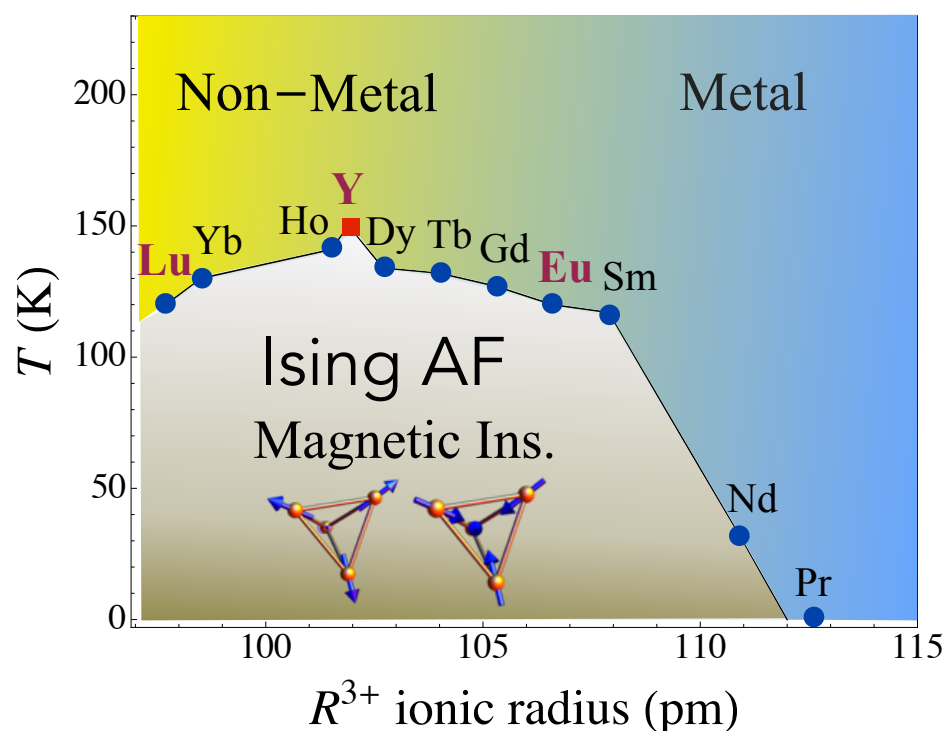


D. Pesin + LB, 2010
Topological MI?

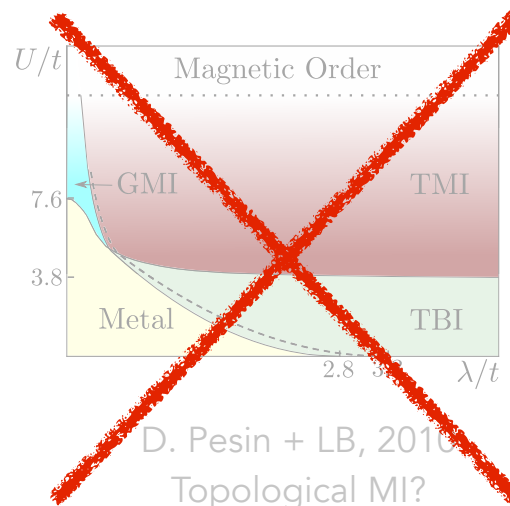
Pyrochlore iridates



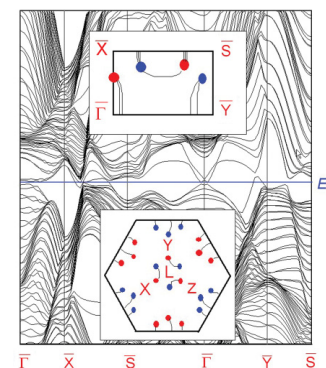
- A good place to look for correlated Weyls



Yanagashima+Maeno, JPSJ 2001
K. Matsuhira et al, JPSJ 2011
W. Witczak-Krempa et al, ARCMP 2013



D. Pesin + LB, 2010
Topological MI?
actually our bilayer graphene
SPT is a 2d analog of this

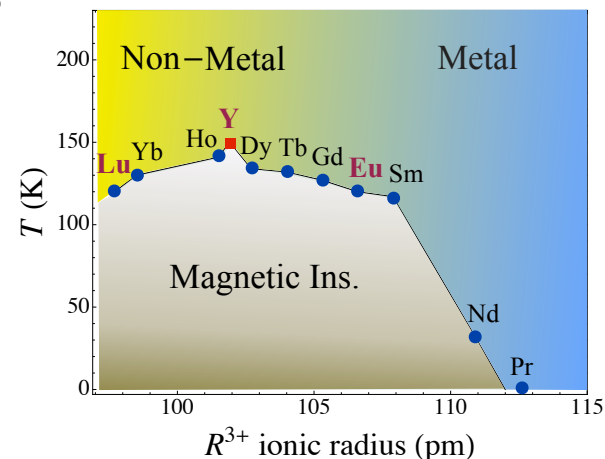


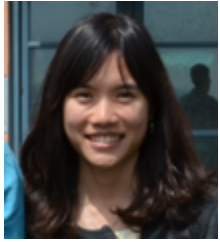
X. Wan et al, 2011
AF Weyl semimetal?

Ingredients

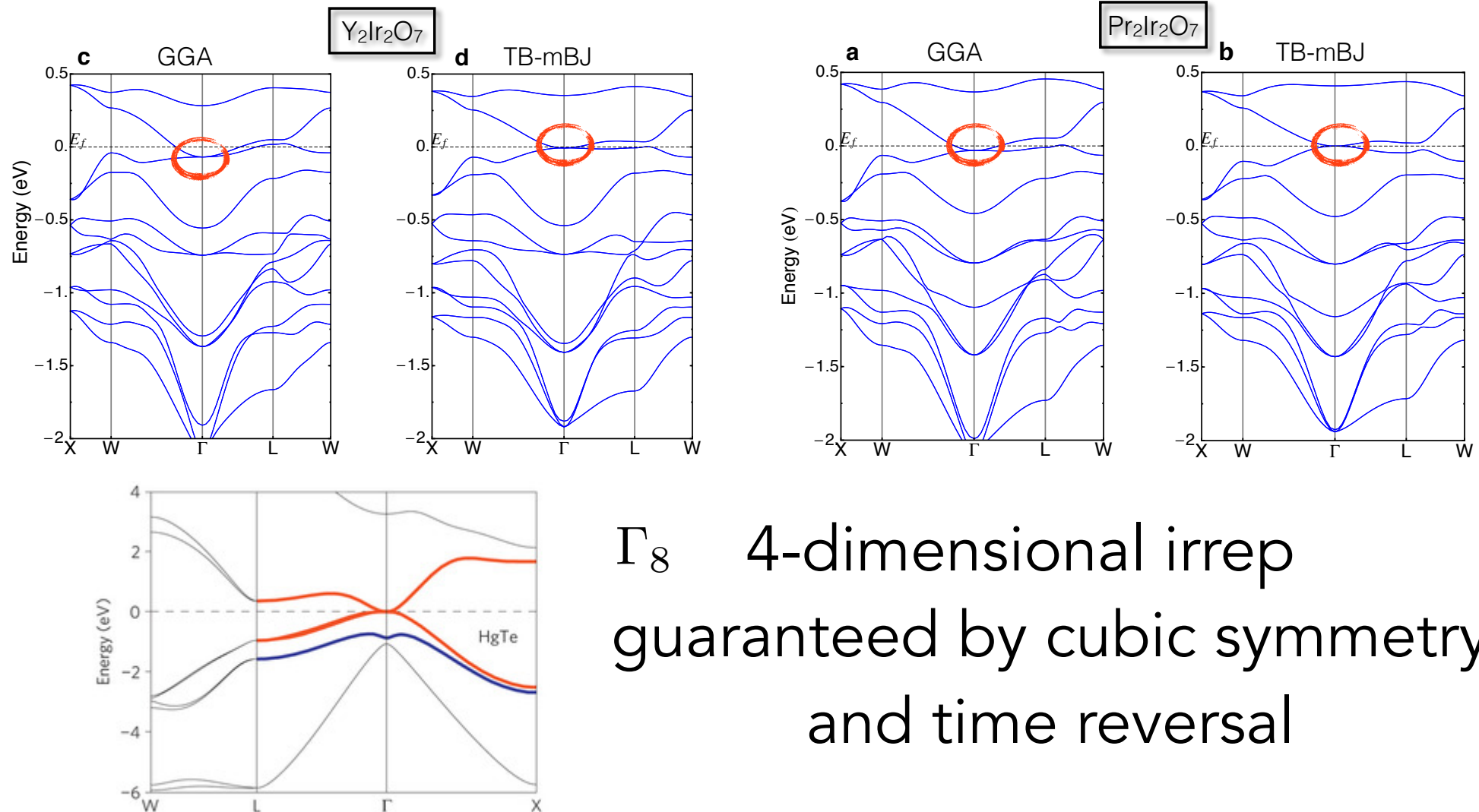
- Semimetallic electronic structure ✓
- Magnetism via Ir e-e Hubbard interactions ✓
- Rare earth moments?

probably not important?





Paramagnetic electronic structure



Γ_8 4-dimensional irrep
guaranteed by cubic symmetry
and time reversal



ARPES

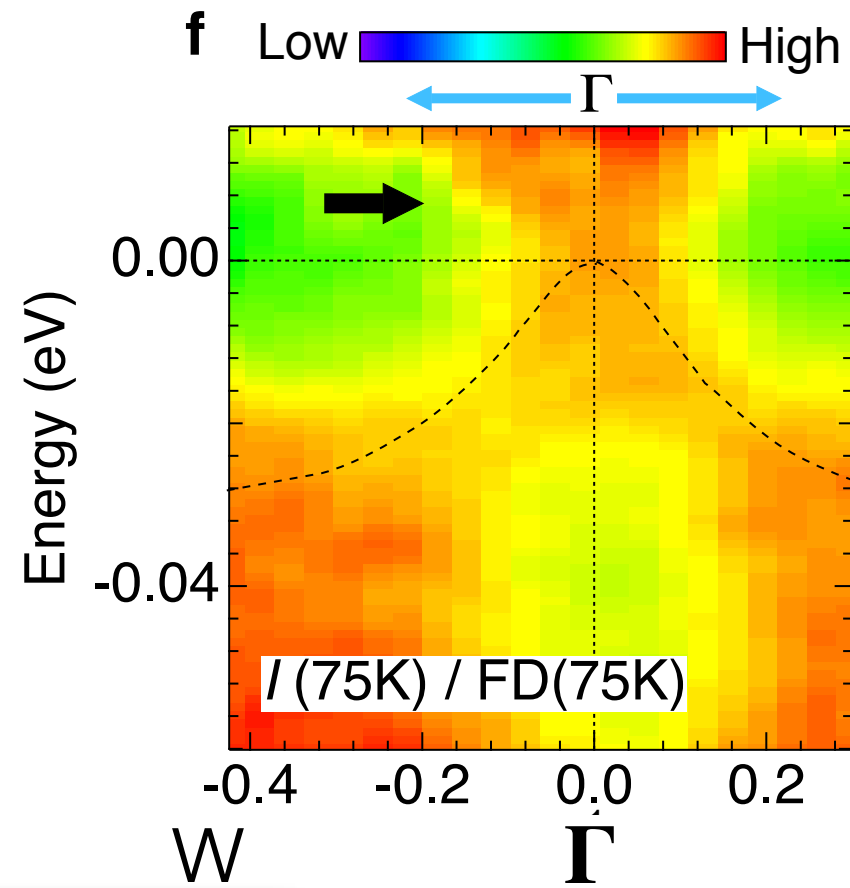
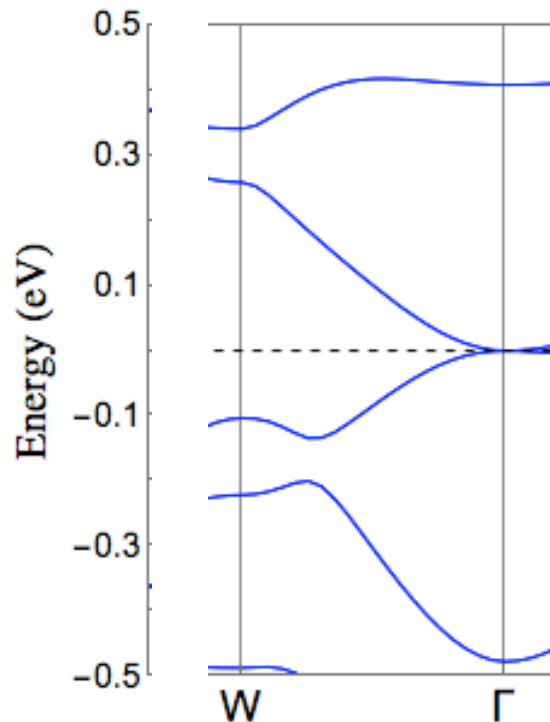
T. Kondo et al, Nat. Comm., 2015



$\text{Pr}_2\text{Ir}_2\text{O}_7$ S. Nakatsuji

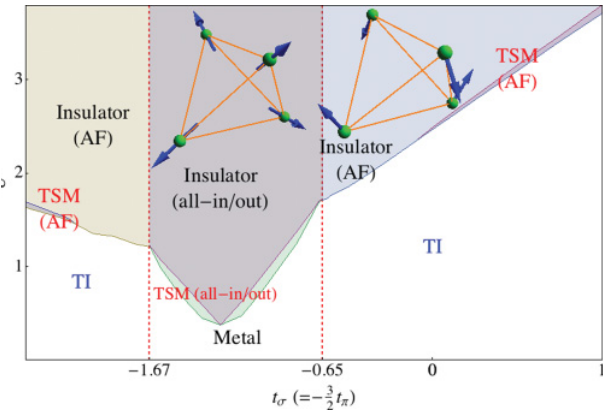
T. Kondo

S. Shin



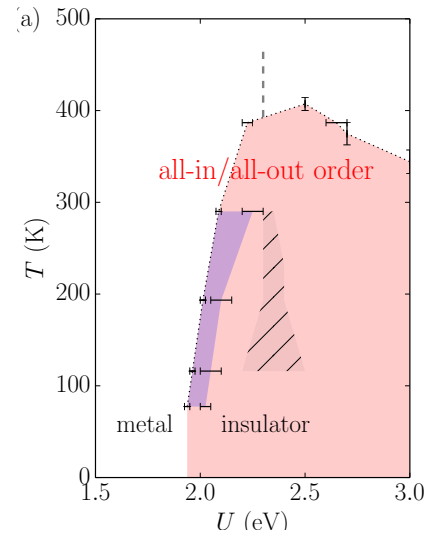
✓ Bandwidth reduced by 3-5 from DFT correlations

Magnetism: theory



W. Witzak-Krempa + YB Kim, 2012

Hartree-Fock

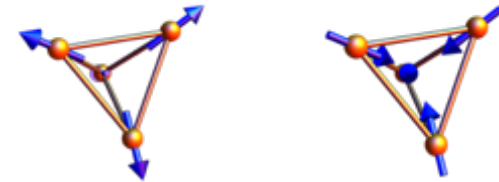


H. Shinaoka et al, 2015

DMFT

Jay-Z model

$$H = J_z \sum_{\langle i,j \rangle} S_i^z S_j^z$$

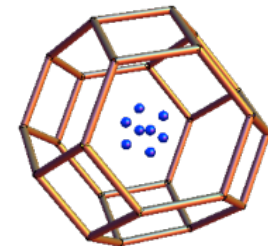
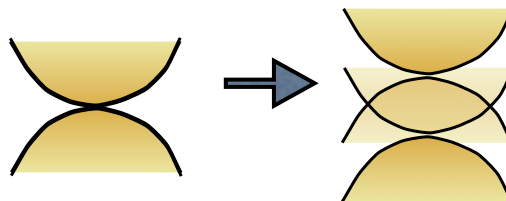


$J_z < 0$: all-in/all-out order

superexchange

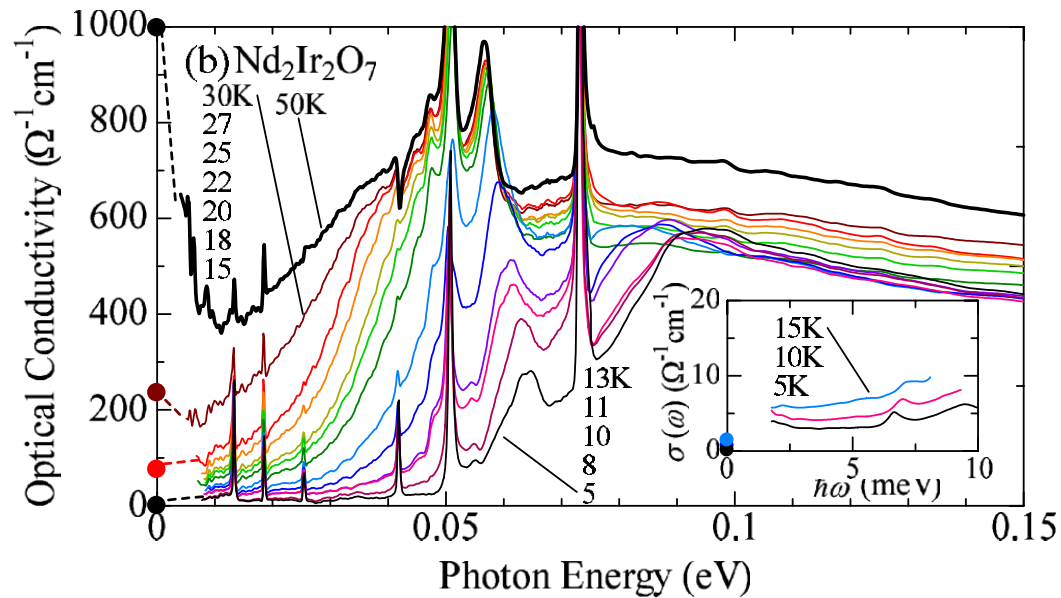
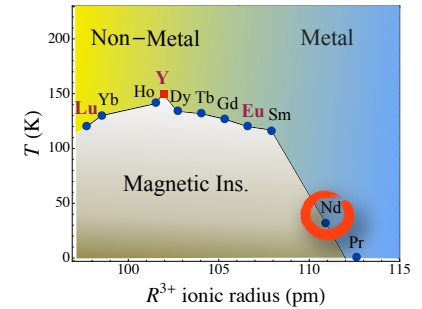
- General agreement: transition to AlAO Ising AF order

We expect this to lead to Weyl points



Weyl not?

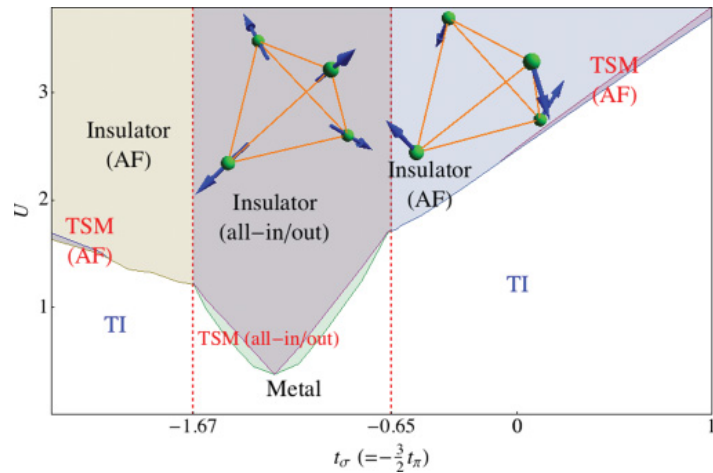
K. Ueda *et al*, 2012



charge gap \sim
45 meV

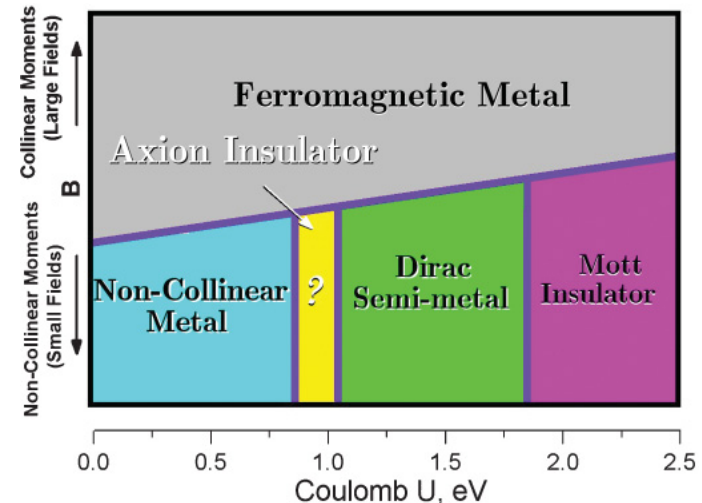


Moving Weyl Points



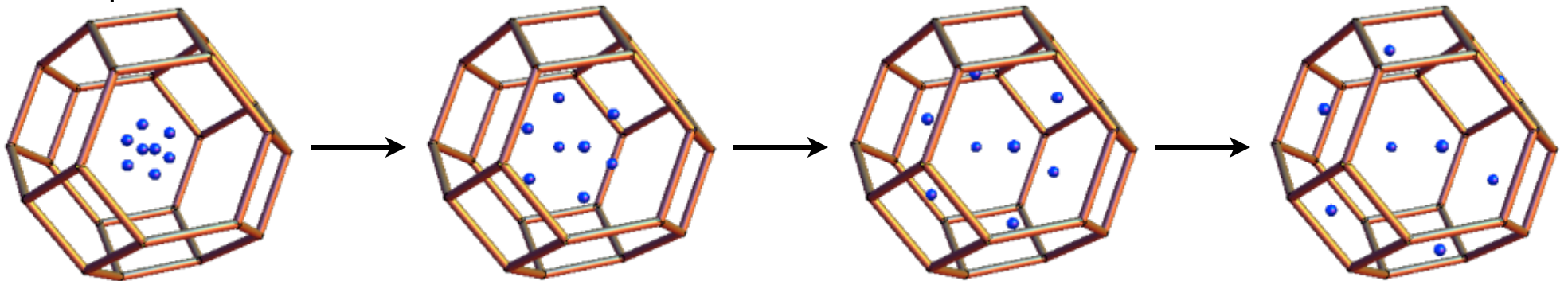
W. Witzak-Krempa + YB Kim, 2012

VS



X. Wan et al, 2011

Weyl points move to zone boundary and annihilate with increasing order?

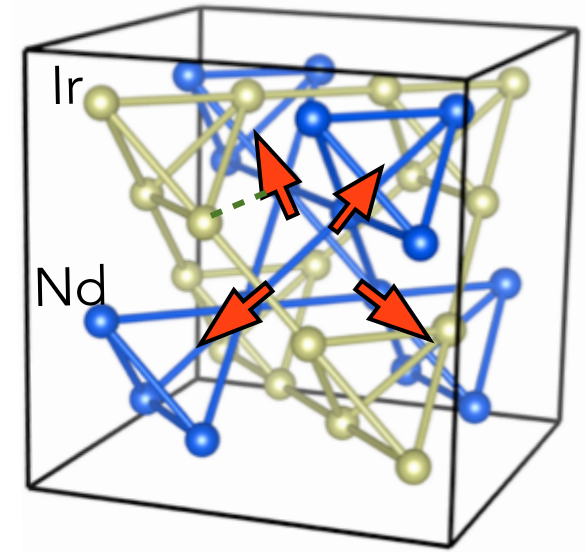


If so, Weyl points are too mobile!

Nd physics

Nd spins provide anisotropic
"exchange enhancement"

- Large moment couples strongly to field
- Polarized Nd act back on Ir via $J_{\text{Ir-Nd}} \sim 10 \text{ meV}$
- Maximum effect: $B \parallel (100)$
 - aligns all Nd moments



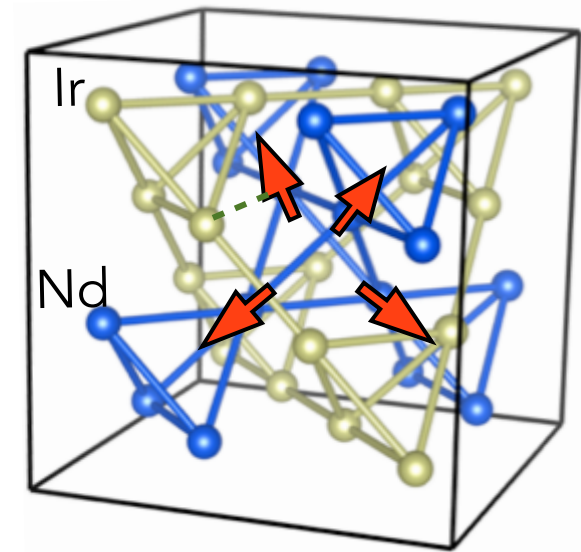
Nd physics



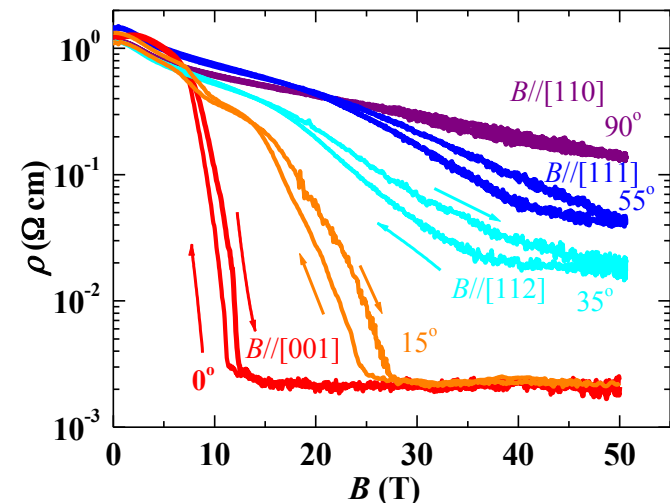
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 - aligns all Nd moments

Result: MIT

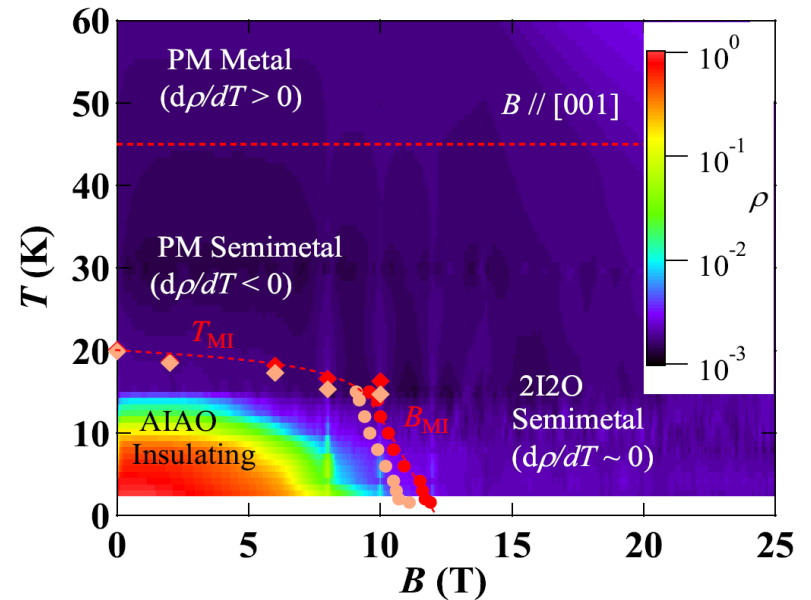
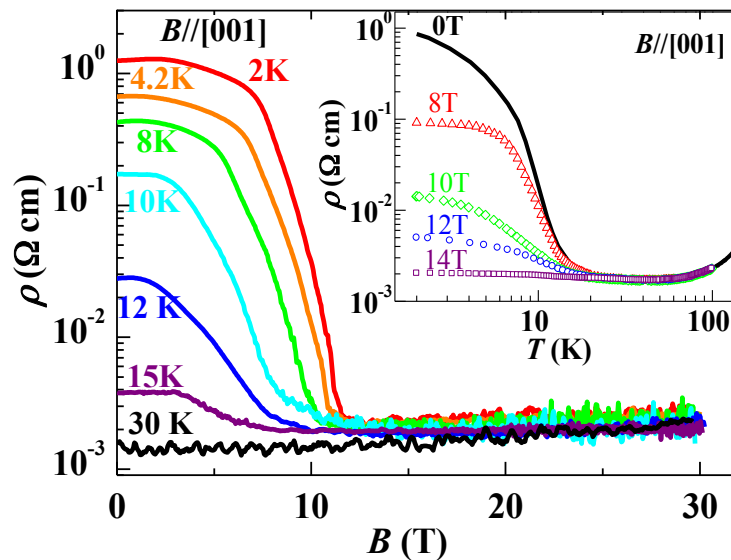


Zhaoming Tian et al, Nature Physics, 2015



also K. Ueda et al, 2015

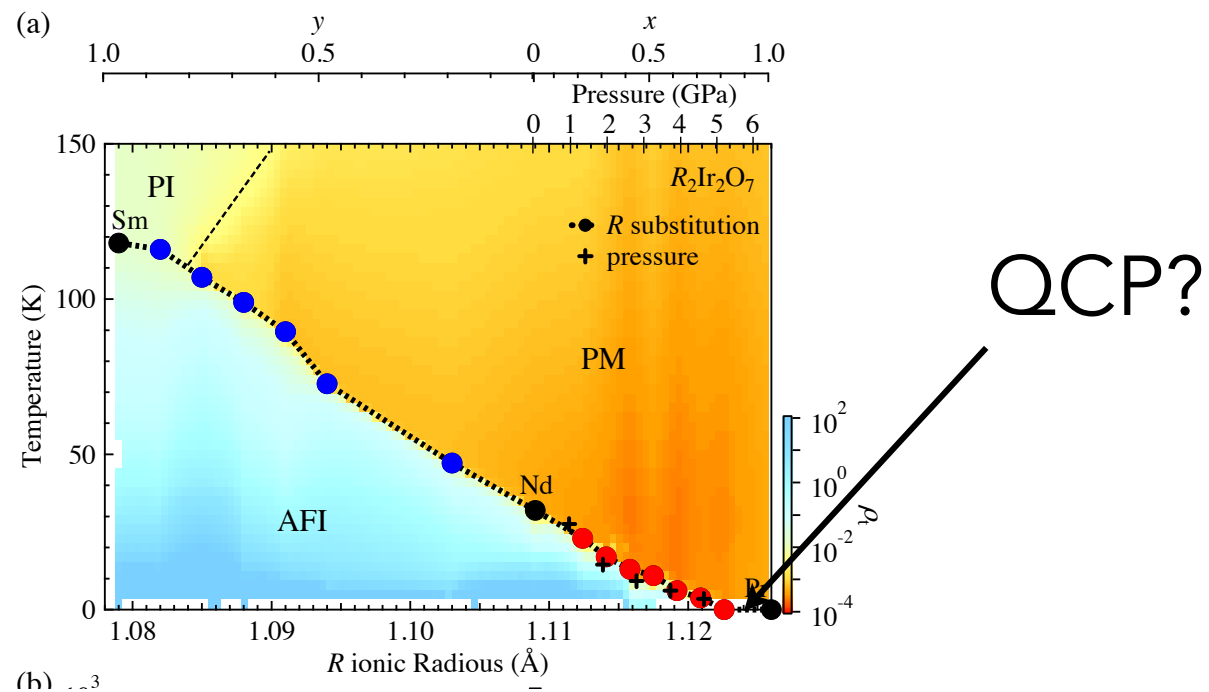
Metal-Insulator Transition



- Seems that the antiferromagnetic phase forms a closed region at small B and T .
- Not known: what is the nature of the high-field semimetal? Maybe a magnetic Weyl state?

Prospects

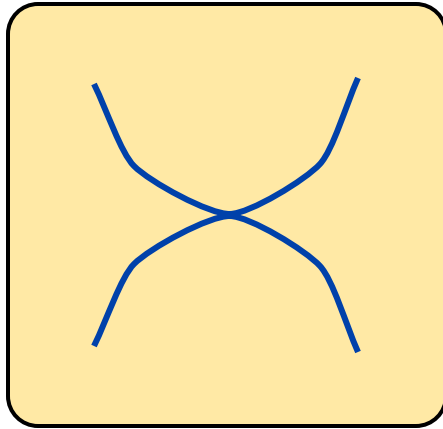
It may be possible to weaken the order sufficiently to expose the Weyl points, and perhaps also explore quantum criticality



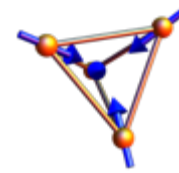
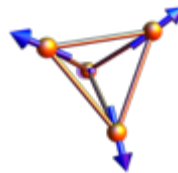
K. Ueda *et al*, 2015

Field theory

modification
of Hertz-
Millis-Moriya



+



= ??

ϕ

$$S = \int d\tau d^3x \left[\bar{\psi}_a (\partial_\tau + \hat{\mathcal{H}}_0) \psi_a + \frac{g}{\sqrt{N}} \phi \bar{\psi}_a \mathbf{M} \psi_a + \frac{r}{2} \phi^2 \right] \\ + \frac{ie}{\sqrt{N}} \varphi \bar{\psi}_a \psi_a + \frac{1}{2} (\nabla \varphi)^2$$

poor screening

Renormalization Group

large N_{fermion} expansion & RG

all-in-all-out
order
parameter

Coulomb
interactions

$$\begin{aligned} \Sigma_\phi &= \text{[bubble diagram]} \sim |\mathbf{k}| |\ln c_1/c_2| f_\phi(\hat{\mathbf{k}}) + \sqrt{\omega_n} C \gg \underbrace{\mathcal{G}_{0;b}^{-1}}_{\mathbf{k}^2 + \omega_n^2} \\ \Sigma_\varphi &= \text{[bubble diagram]} \sim |\mathbf{k}| |\ln c_1/c_2| f_\varphi(\hat{\mathbf{k}}) \gg \mathbf{k}_{\text{bare}}^2 \end{aligned}$$

$$\begin{aligned} \Sigma_f &= \text{[wavy line]} + \text{[dashed line]} \\ \Xi_\phi &= \text{[triangle with wavy line]} + \text{[triangle with dashed line]} \\ &+ \text{[square with wavy line]} + \text{[square with dashed line]} + \text{[square with wavy line]} + \text{[square with dashed line]} \\ \Xi_\varphi &= \text{[triangle with wavy line]} + \text{[triangle with dashed line]} \end{aligned}$$

$$\propto 1/N_{\text{fermion}} \times \ln \Lambda$$

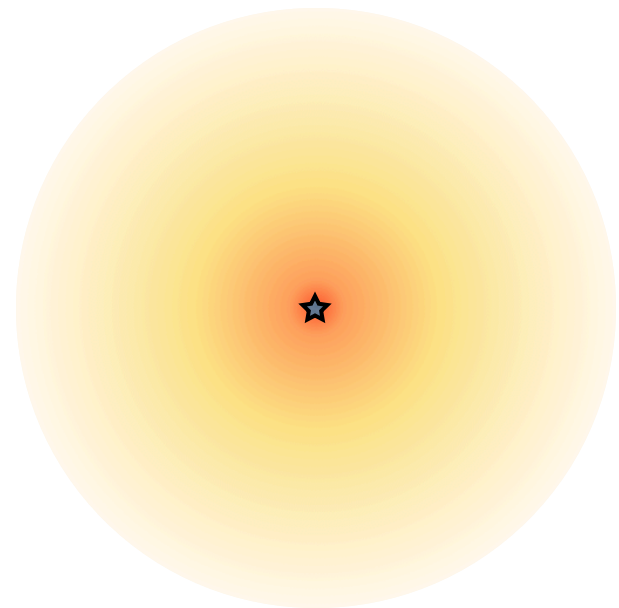
RG: calculate "only"
the coefficient of $\ln \Lambda$

Quantum criticality

- Stable fixed point with unusual exponents: extreme deviations from MFT

e.g. $\langle \phi \rangle \sim (r_c - r)^2$
(x logs)

wide QC
regime $z \approx 2$
 $\nu \approx 1$



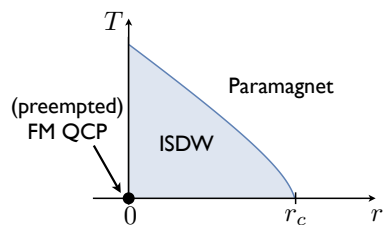
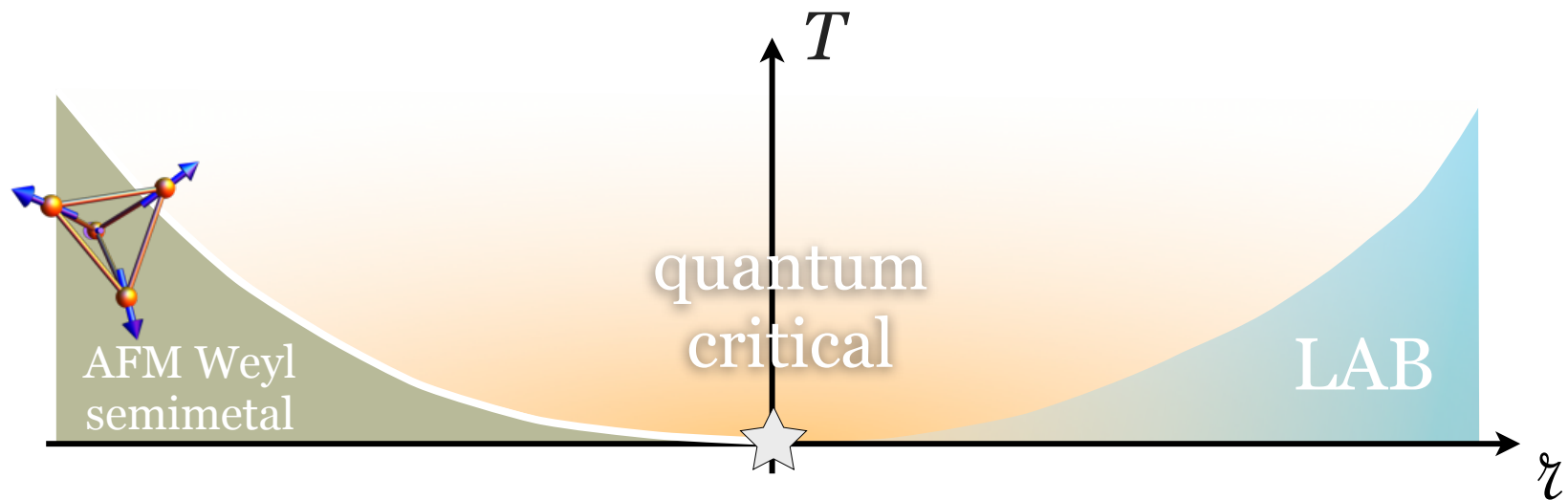
Semimetal
 $\chi^{-1} \sim q + \sqrt{|\omega|}$
matched to electrons
electrons scatter strongly



Quantum criticality



- QBT leads to extremely non-classical QCP



Theory of analogous FM QCP:
an incommensurate SDW is
induced

J. Murray, O. Vafek
+LB, PRL 2016

L. Savary, EG Moon, LB, PRX, 2014

Three types of topology

Topological Insulator
topology of filled bands

“symmetry protected
topological order”

Topological Semimetal
topology of k-surfaces

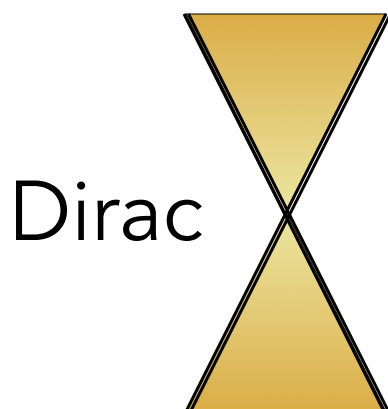
“Berry phase topology”

+ Correlations:

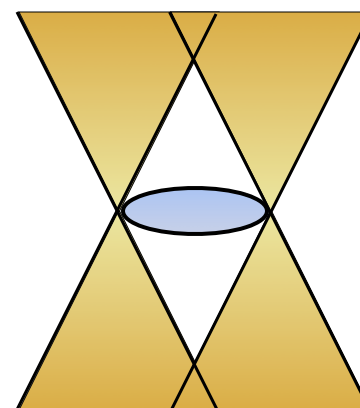
- ◆ Topological Kondo Insulator SmB_6 ?
- ◆ Surface states may be more correlated than bulk

What about surface states of gapless topological semimetals?

Nodal loop semimetal



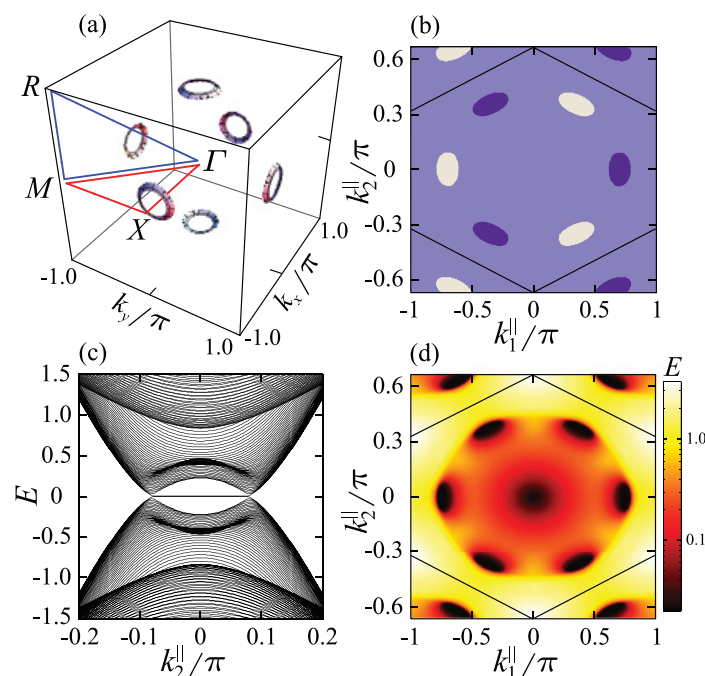
other T or I breaking perturbations



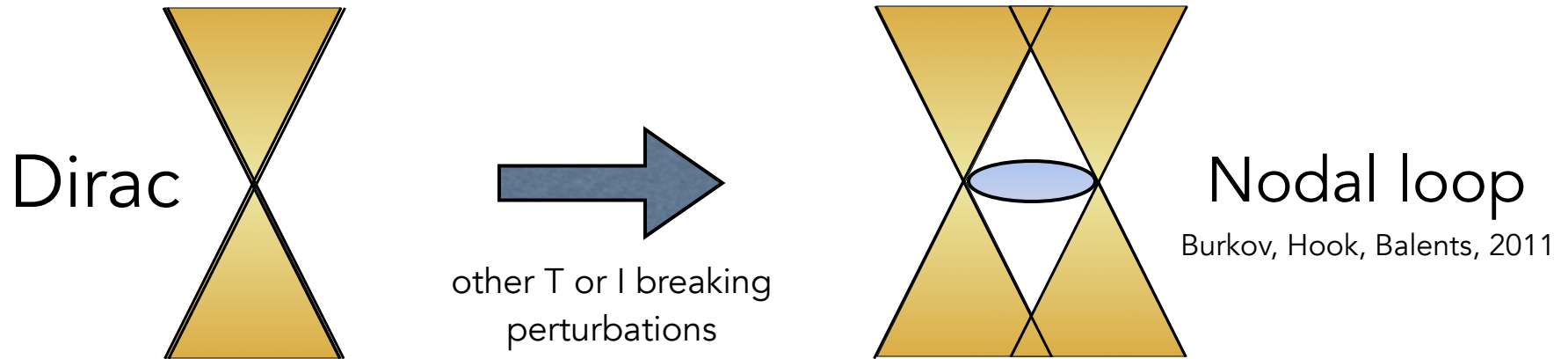
Nodal loop
Burkov, Hook, Balents, 2011

“Dirac loop” states w/o SOC

Schnyder+Ryu, 2011



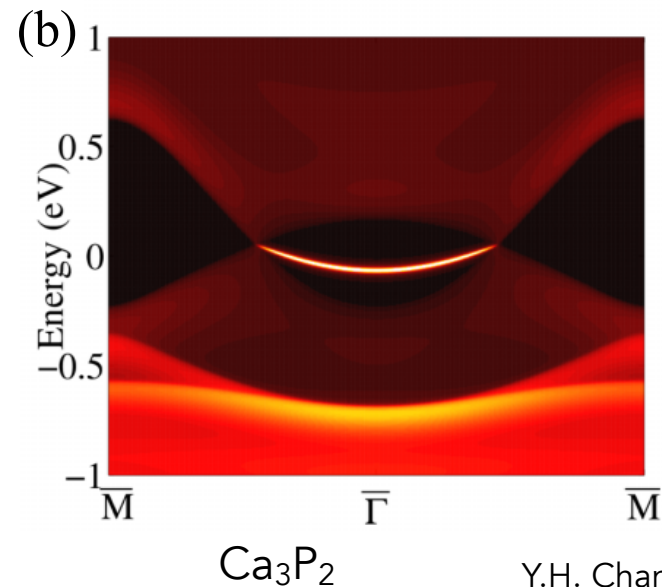
Nodal loop semimetal



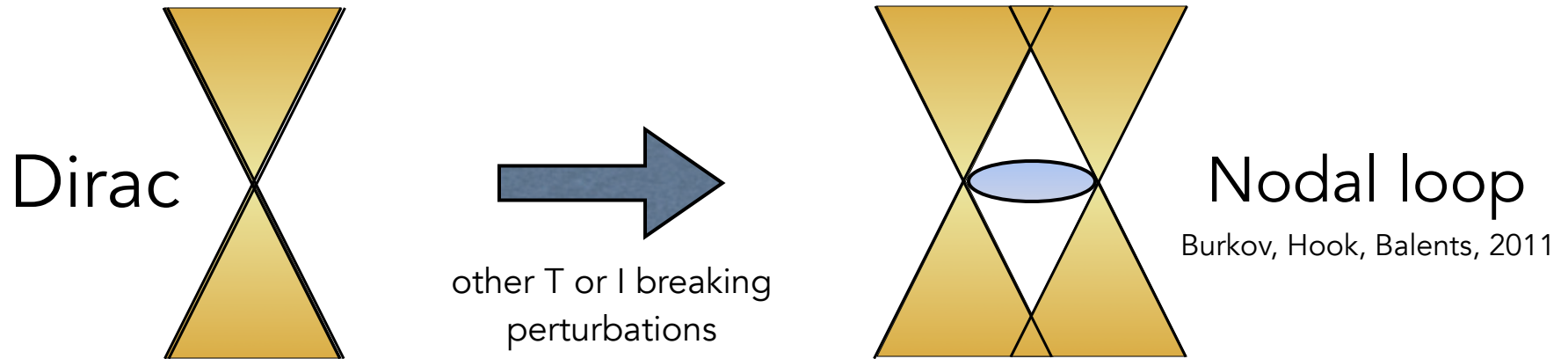
“Dirac loop” states w/o SOC

“drumhead” surface band

c.f. ZrSiS, PbTaSe₂



Nodal loop semimetal



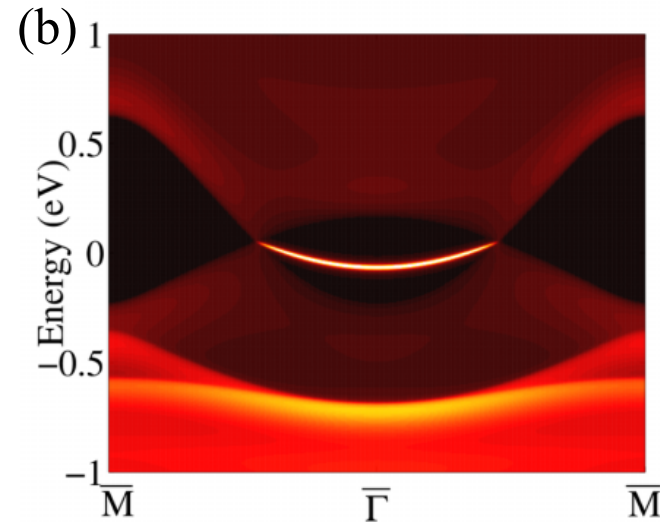
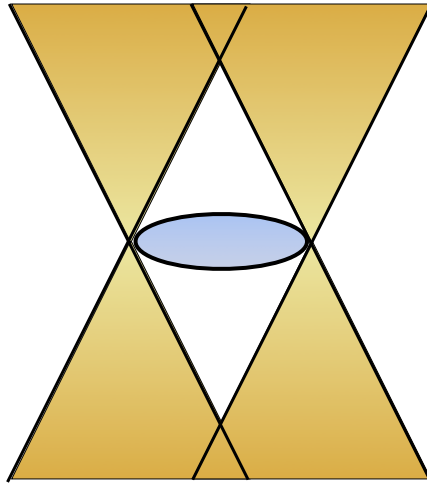
“Dirac loop” states w/o SOC

“drumhead” surface band



c.f. ZrSiS, PbTaSe₂

Nodal loop semimetal



Quasi-flat surface band:

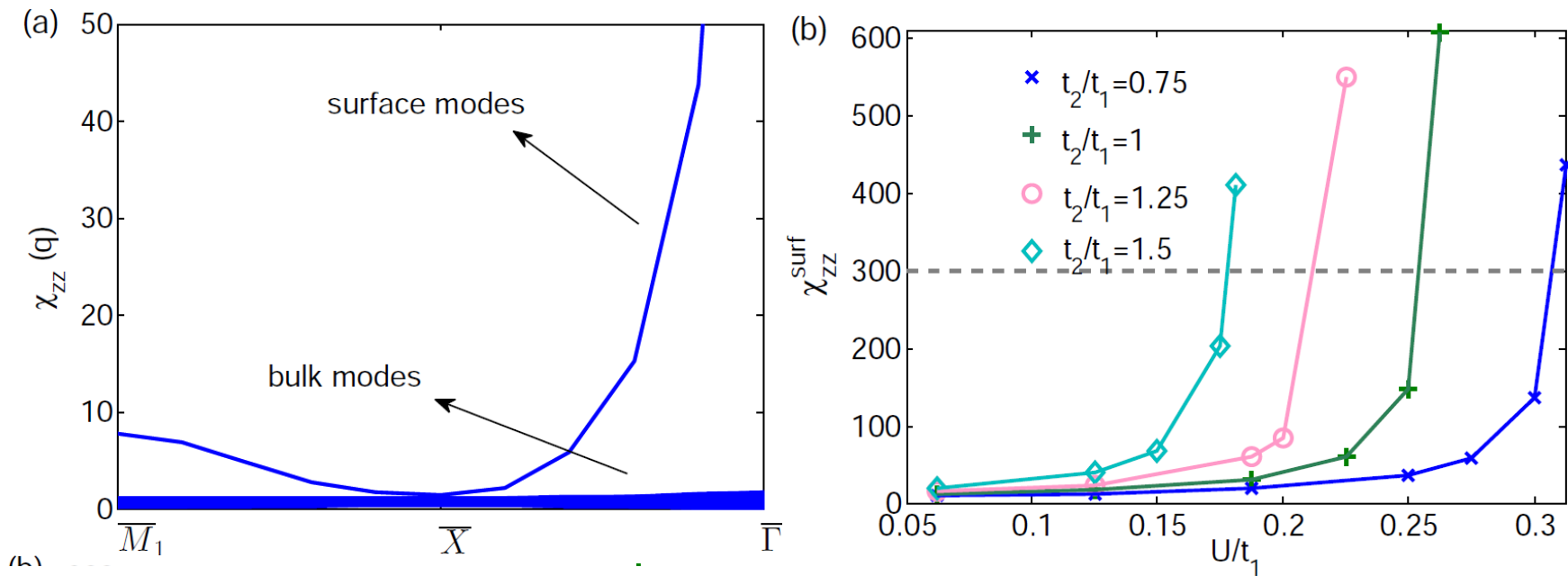
- ◆ Enhanced correlation instabilities?
- ◆ Is it important how the surface states merge with the gapless bulk nodes?
- ◆ Quantum criticality?



Jianpeng Liu

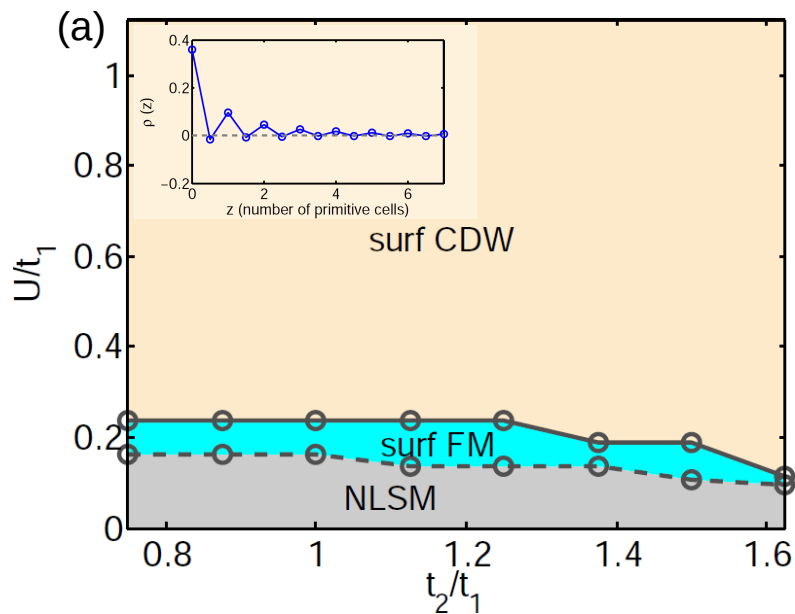
Nodal loop + correlations

Susceptibility enhanced at surface Gamma point

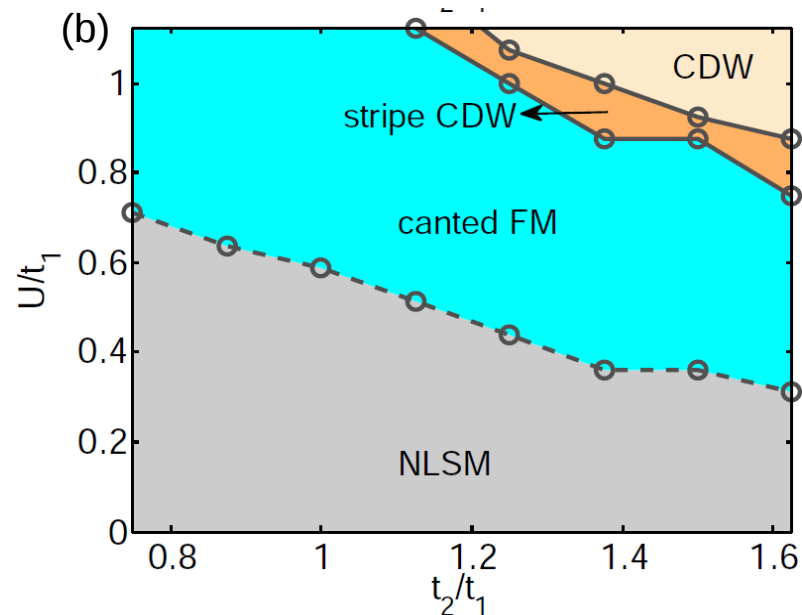


Nodal loop + correlations

Self-consistent Hartree-Fock
in p/h channels



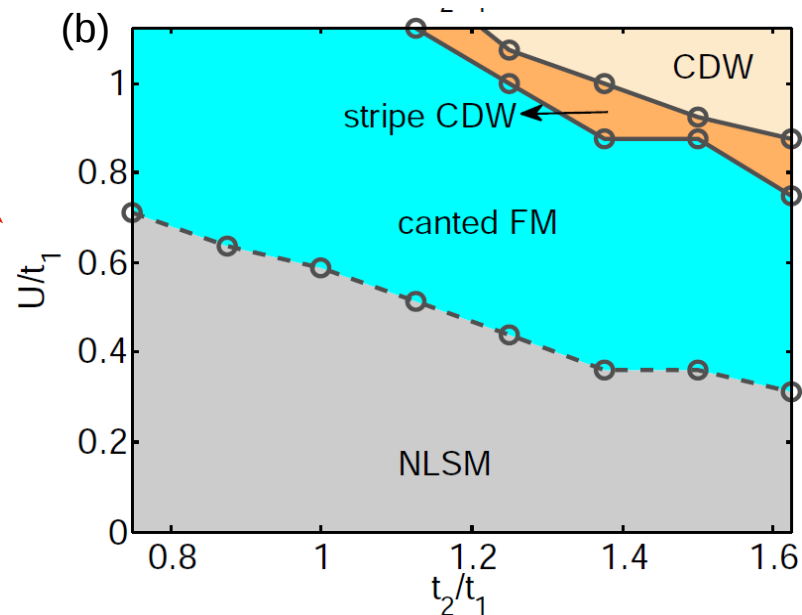
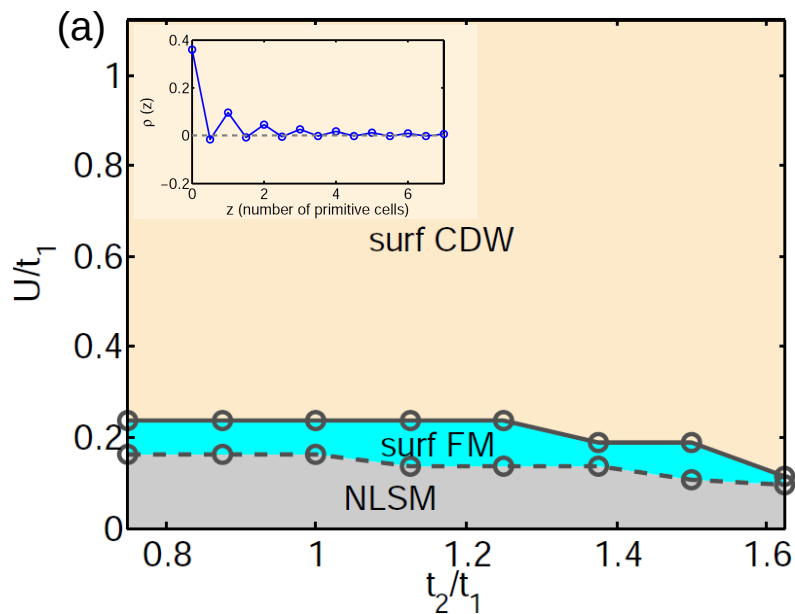
so SOC



w/ SOC

Nodal loop + correlations

Self-consistent Hartree-Fock
in p/h channels

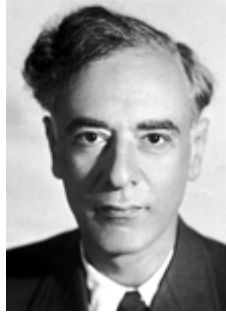


so SOC

quantum criticality?

w/ SOC

Hertz-Millis-Moriya

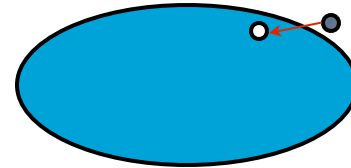


Landau theory



Landau damping

$$\mathcal{L}_0 = |\nabla\varphi|^2 + r\varphi^2 + u\varphi^4 \quad +$$

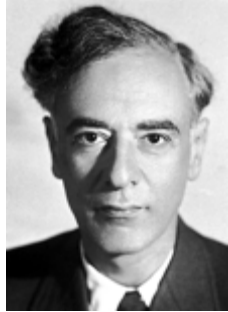


$$\mathcal{L}_\omega = c \frac{|\omega|}{q} |\varphi|^2 \quad \text{etc.}$$

Sachdev,
Chubukov,
Raghu

n.b. We are going to neglect all the “strong coupling” subtleties that may be important in 2d at low enough energies

Hertz-Millis-Moriya



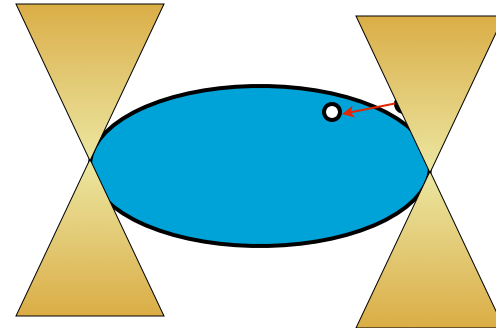
Landau theory

$$\mathcal{L}_0 = |\nabla\varphi|^2 + r\varphi^2 + u\varphi^4$$

+



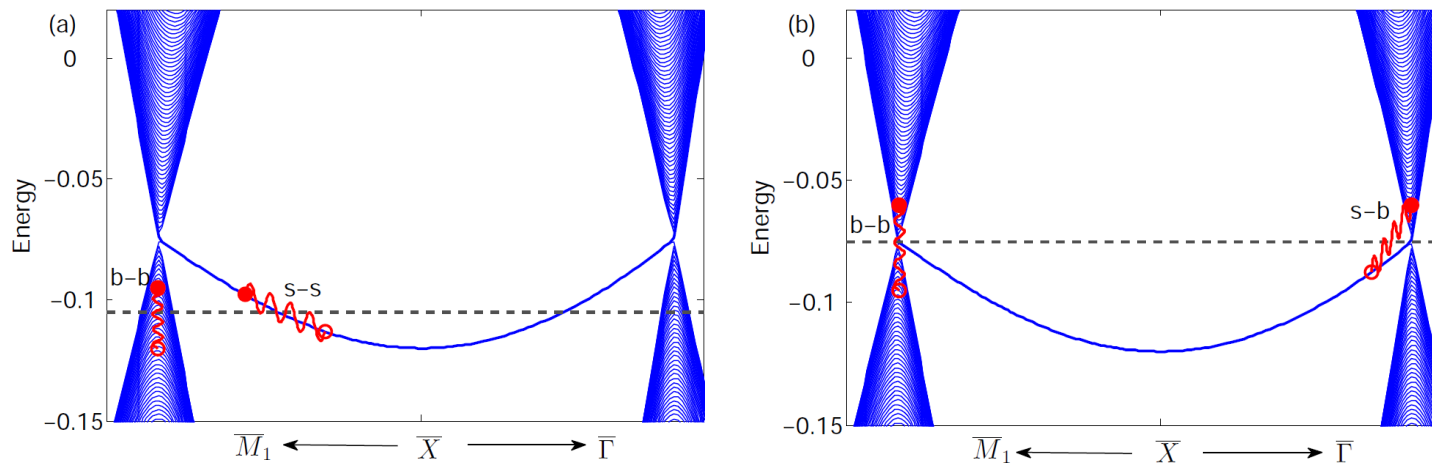
Landau damping



is it 2d or 3d?

Surface susceptibility

particles and holes can be drawn from either bulk or surface



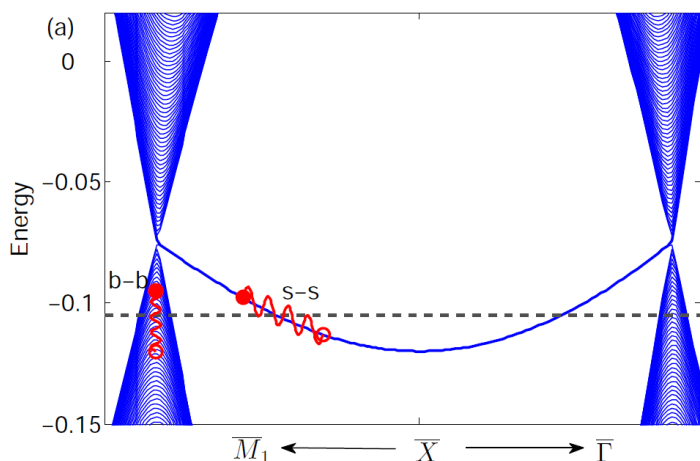
Tricky:

- ◆ Extended states behave non-trivially near the surface
- ◆ Surface states become "dilute" near the nodes

safe approach: calculate full Green's functions near real-space surface,
and from that the surface susceptibility

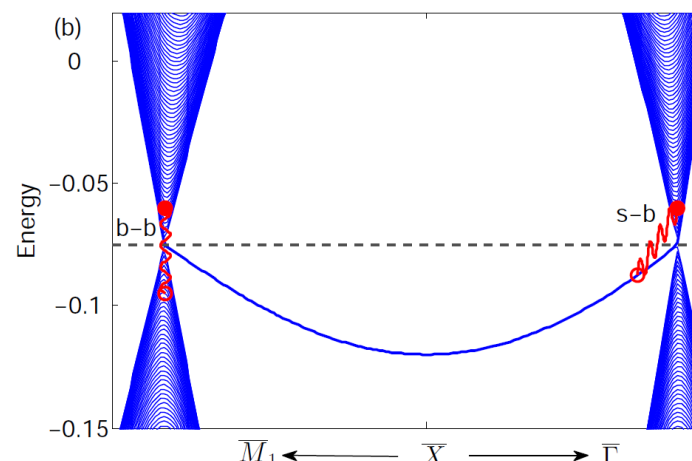
Surface susceptibility

particles and holes can be drawn from either bulk or surface



$$\chi_{ss} \sim \frac{|\omega|}{q} \gg \chi_{bb} \sim \frac{|\omega|}{\sqrt{q}}$$

looks like isolated 2d



$$\chi_{sb} \sim |\omega|\sqrt{q} \gg \chi_{bb} \sim \frac{\omega^2}{\sqrt{q}}$$

different from 2d

HMM $z = 3/2$

would be interesting to explore in more detail

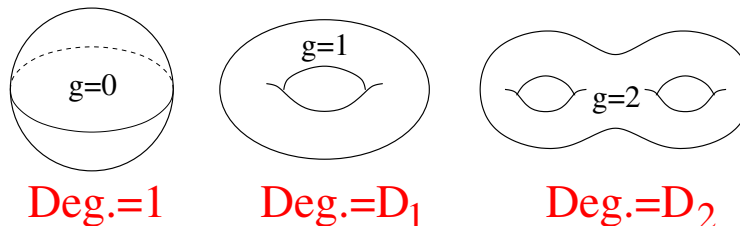
Three types of topology

Topological Spin Liquid
topology of entanglement

"intrinsic topological
order"

This type of topological phase can *only* exist with strong correlations. It reflects extreme entanglement of the many-body states

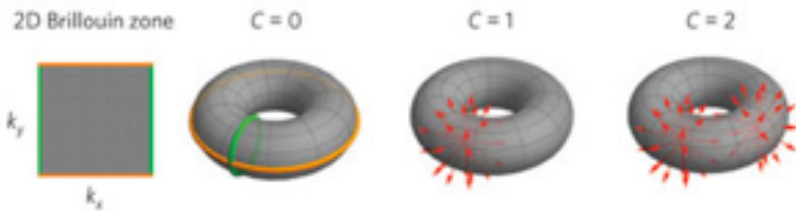
- Wen (1989): some many-body systems exhibit an "order" which is sensitive to the topology of the *spatial* manifold



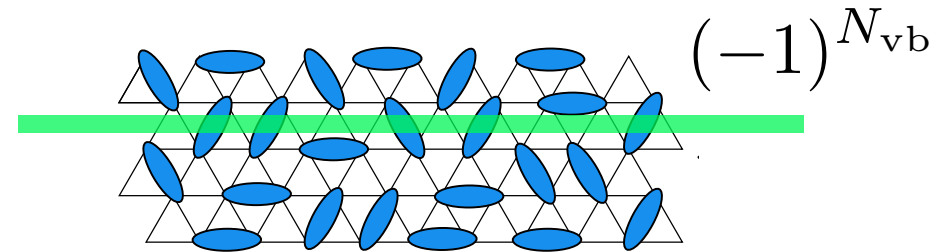
- This type of order is *completely robust*: does not need any symmetry

TI versus iTO

Topological invariants: a non-local integral over an extended manifold



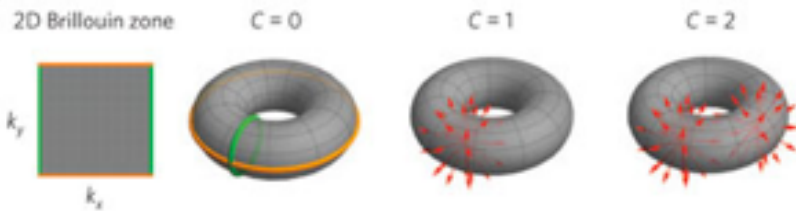
Chern number: integral over
2d k space whose value
differentiates **phases**



Wilson loop: integral over a
1d real space curve whose
value differentiates **states** in
the same phase

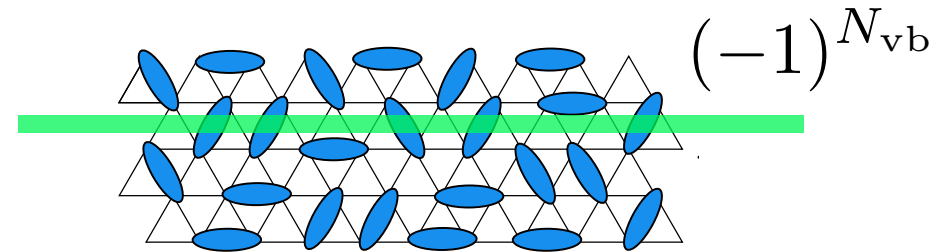
TI versus iTO

Topological invariants: a non-local integral over an extended manifold



Chern number: integral over **2d k space** whose value differentiates **phases**

break the 2d space: forms a **gapless edge**



Wilson loop: integral over a **1d real space** curve whose value differentiates **states** in the same phase

break the 1d curve: forms a **gapped exotic quasiparticle**

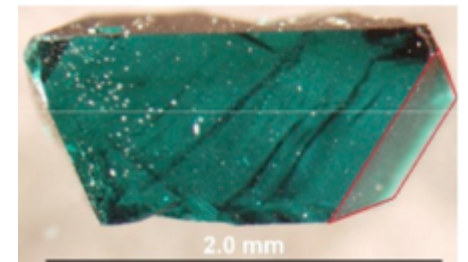
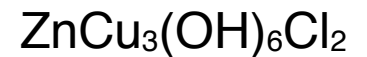
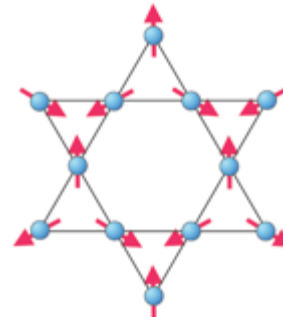
Where is iTO?

- Fractional quantum Hall effect is *both* an iTO state *and* a TI (Chern insulator)
- Other main candidates are *quantum spin liquids*

$$|\Psi\rangle = \text{[Diagram 1]} + \text{[Diagram 2]} + \dots$$

“RVB” state on
kagomé lattice?

still seeking definitive id



Young Lee, Takashi Imai,...

Quantum Spin Liquids

$$|\text{RVB}\rangle = \begin{array}{ccccccc} \text{[Diagram 1]} & + & \text{[Diagram 2]} & + & \text{[Diagram 3]} & \\ & & & & & \\ & + & \text{[Diagram 4]} & + & \text{[Diagram 5]} & + & \text{[Diagram 6]} & + & \dots \end{array}$$

Quantum Spin Liquids: a Review

Lucile Savary¹, Leon Balents²

¹Department of Physics, Massachusetts Institute of Technology, Cambridge, MA 02139, U.S.A.

²Kavli Institute for Theoretical Physics, University of California, Santa Barbara, CA 93106-4030, U.S.A.

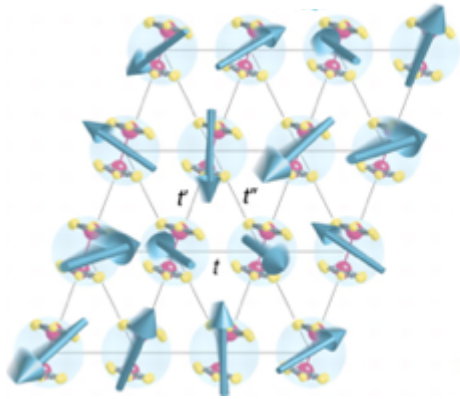
Abstract. Quantum spin liquids may be considered “quantum disordered” ground states of spin systems, in which zero point fluctuations are so strong that they prevent conventional magnetic long range order. More interestingly, quantum spin liquids are prototypical examples of ground states with massive many-body entanglement, of a degree sufficient to render these states distinct *phases* of matter. Their highly entangled nature imbues quantum spin liquids with unique physical aspects, such as non-local excitations, topological properties, and more. In this review, we discuss the nature of such phases and their properties based on paradigmatic models and general arguments, and introduce theoretical technology such as gauge theory and partons that are conveniently used in the study of quantum spin liquids. An overview is given of the different types of quantum spin liquids and the models and theories used to describe them. We also provide a guide to the current status of experiments to study quantum spin liquids, and to the diverse probes used therein.

New authoritative review: to be published in ROPP

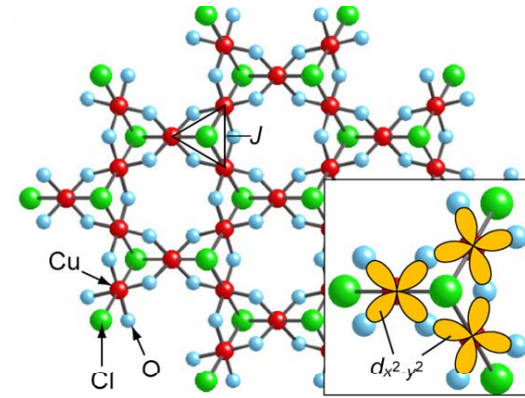
Spin liquid candidates?



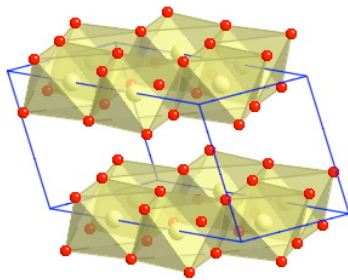
Top experimental platforms



Organics

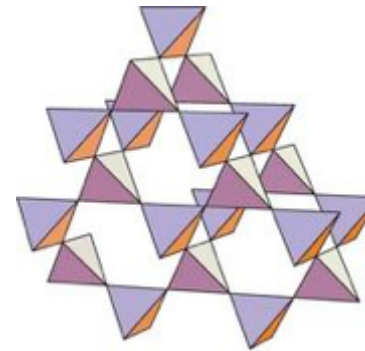


Herbertsmithite



Na_2IrO_3 ,
 (α, β, γ) -
 Li_2IrO_3
 $\alpha\text{-RuCl}_3$

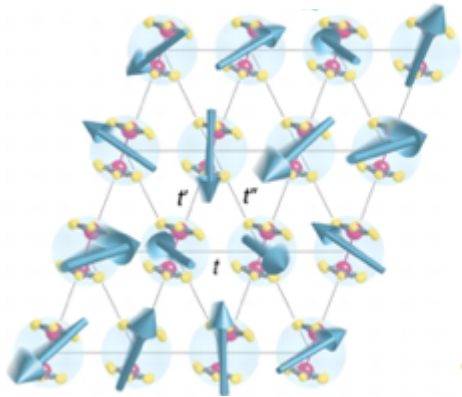
Kitaev materials



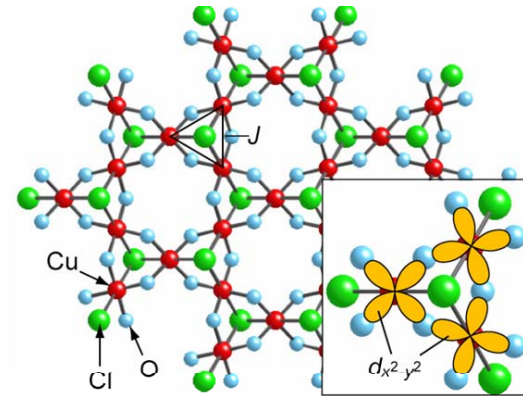
$\text{Yb}_2\text{Ti}_2\text{O}_7$
 $\text{Pr}_2\text{Zr}_2\text{O}_7$
 ...

Quantum spin ice

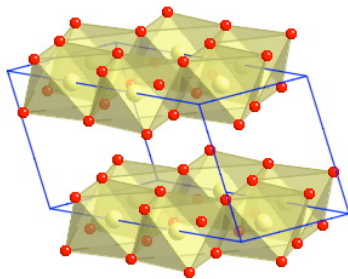
Top experimental platforms



Organics

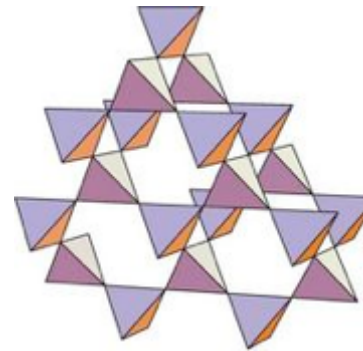


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Kitaev materials



$\text{Yb}_2\text{Ti}_2\text{O}_7$
 $\text{Pr}_2\text{Zr}_2\text{O}_7$
...

Quantum spin ice

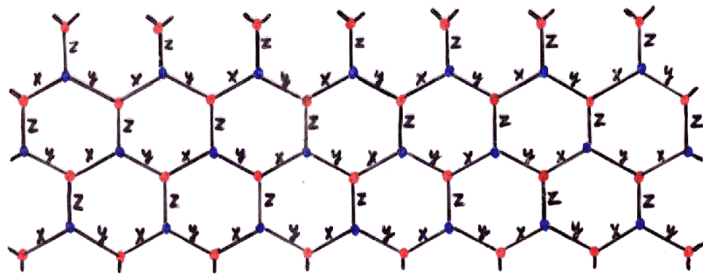


Kitaev model

Kitaev's honeycomb model

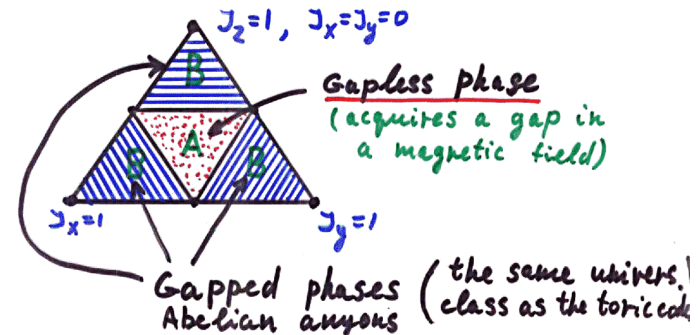
$$H = \sum_{i,\mu} K_{\mu} \sigma_i^{\mu} \sigma_{i+\mu}^{\mu}$$

1. The model



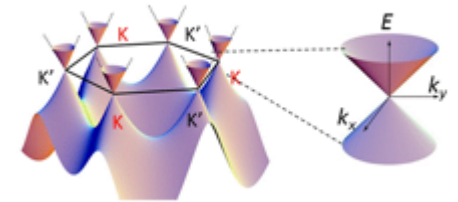
Spin $\frac{1}{2}$ on each site.

Phase diagram

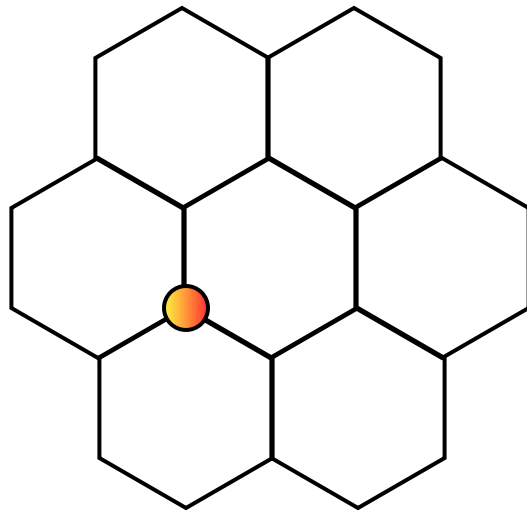


exact parton construction $\sigma_i^{\mu} = i c_i c_i^{\mu}$ $c_i c_i^x c_i^y c_i^z = 1$

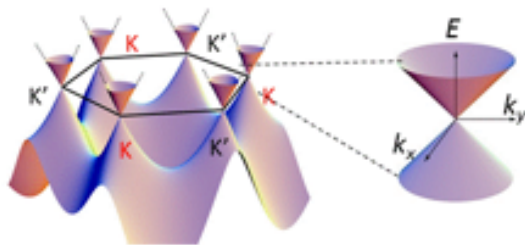
physical Majoranas $H_m = K \sum_{\langle ij \rangle} i c_i c_j$



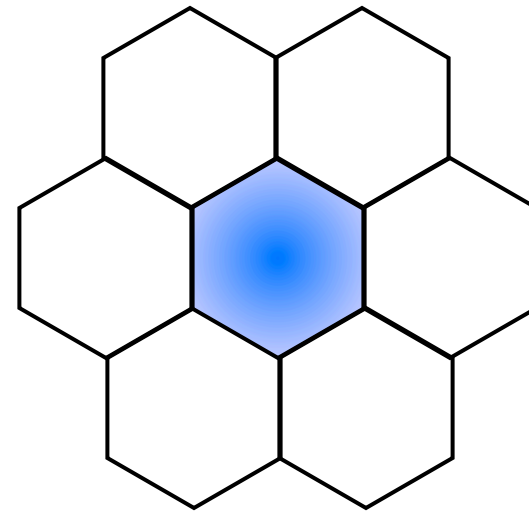
Non-local excitations



Majorana ε



gapless Dirac



Flux e, m



flux states



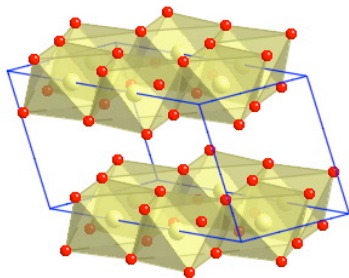
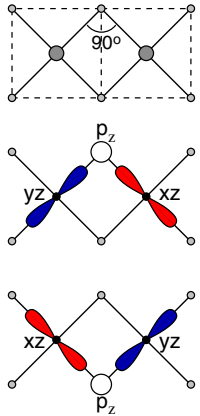
GS

gapped

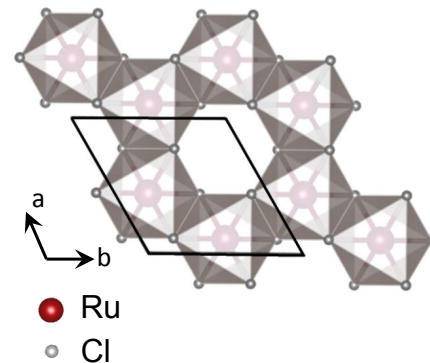
Kitaev Materials

Jackeli, Khaliullin

Showed that Kitaev interaction can be large in edge-sharing octahedra with large spin-orbit-coupling



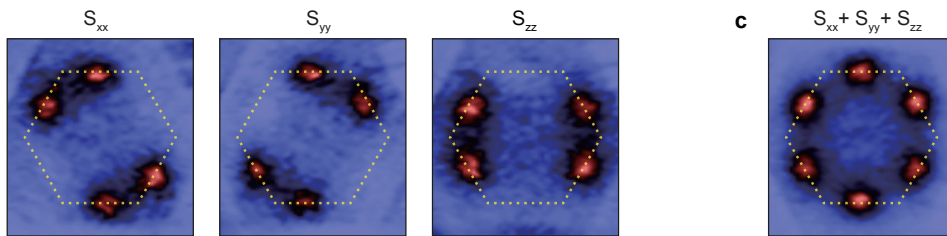
Na_2IrO_3 ,
 (α, β, γ) -
 Li_2IrO_3



$\alpha\text{-RuCl}_3$

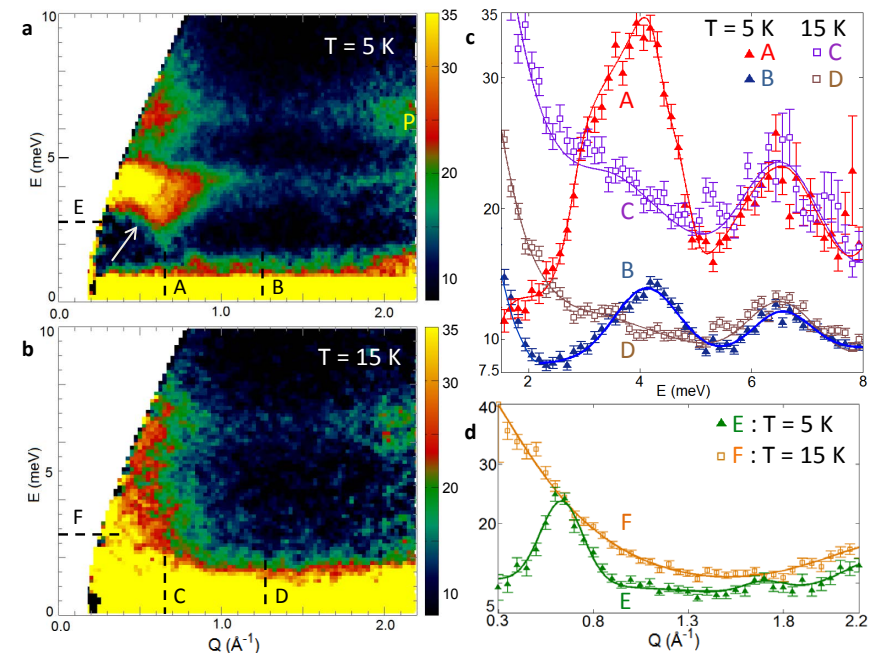
Honeycomb and hyper-honeycomb structures

Kitaev Materials



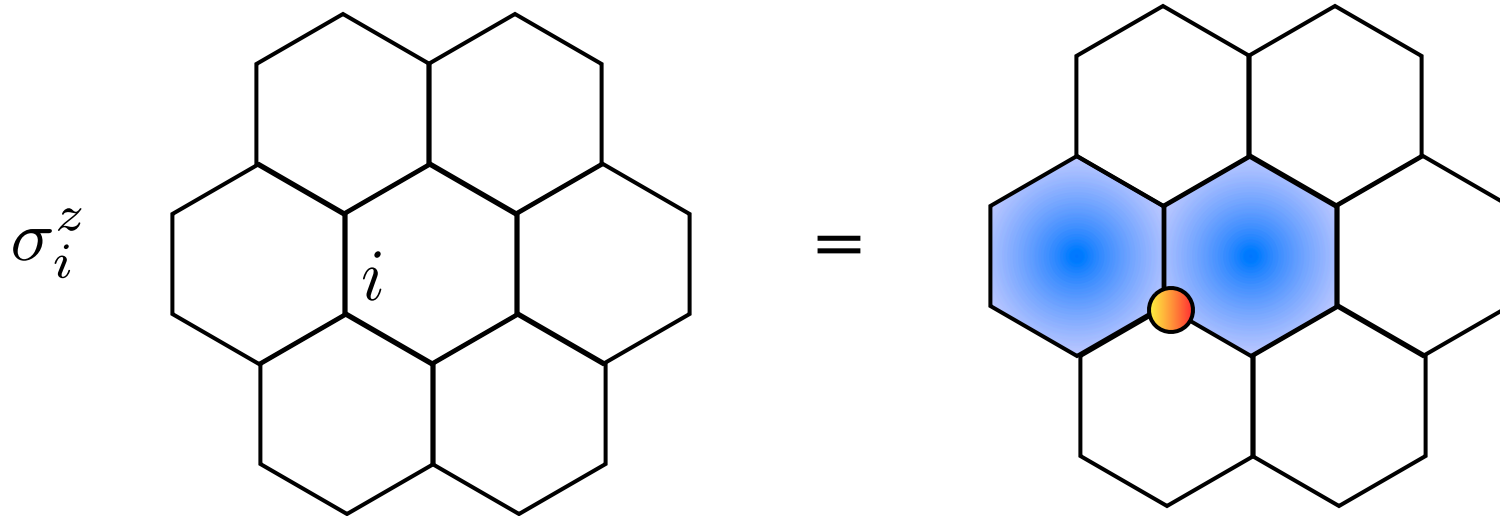
direct evidence for
direction-dependent
anisotropic exchange
from diffuse magnetic
x-ray scattering in
 Na_2IrO_3 (BJ Kim group)

there is pretty strong evidence
of substantial Kitaev exchange
in quite a few materials



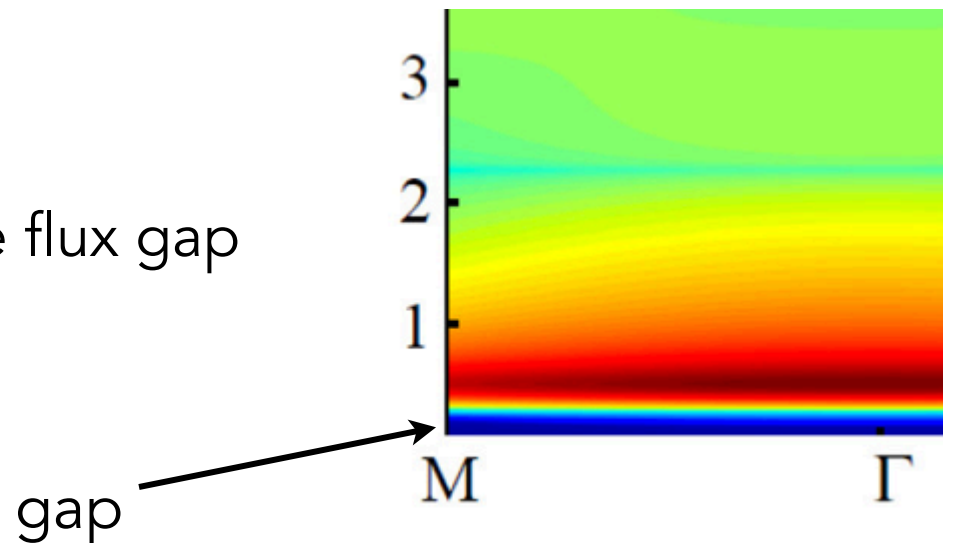
Observation of gapped
continuum mode persisting
above T_N in $\alpha\text{-RuCl}_3$
consistent with Majoranas
(A. Banerjee *et al*)

Exact spin correlations

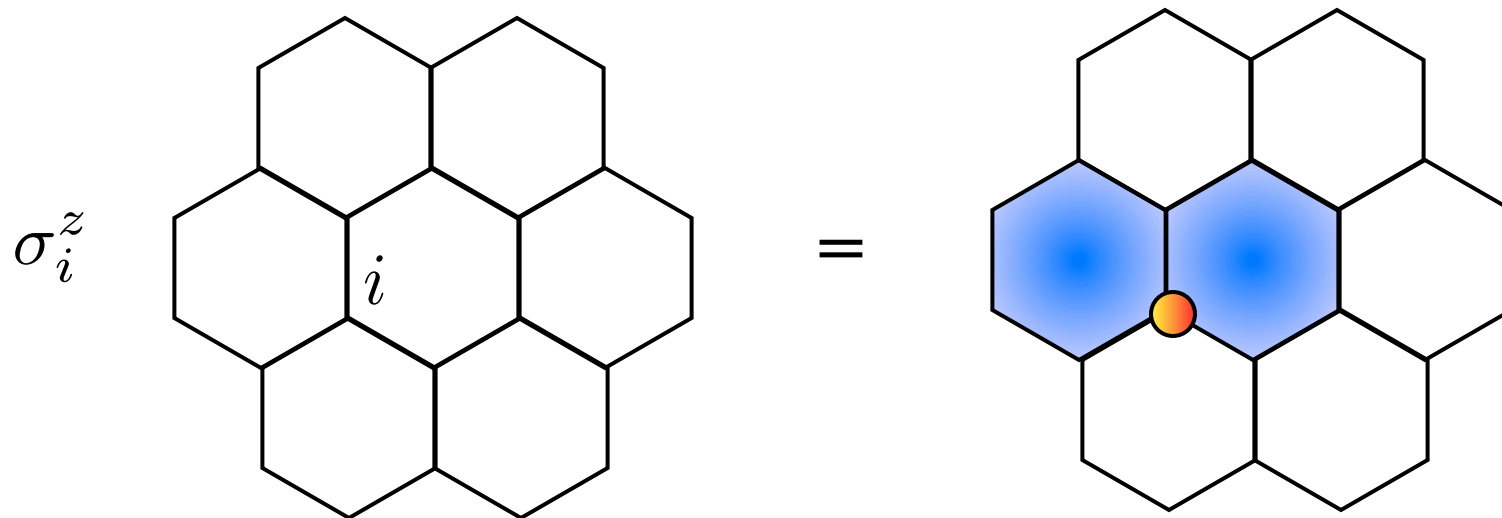


In the soluble model:

- The spin creates two fluxes
- Spectral weight is zero below the flux gap
- Correlations vanish beyond NNs



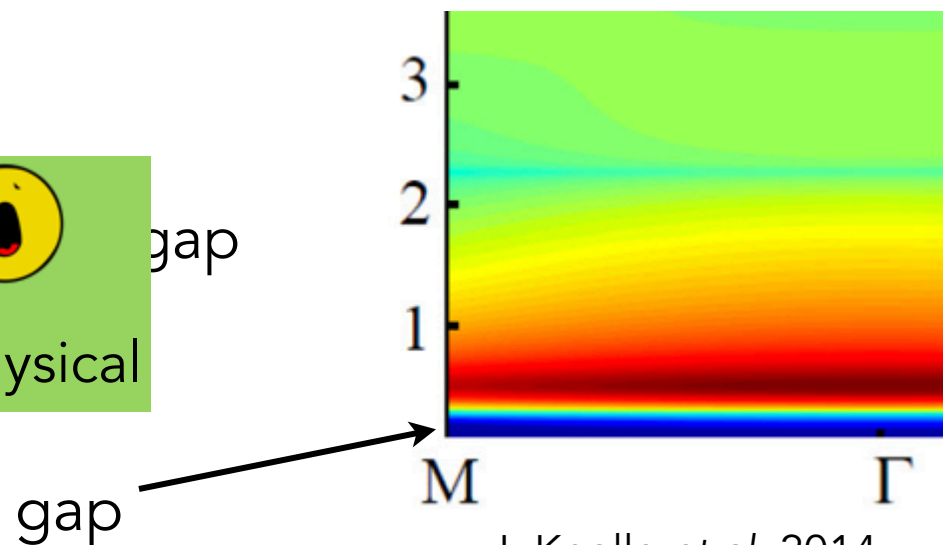
Exact spin correlations



In the soluble model:

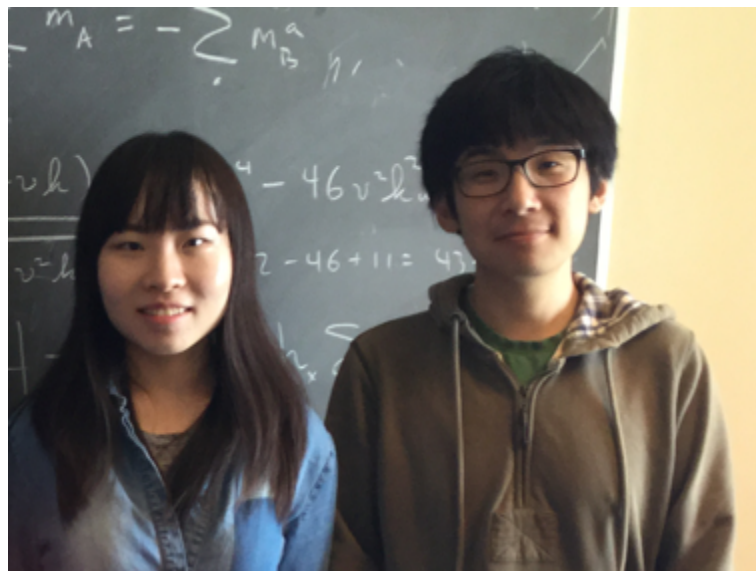
- The spin creates two fluxes
- Spectra very boring
- Correlation gap

But fortunately it is not physical



J. Knolle et al, 2014

Inexact but correct (universal) answer



宋雪洋
Xue-Yang
Song

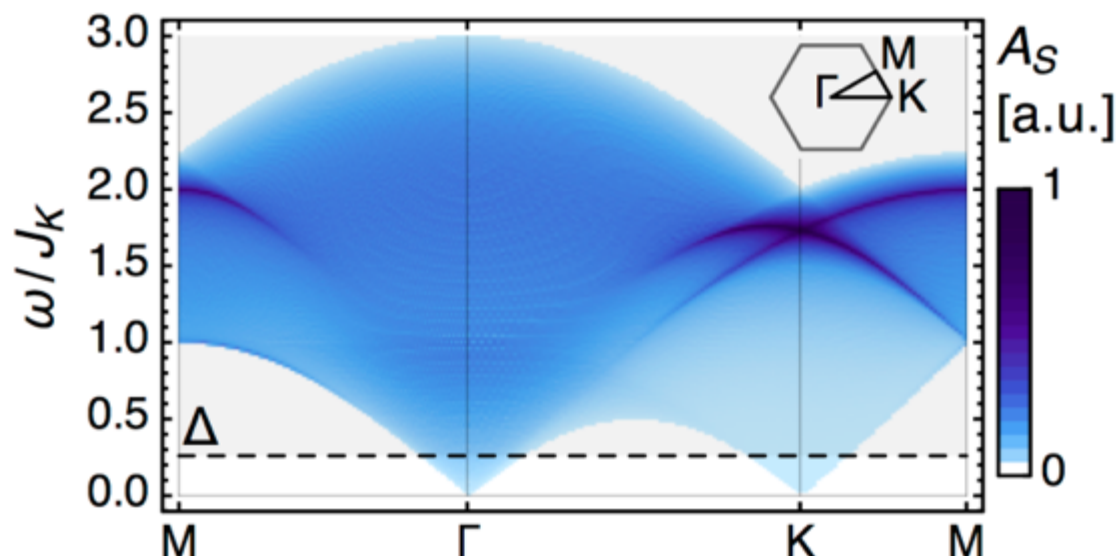
3rd yr ugrad
Peking U.

尤亦庄
Yi-Zhuang
You

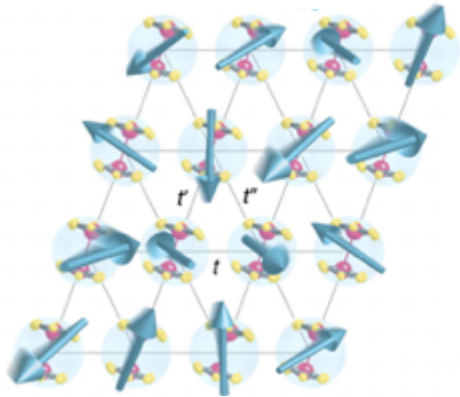
postdoc
UCSB

Generically: spin
correlations are *gapless*
and structured

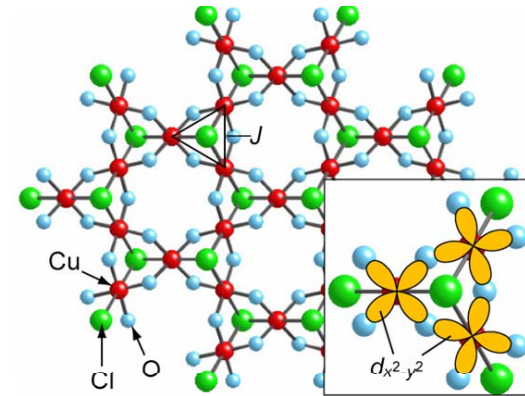
(gapless contribution should be added
to the other one, which is like the
“incoherent” part in a Fermi liquid)



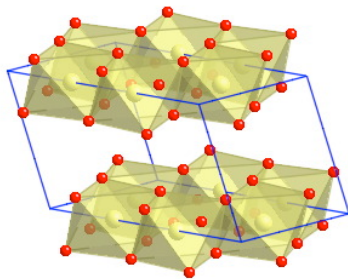
Top experimental platforms



Organics

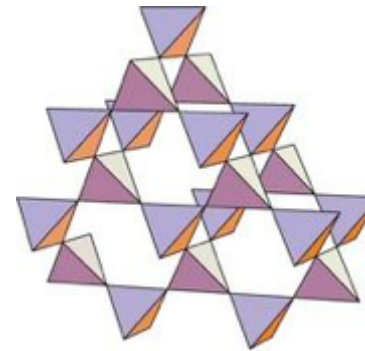


Herbertsmithite



Na_2IrO_3 ,
 (α, β, γ) -
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Kitaev materials

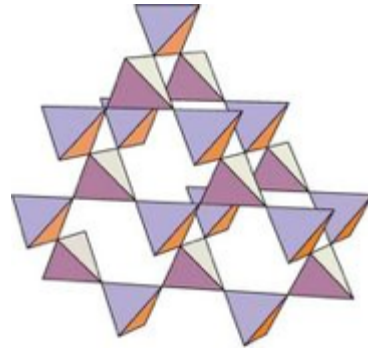


$\text{Yb}_2\text{Ti}_2\text{O}_7$
 $\text{Pr}_2\text{Zr}_2\text{O}_7$
 ...

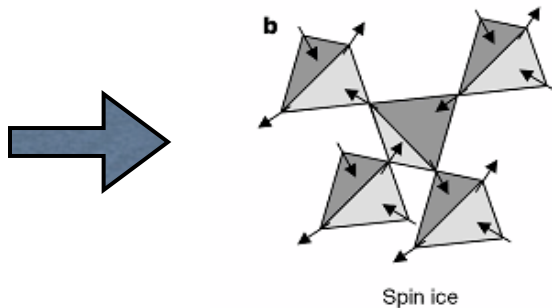
Quantum spin ice

Quantum spin ice

- Quantum $S=1/2$ spins on pyrochlore lattice



$$H = J_{zz} \sum_{\langle ij \rangle} S_i^z S_j^z + H_Q$$



+ Quantum fluctuations

2in-2out states

"classical spin ice"

Quantum-Izing spin ice

- For *non-Kramer's ions* ($\text{Ho}^{3+}, \text{Pr}^{3+}$), non-magnetic disorder acts like a *transverse field*

$$H = J_{zz} \sum_{\langle ij \rangle} S_i^z S_j^z + H_Q$$

$$H_Q = - \sum_i h_i S_i^+ + \text{h.c.}$$

Quantum-Izing spin ice

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(quantum transverse field Icing model)

Quantum-izing spin ice

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$$H = J_{zz} \sum_{\langle ij \rangle} S_i^z S_j^z + H_Q$$

$$H_Q = - \sum_i h_i S_i^+ + \text{h.c.}$$

(quantum transverse field Ising model)

Prediction: quantum dynamics can be induced in diverse classical Ising systems by controlled disorder

Quantum-lzing spin ice

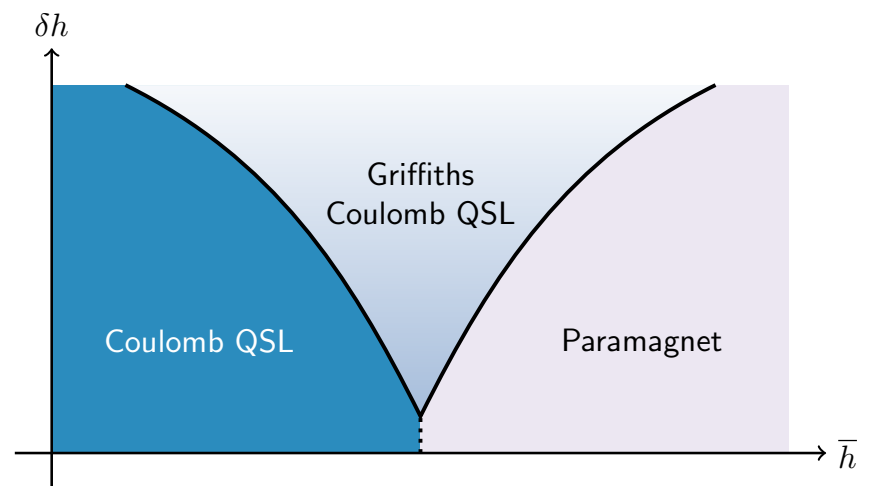
- For *non-Kramer's ions* ($\text{Ho}^{3+}, \text{Pr}^{3+}$), non-magnetic disorder acts like a *transverse field*

$$H = J_{zz} \sum_{\langle ij \rangle} S_i^z S_j^z + H_Q$$

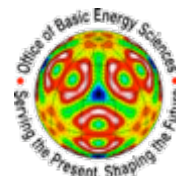
$$H_Q = - \sum_i h_i S_i^+ + \text{h.c.}$$

disorder alone can
generate the "Coulomb"
QSL

L. Savary + LB, arXiv:1604.04630



Thanks



GORDON AND BETTY
MOORE
FOUNDATION

